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# Carotid US: More than just a chart on the wall

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Yale School of Medicine

# DISCLOSURES

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- Educational consultant for Philips Healthcare

# OBJECTIVES

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- Start with the chart
- Always correlate the spectral Doppler findings w/ grayscale and color Doppler appearance PLUS waveform analysis
- Explain any discordance

# OBJECTIVES

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- Know when the charts don't work
  - High and low output states
  - Tortuous vessels, contralateral stenoses/occlusions
  - Tandem lesions, long segment stenoses, near occlusive lesions
  - Post intervention

# SRU 2002 CONSENSUS CONFERENCE

## ≥ 70% to near occlusive STENOSIS

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- PSV > 230 cm/s
- PSVR > 4.0
- EDV > 100 cm/s

Grant, Radiology: 2003

# SRU 2002 CONSENSUS CONFERENCE

## < 50% STENOSIS

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- PSV < 125 cm/s
- PSVR < 2.0
- EDV < 40 cm/s

Grant, Radiology: 2003

# SRU 2002 CONSENSUS CONFERENCE

## 50 - 69% STENOSIS

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- PSV            125 - 230 cm/s
- PSVR          2.0 - 4.0

Grant, Radiology: 2003

# SYMPTOMATIC PATIENTS

## ≥ 70% ICA STENOSIS

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PSV cm/s	Sens	Spec	Acc
100	100.0	82.8	85.0
125	100.0	87.6	89.2
150	96.1	90.5	91.2
175	96.1	92.5	93.0
200	92.2	95.1	94.7
225	86.3	95.7	94.5
250	70.6	96.8	93.5
275	66.7	97.1	93.2
300	60.8	97.4	92.7
350	41.2	98.6	91.2
400	33.3	99.4	91.0

Grant, Radiology: 1999



# SYMPTOMATIC PATIENTS ≥ 70% ICA STENOSIS

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PSVR	Sens	Spec	Acc
1.50	100.0	83.9	86.0
2.00	100.0	88.5	90.0
2.50	100.0	91.7	92.7
2.75	92.2	93.4	93.2
3.00	90.2	94.5	94.0
3.25	86.3	94.8	93.7
3.50	84.3	94.8	93.5
3.75	76.5	95.7	93.2
4.00	76.5	95.7	93.2
4.50	62.7	96.8	92.5
5.00	54.9	97.7	92.2

Grant, Radiology: 1999

# DOPPLER CRITERIA

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- Validated only for ICA
- Range of absolute numbers and ratios for any given % stenosis
  - laboratory dependent
- Can not accurately differentiate carotid stenoses @ 10% increments
- **Tend to overestimate carotid stenoses**

# DOPPLER CRITERIA

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- More accurate for detecting high grade stenoses (70-99%)
- Less accurate for low grade stenoses (< 50%)

# DOPPLER CRITERIA

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- If you plan to use US as a **screening test**
  - criteria should emphasize high sensitivity
  - lower discriminatory numbers
- As a **diagnostic test**, i.e., instead of angiogram
  - criteria should emphasize high specificity
  - higher discriminatory numbers

# DOPPLER CRITERIA

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- In **high risk pt**, perhaps criteria should emphasize high sensitivity
  - lower discriminatory numbers
  - avoid false negatives (F-)
- For **low risk pt**, criteria should emphasize high specificity
  - higher discriminatory numbers
  - avoid false positives (F+)

# DOPPLER CRITERIA

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- SRU consensus criteria focused on maximizing **ACCURACY**
- What about outcome analysis?
- The consequences of a missing a stenosis (F-) may be more or less favorable than the consequences of performing unnecessary intervention (F+), depending upon the clinical situation

# Heijenbrok-Kal, Radiology: 2005

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- **Premise #1:** referring a pt for CEA with a non-significant ICA stenosis  $< 50\%$  is more harmful than missing a 50-69% stenosis
  - F+ more harmful than F-
  - therefore, criteria should be more specific in this category
  - higher discriminatory numbers

# Heijenbrok-Kal, Radiology: 2005

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- **Premise #2:** missing a  $\geq 70\%$  ICA stenosis is more harmful (assoc with higher monetary and life expectancy cost) than operating on a 50-69% ICA stenosis
  - F- more harmful than F+
  - therefore, criteria in this category should be more sensitive
  - lower discriminatory numbers



# Heijenbrok-Kal, Radiology: 2005

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- $\geq 70\%$  stenosis, use PSV  $> 220$  cm/s
- 50-69% stenosis, use PSV  $> 180$  cm/s
- However, with changes in pt management, these assumptions are undergoing revision

# 2017: TIMES ARE CHANGING

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- Crest Trial
  - pts w/ moderate stenoses do better with medical management
- Stent reimbursement
  - only if >70% stenosis or enrolled in trial
- May want more specificity for >70% stenosis
  - higher threshold numbers

# DOPPLER CRITERIA

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- Whatever criteria you choose,
  - the closer you are to the discriminatory value, the more likely you are to be wrong
  - the farther away you are from the discriminatory value, the more likely you are to be right
  - dependent on SD of measurement
  - **F+ vs F-** dependent on sens vs. spec of the cut off value
- Consider correlative imaging if close to discriminatory thresholds

# WHICH CRITERIA IS BEST?

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- PSV = primary Doppler criteria, **BUT.....**
- If PSV in CCA is  $> 100$  cm/s or  $< 60$  cm/s
  - PSV likely not as accurate
  - emphasize PSVR, grayscale and color Doppler imaging

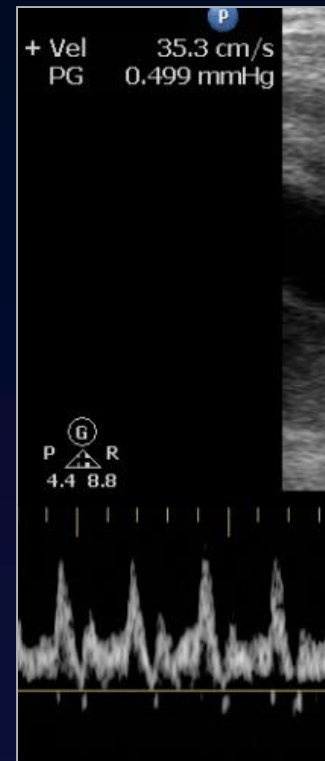
# PSV < 60 cm/s

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- Low output states
  - ↓ ejection fraction
    - cardiomyopathies, LV dysfunction, LV aneurysm, AS
  - hypotension
  - thoracic aortic aneurysm

# PSV < 60 cm/s: Low Cardiac Output

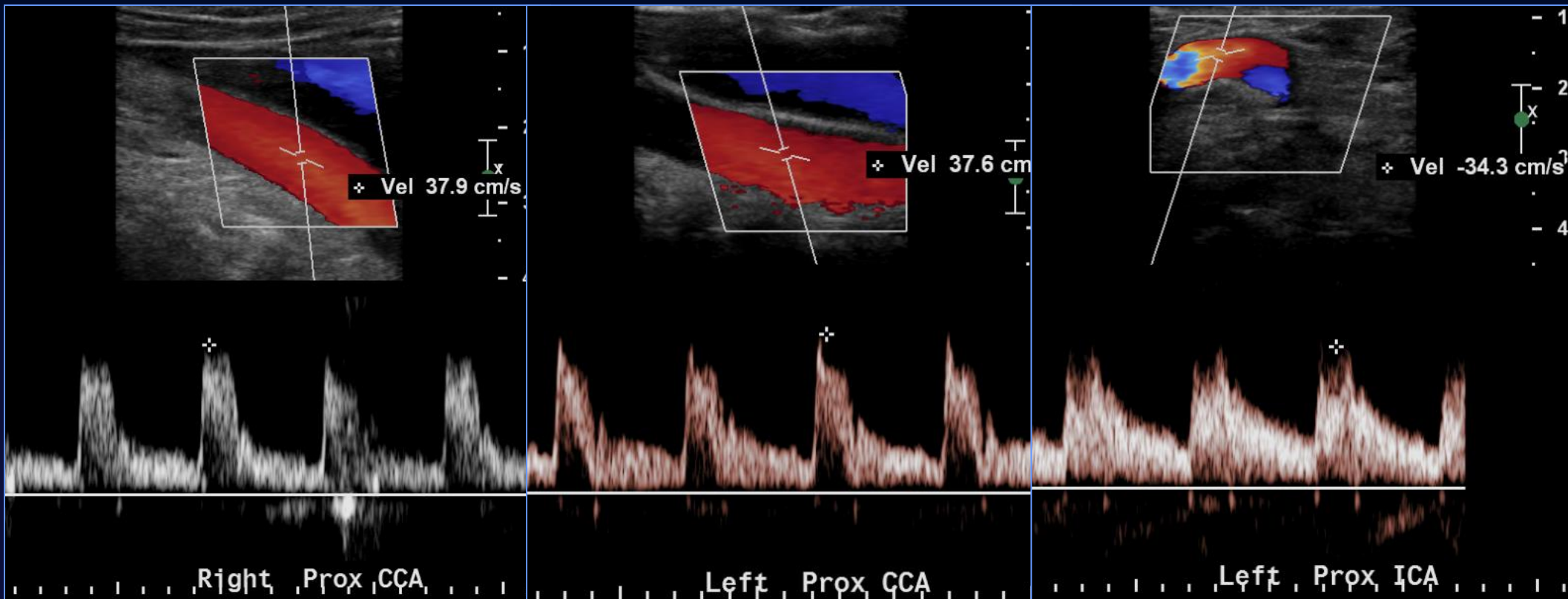
- PSV in CCA = 35 cm/s
- When ICA PSV reaches 230 cm/s, PSVR will be > 6.5
- Relying on PSV will result in underestimation of ICA stenosis



**EF = 15%**

# DECREASED PSV

- Pre-op for ascending thoracic aortic aneurysm



# PSV > 100 cm/s

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- High output states
  - hypertension
  - hyperdynamic state
  - aortic regurgitation
  - thyrotoxicosis

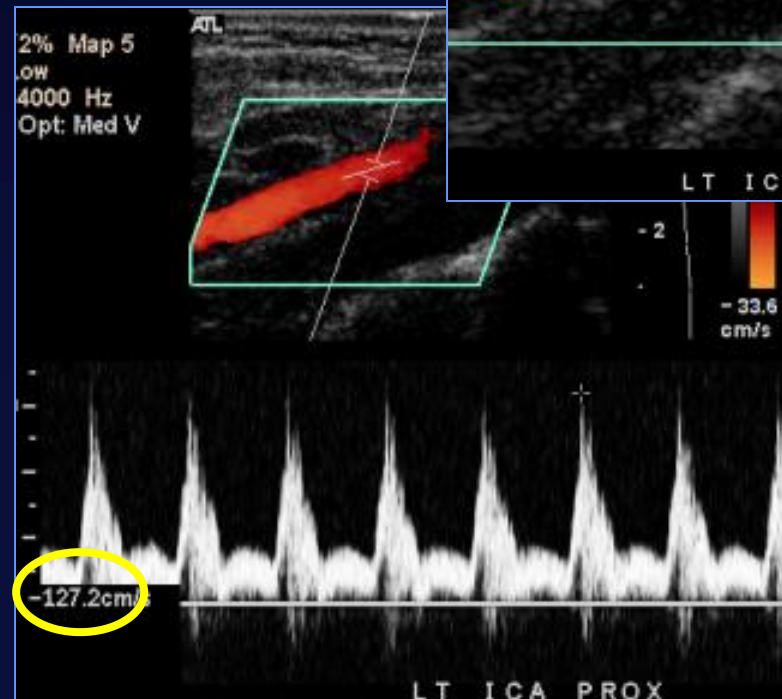
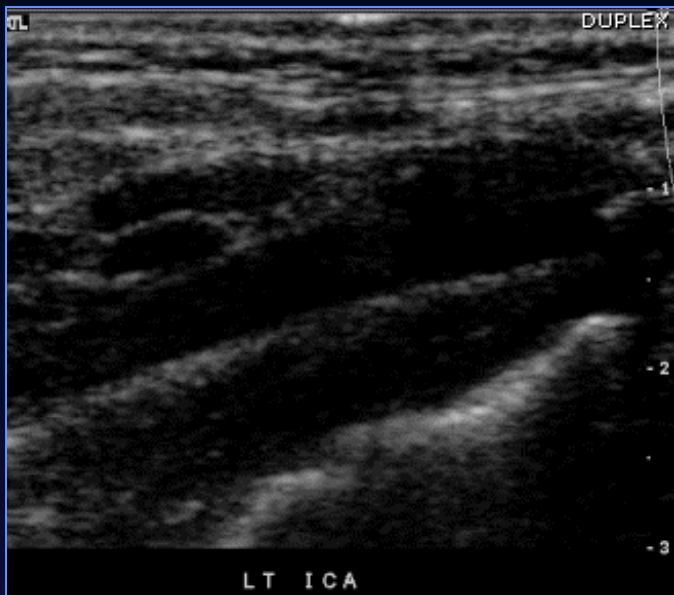


# PSV > 100 cm/s: High Cardiac Output

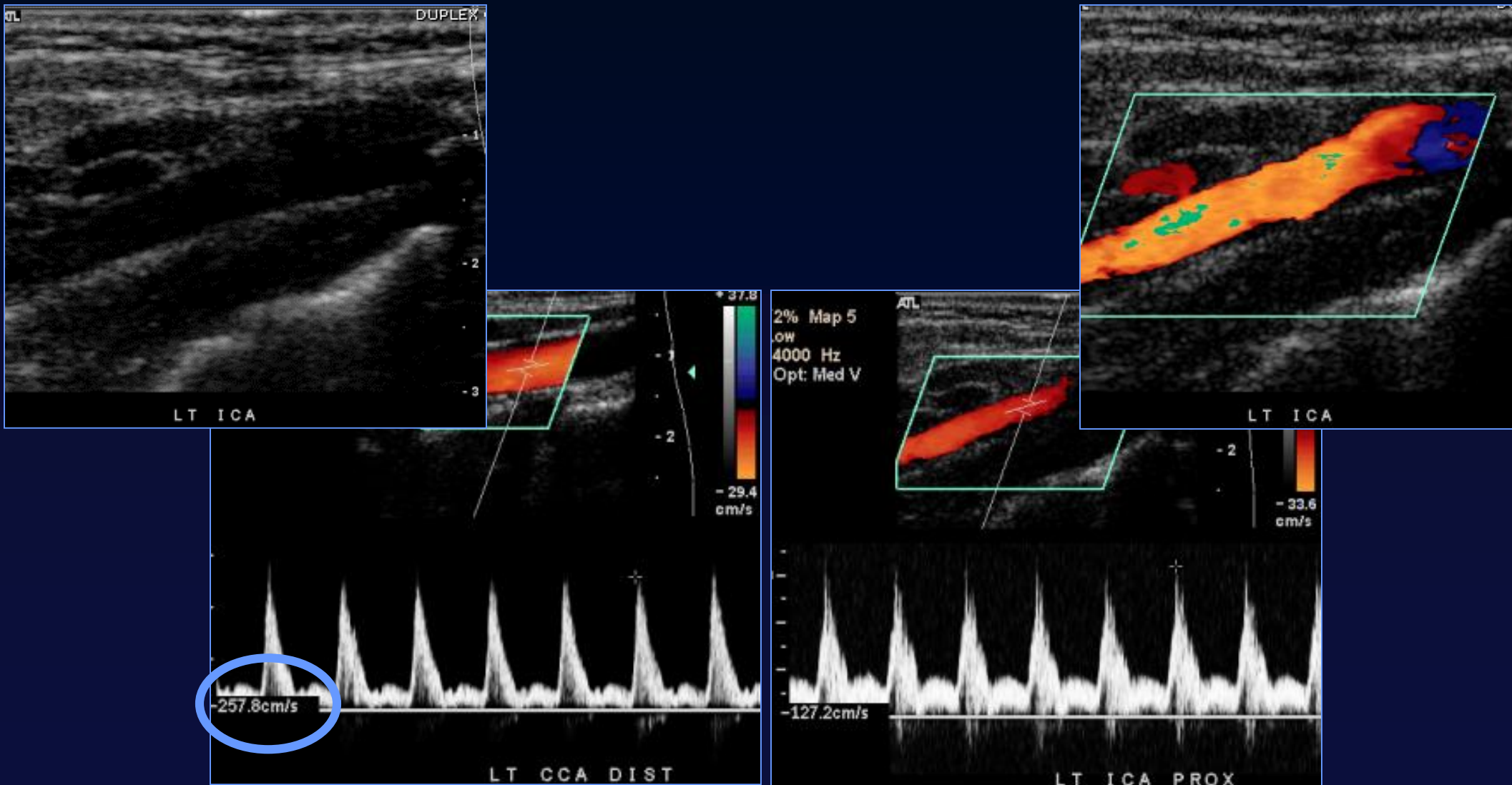
- PSV will overestimate % stenosis



# Is this a 50% Stenosis?



# PSVR = 1:2, NO STENOSIS!

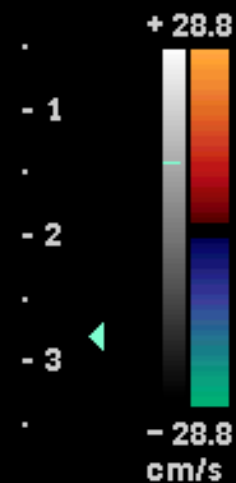
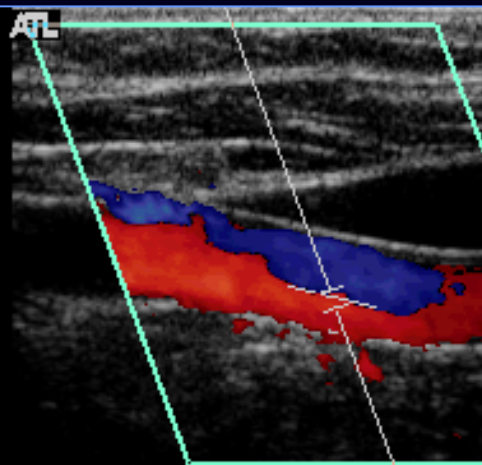


# PITFALLS: Cardiac Arrhythmia

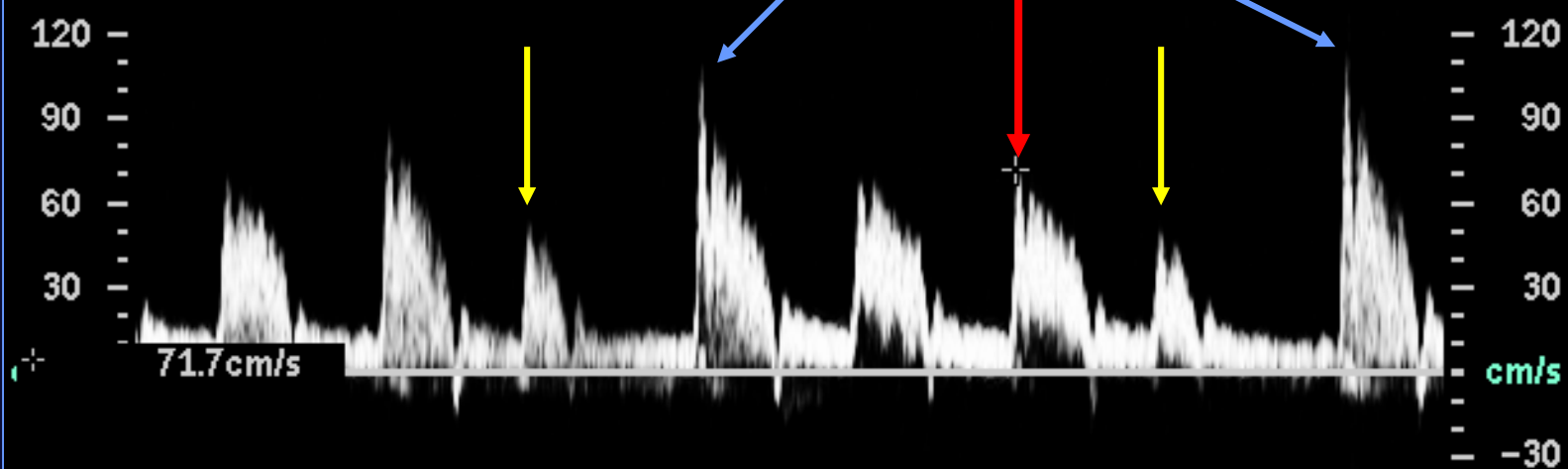
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- $\uparrow$  HR results in  $\downarrow$  PSV,  $\uparrow$  EDV
- $\downarrow$  HR results in  $\uparrow$  PSV,  $\downarrow$  EDV

Col 72% Map 5  
WF Low  
PRF 3000 Hz  
Flow Opt: Med V



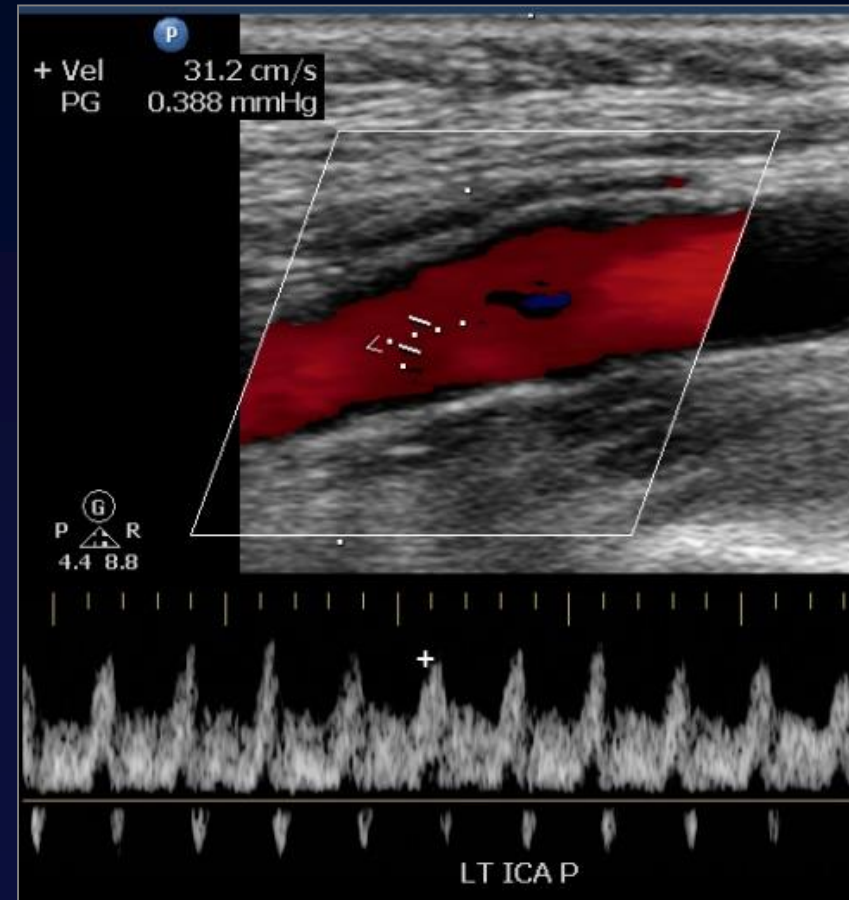
SV Angle  $-56^{\circ}$   
Dep 2.5 cm  
Size 1.5 mm  
Freq 4.0 MHz  
WF Low  
Dop 67% Map 3  
PRF 5000 Hz





# PITFALLS: Tachycardia

- Underestimates PSV



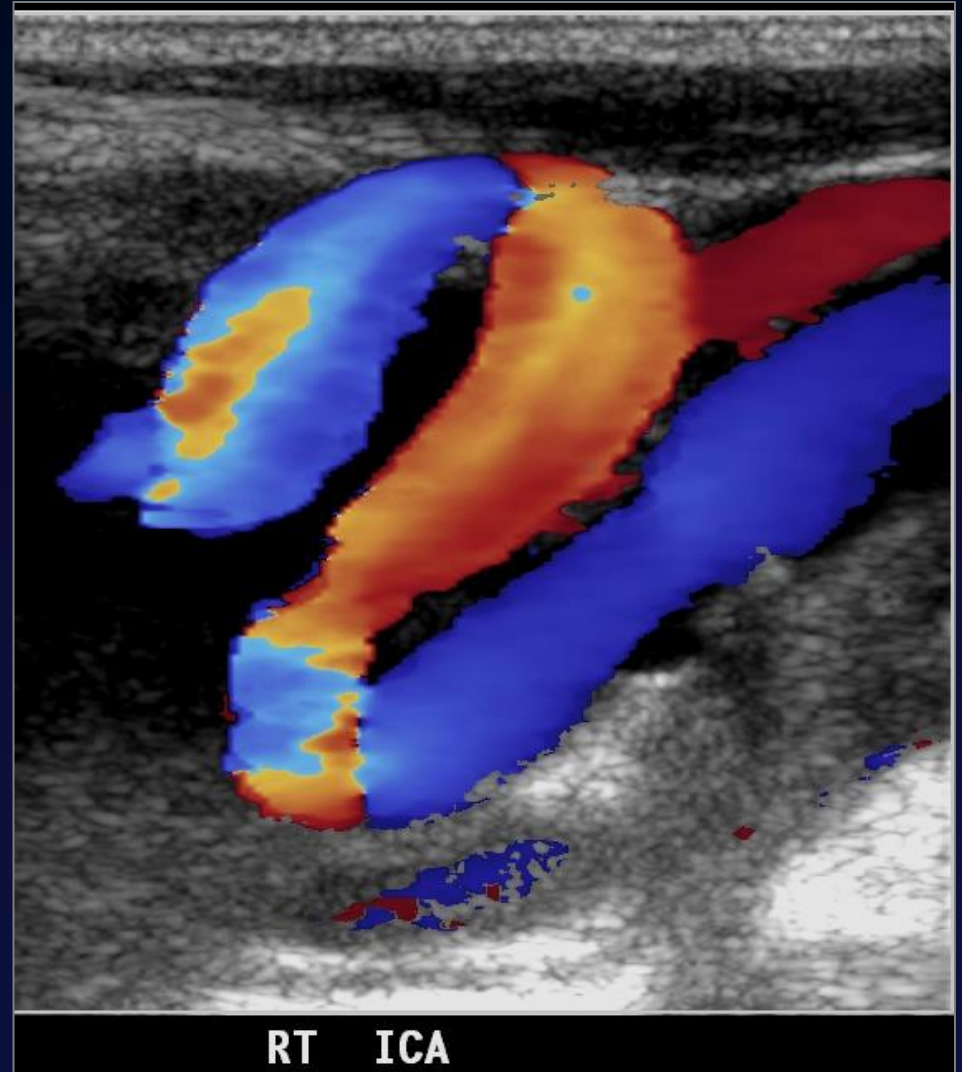
# DISCORDANCE BETWEEN GRAYSCALE AND DOPPLER FINDINGS

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- PSV elevated
- Unilateral
- But no plaque!
  - tortuous vessel
  - contralateral occlusion/stenosis

# TORTUOUS VESSELS

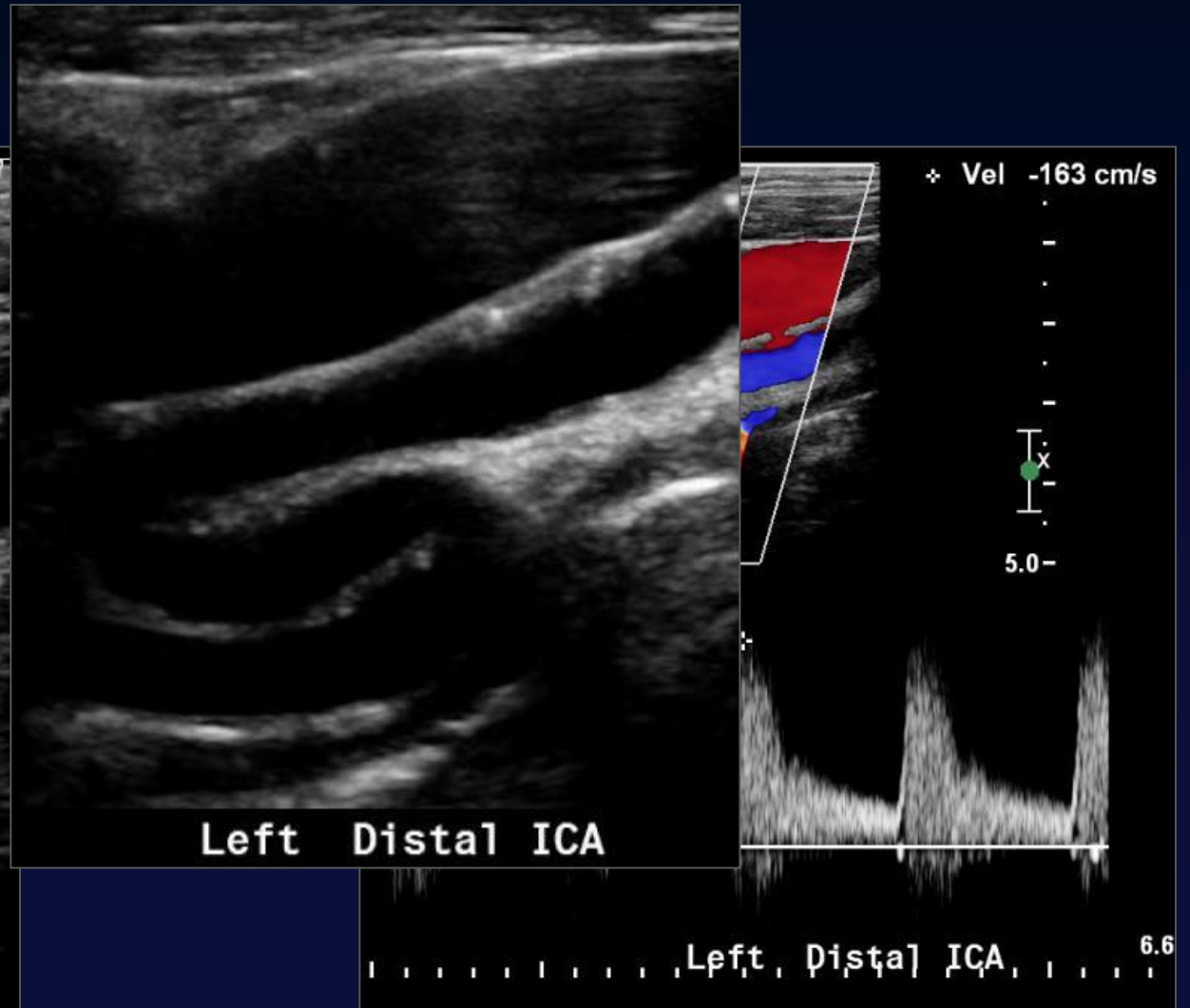
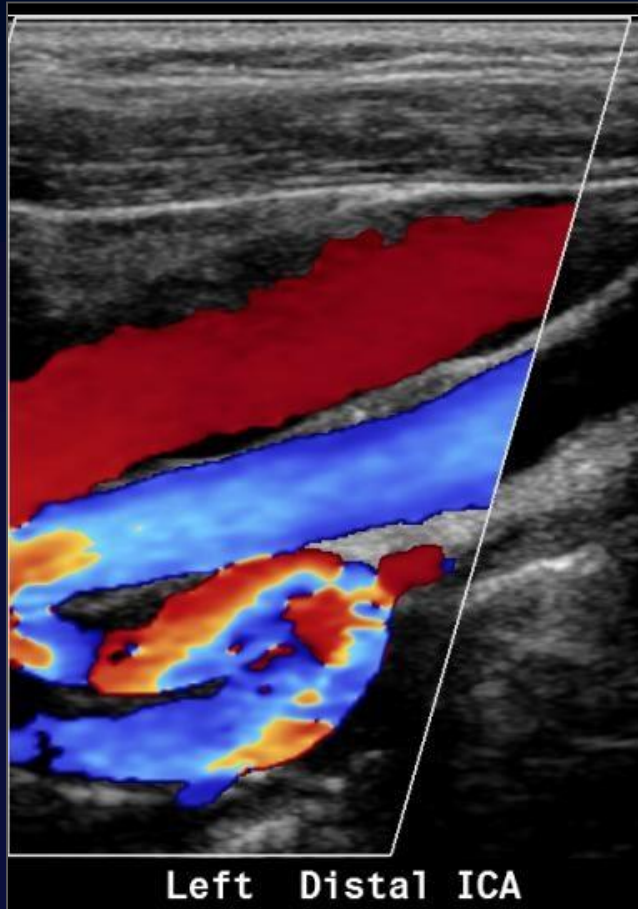
- Velocity increases around a curve
- Difficult to assign correct Doppler angle as direction of blood flow changes rapidly



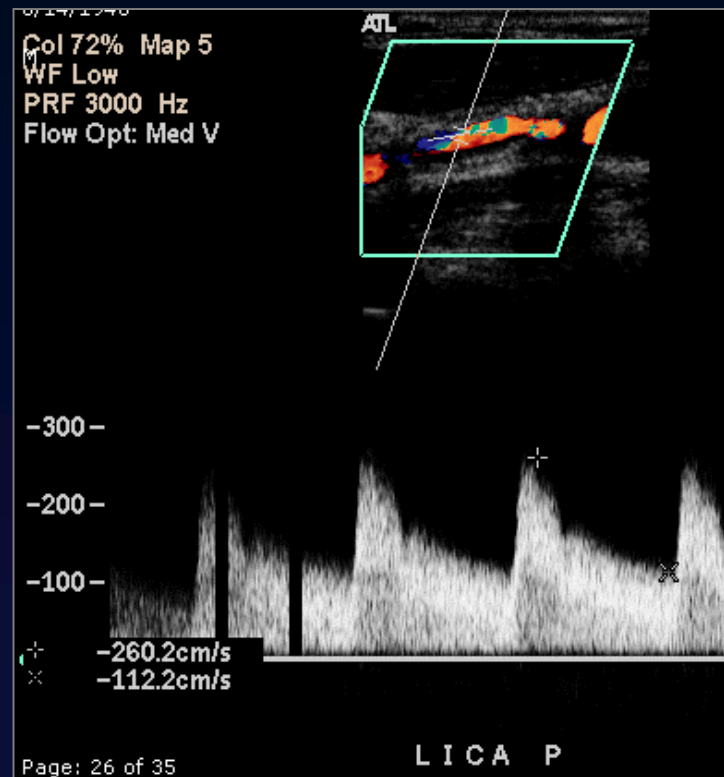


# INCREASED PSV & NO PLAQUE

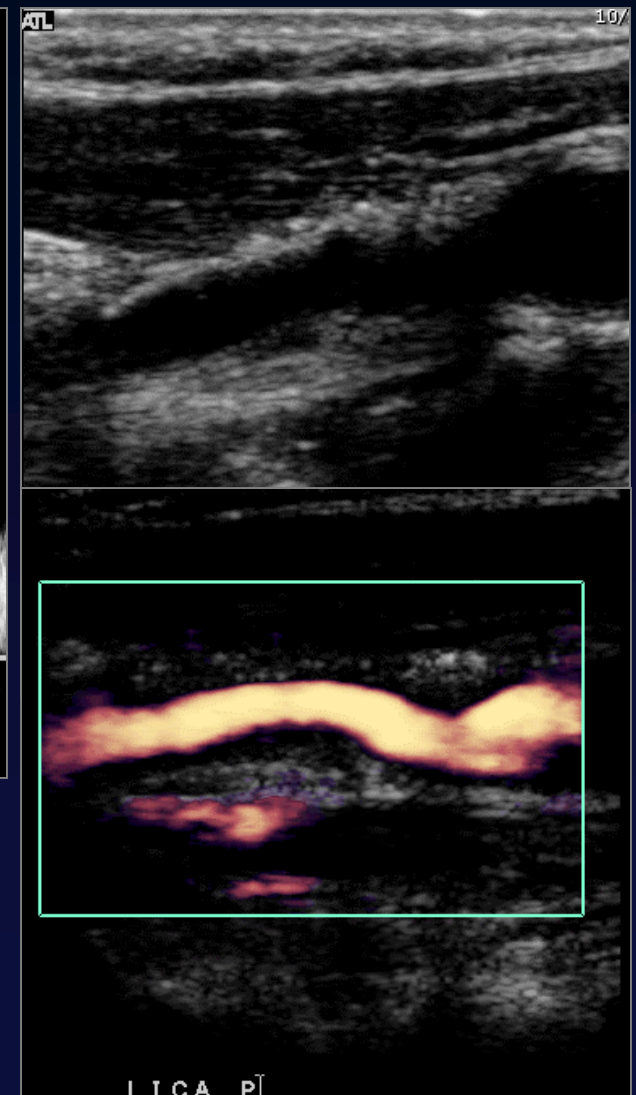
- Tortuous vessel



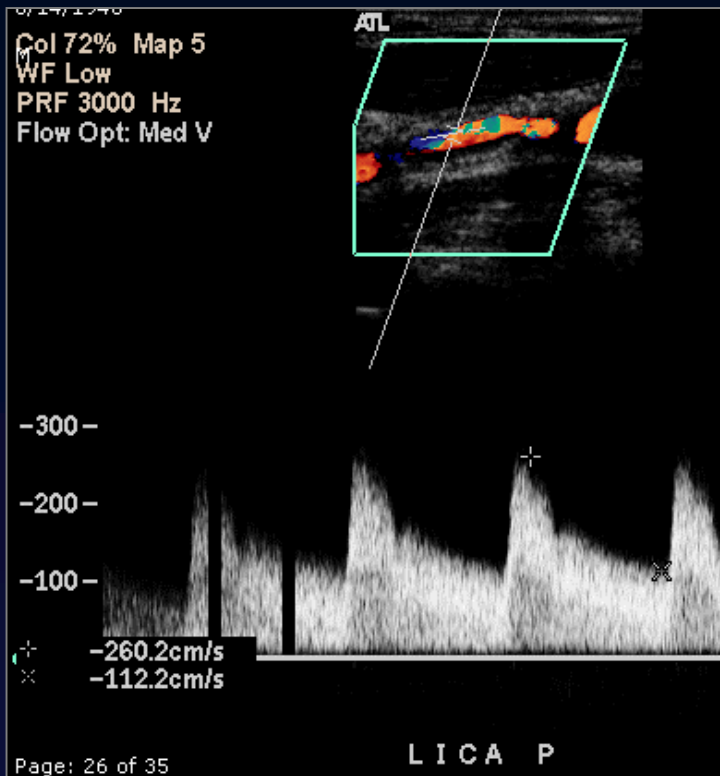
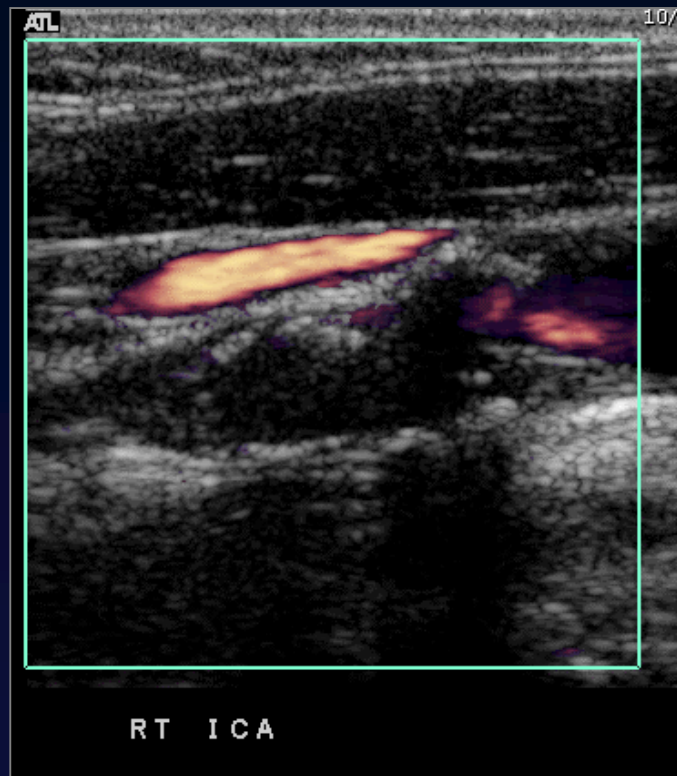
# INCREASED PSV & NO PLAQUE



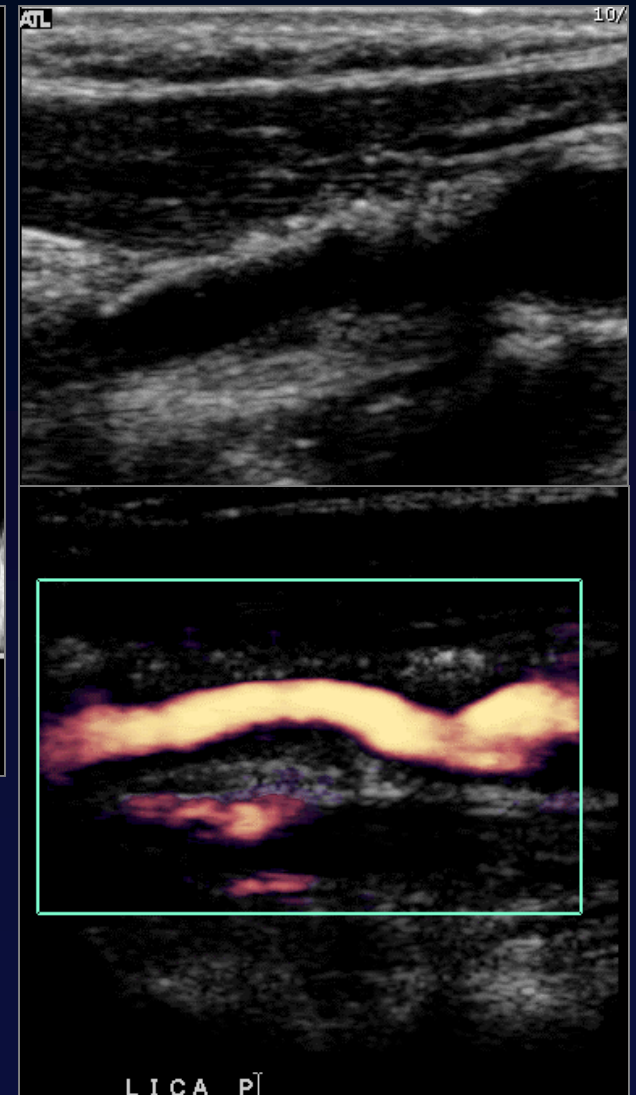
PSV = 260 cm/s  
? 70-95% stenosis



# CONTRALATERAL HI-GRADE STENOSIS/OCCLUSION



PSV = 260 cm/s  
50% stenosis at most



# CONTRALATERAL HI-GRADE STENOSIS/OCCLUSION

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- ↑ PSV in CCA and ICA, esp at a stenosis
- Variable, unpredictable
- Use of PSVR may not compensate, but probably better than using PSV alone

Beckett, AJNR: 1990

AbuRahma, J Vasc Surg: 1995

Busuttil, Am J Surg: 1996

Grajo & Barr, US Quarterly: 2007

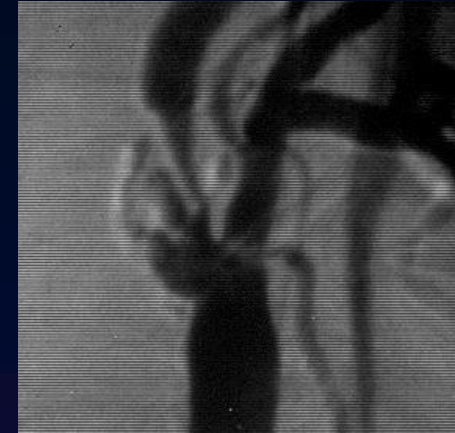
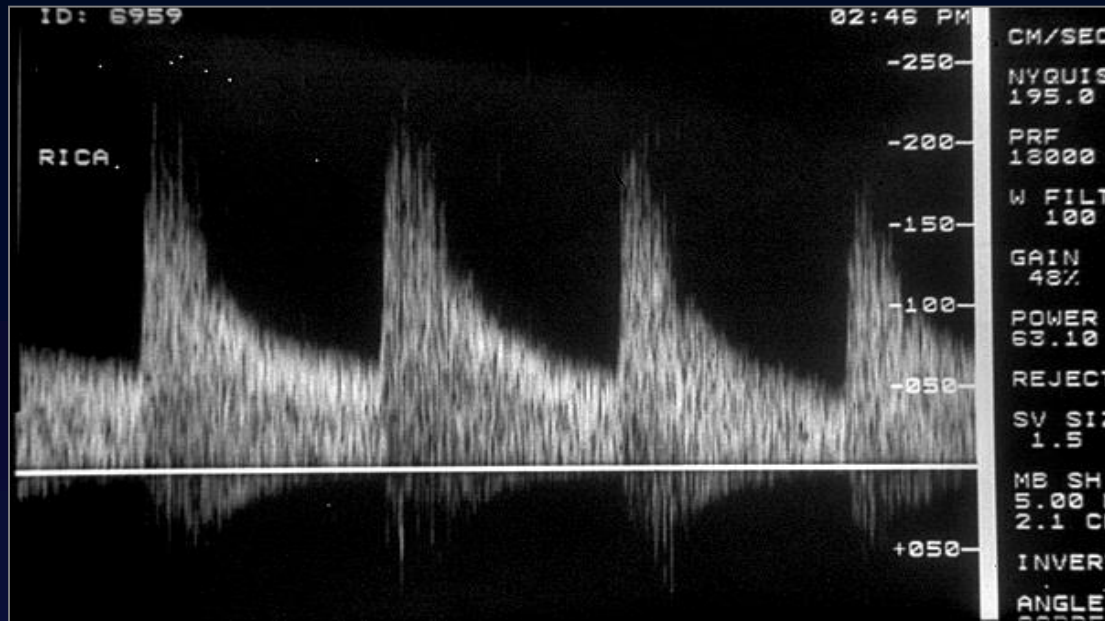
# DISCORDANCE BETWEEN GRAYSCALE AND DOPPLER FINDINGS

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- Plaque – LOTS!
- But velocity not as elevated as one would expect
  - tandem lesions
  - long segment stenosis
  - > 95% stenosis

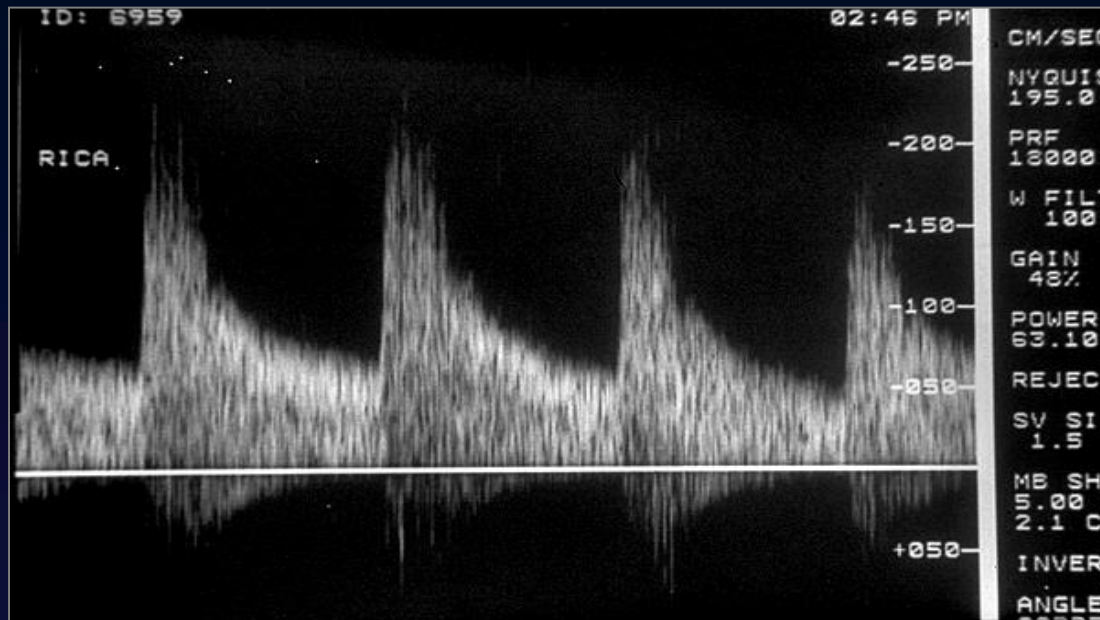
# LOTS OF PLAQUE; PSV NOT SO ELEVATED

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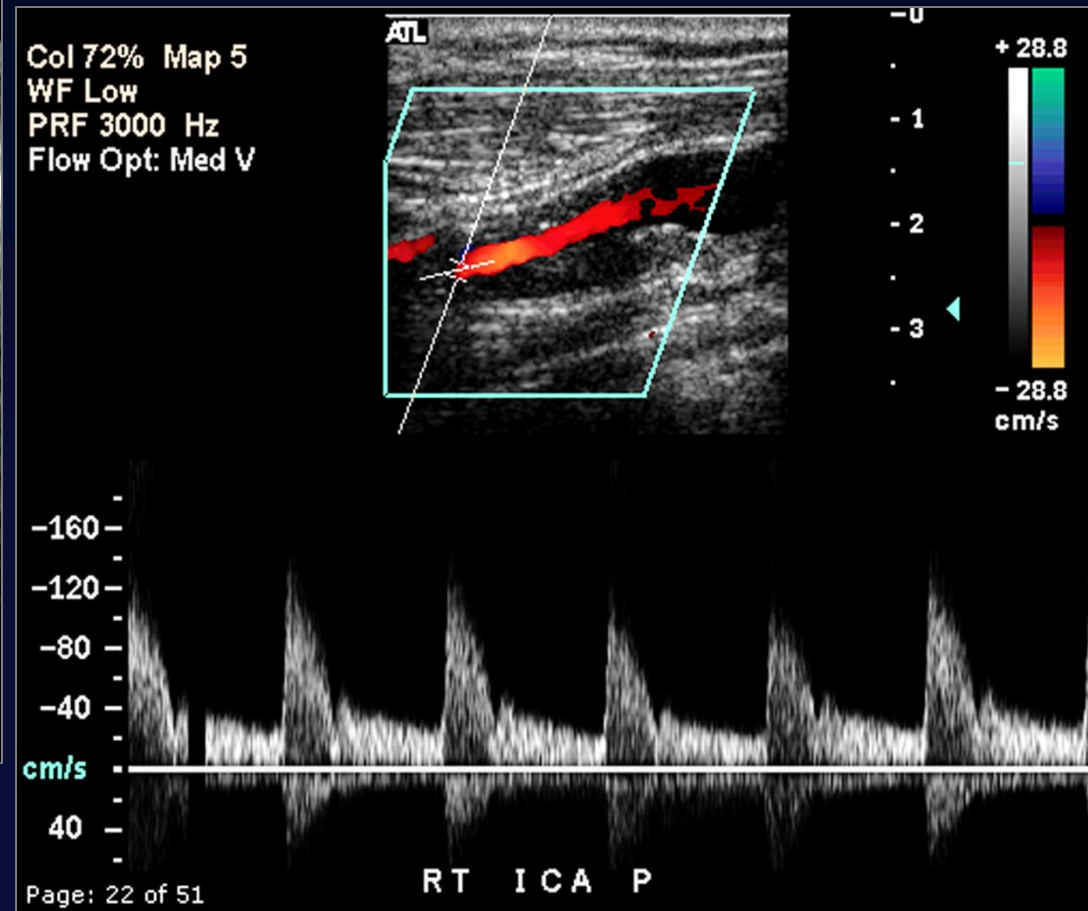
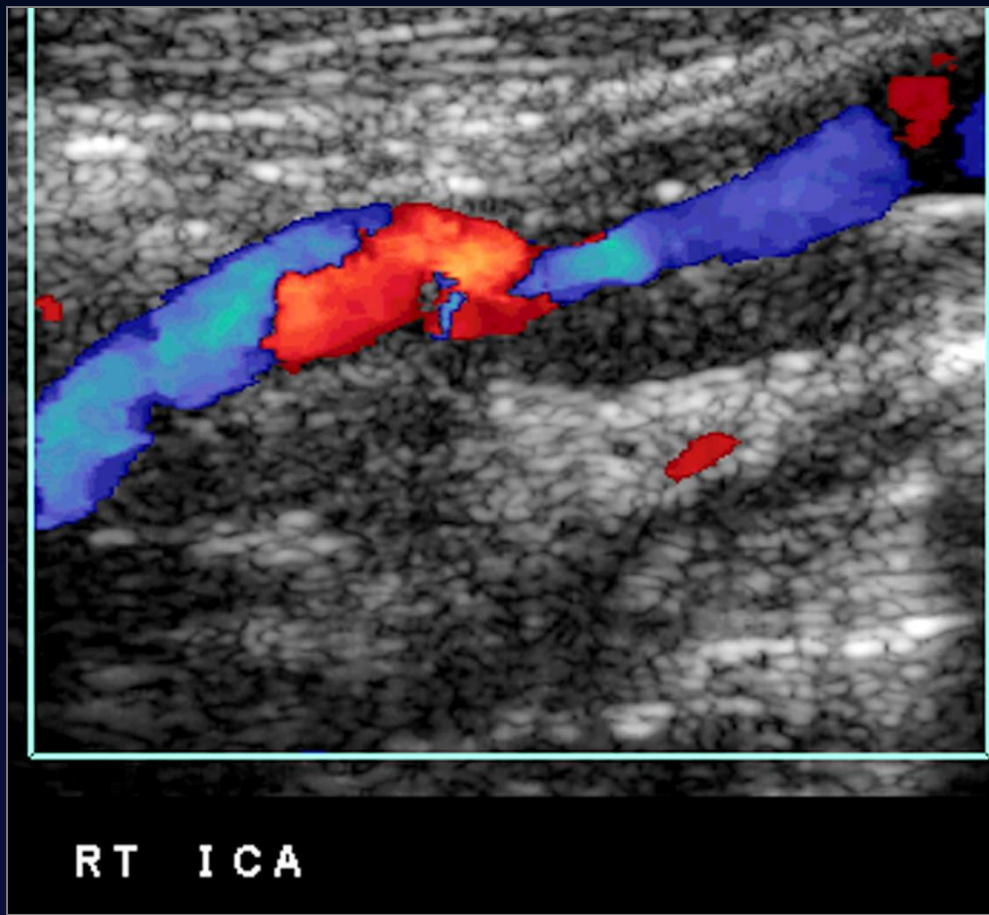


# TANDEM LESIONS



- $PSV < \text{expected for a given \% stenosis}$

# LOTS OF PLAQUE; PSV NOT SO ELEVATED





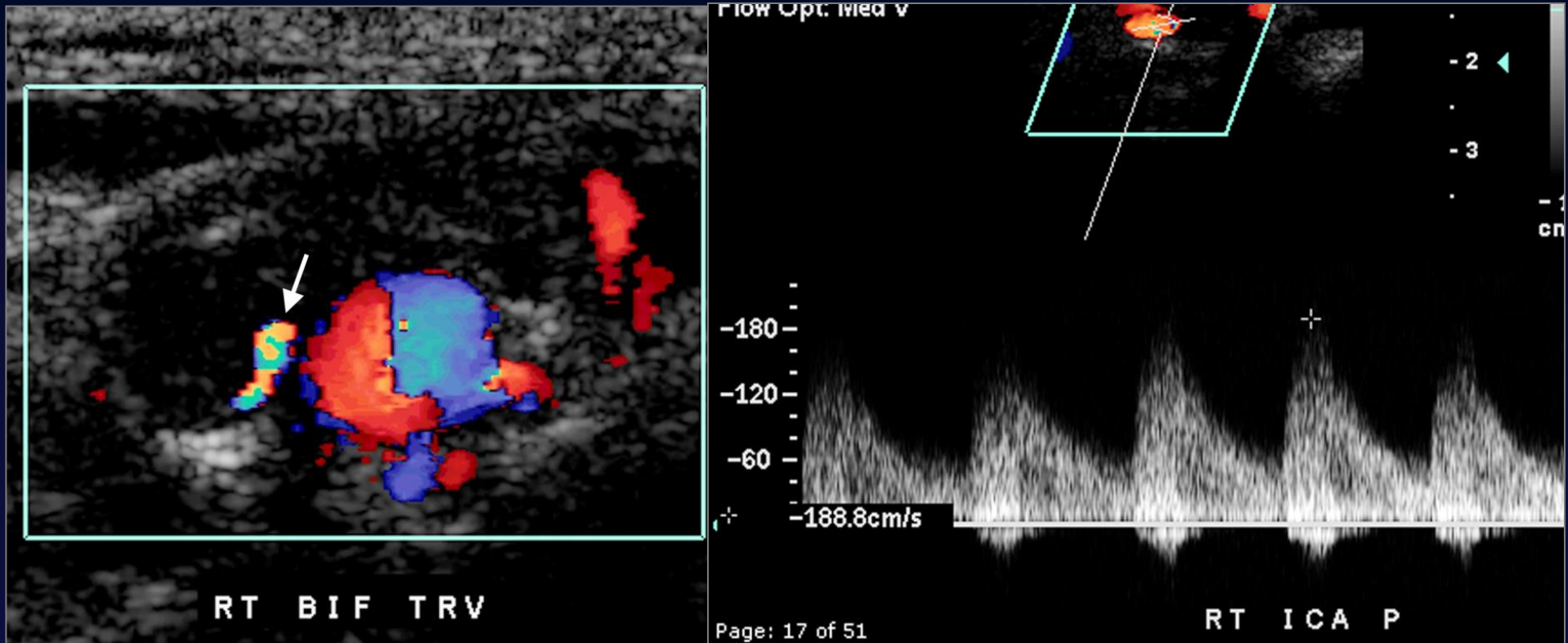
# LONG SEGMENT STENOSIS

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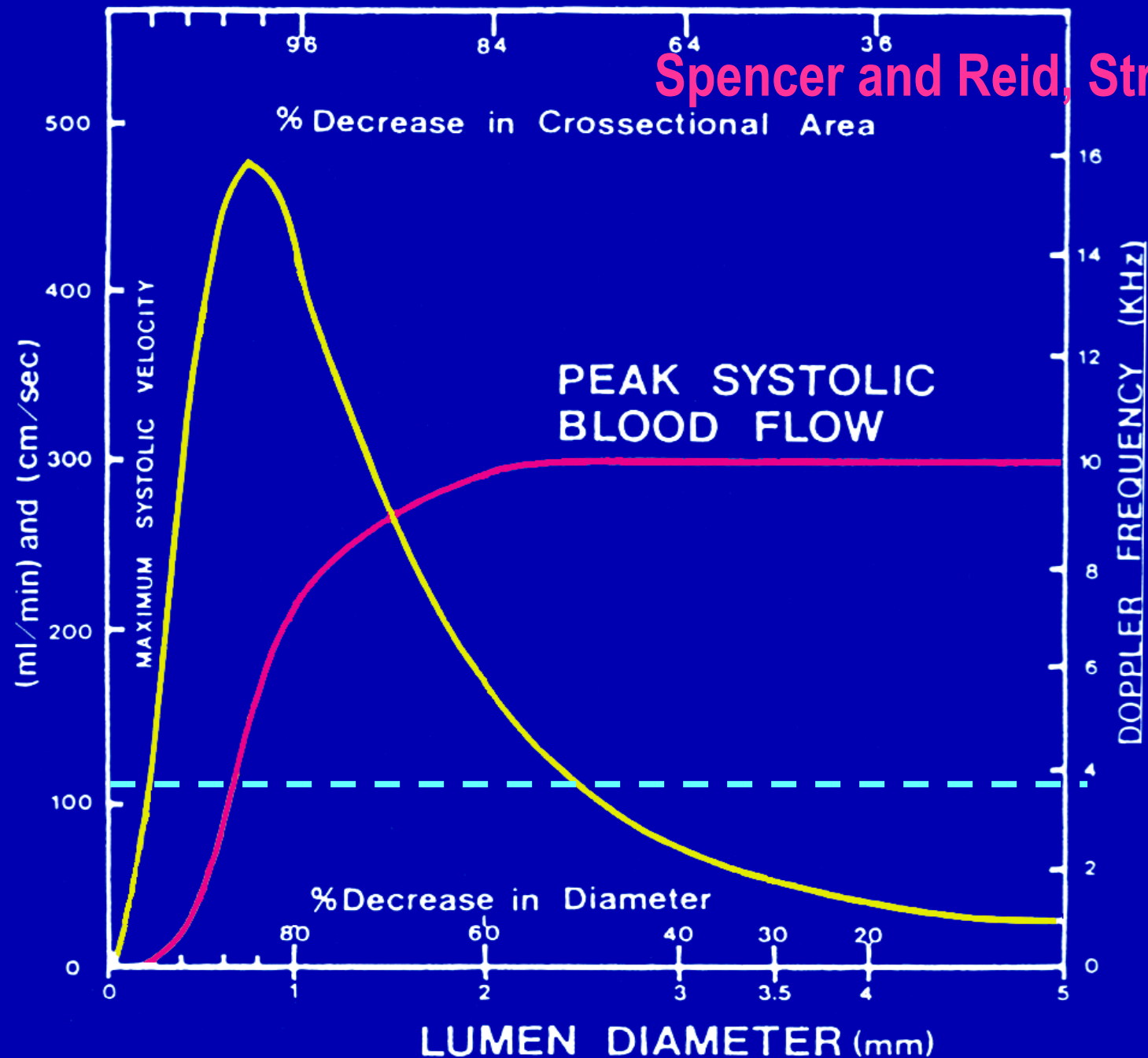
- Most atherosclerotic plaques ~ 1 cm in length
- Doppler parameters derived from pts with short segment plaque
- If plaque extends over more than 2 cm
  - PSV will ↓
  - diastolic velocity usu remains high
- Likely due to increased in-flow resistance
  - resistance is proportional to length of stenosis

# LOTS OF PLAQUE; PSV NOT SO ELEVATED

- Tight ( $> 95\%$ ) stenosis



Spencer and Reid, Stroke: 1979

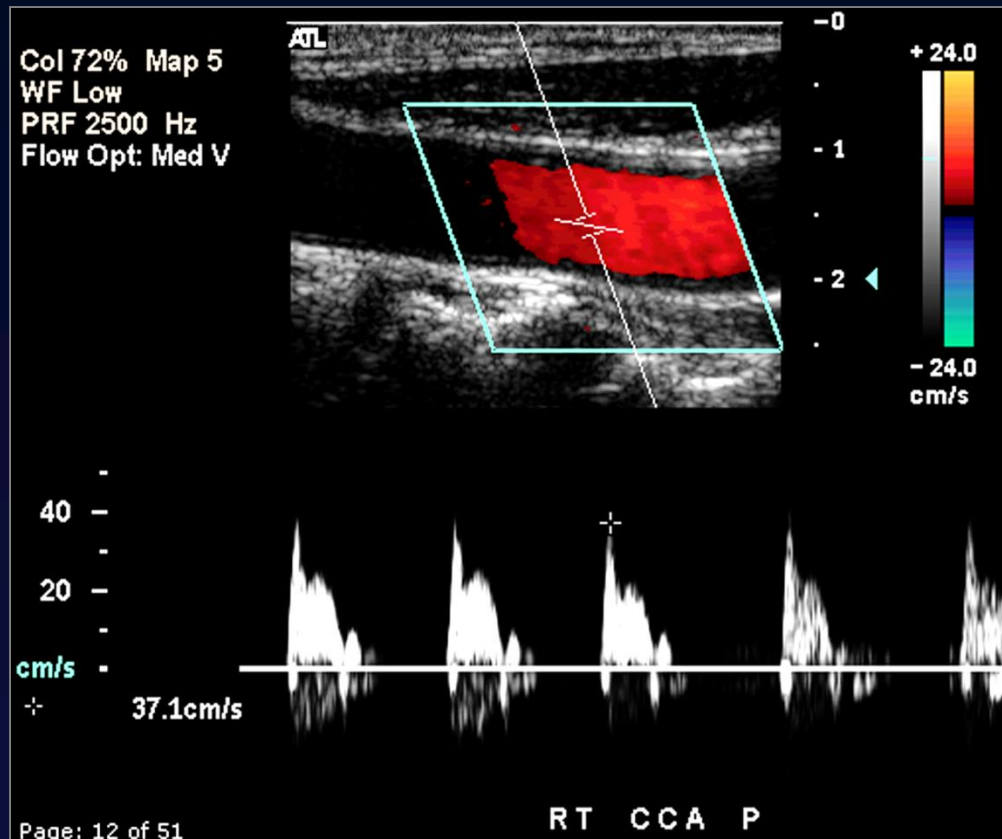


# CLUES TO A TIGHT STENOSIS

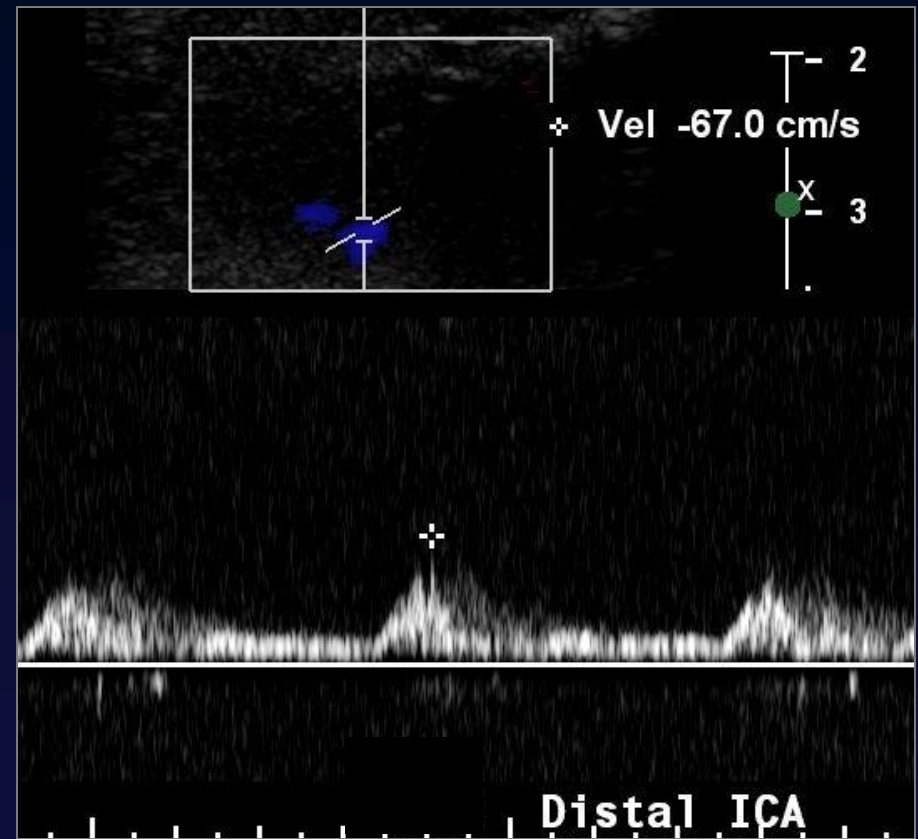
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- ↓ diameter of lumen on grayscale and/or color images
- “Knocking” or “Staccato” waveform proximally
  - ↓ PSV
  - reversed, absent or decrease diastolic flow
  - high resistance waveform
- Tardus parvus waveform distally
  - you should always sample as distally as possible in the ICA

# TIGHT STENOSIS



**Proximal CCA**

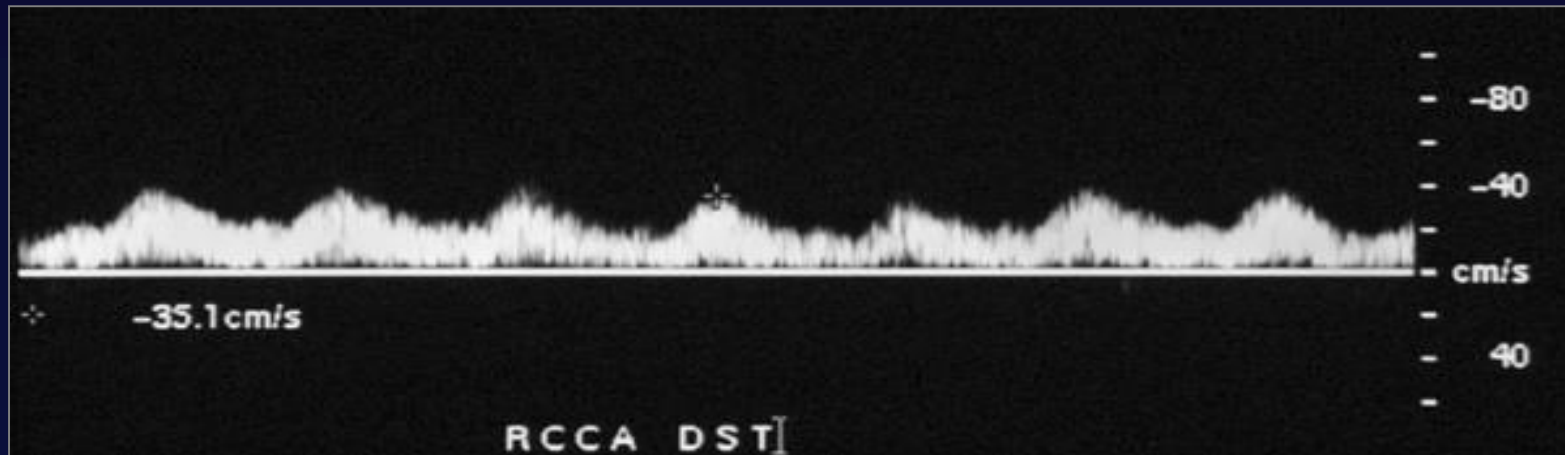


**Distal ICA**

# TARDUS PARVUS WAVEFORM

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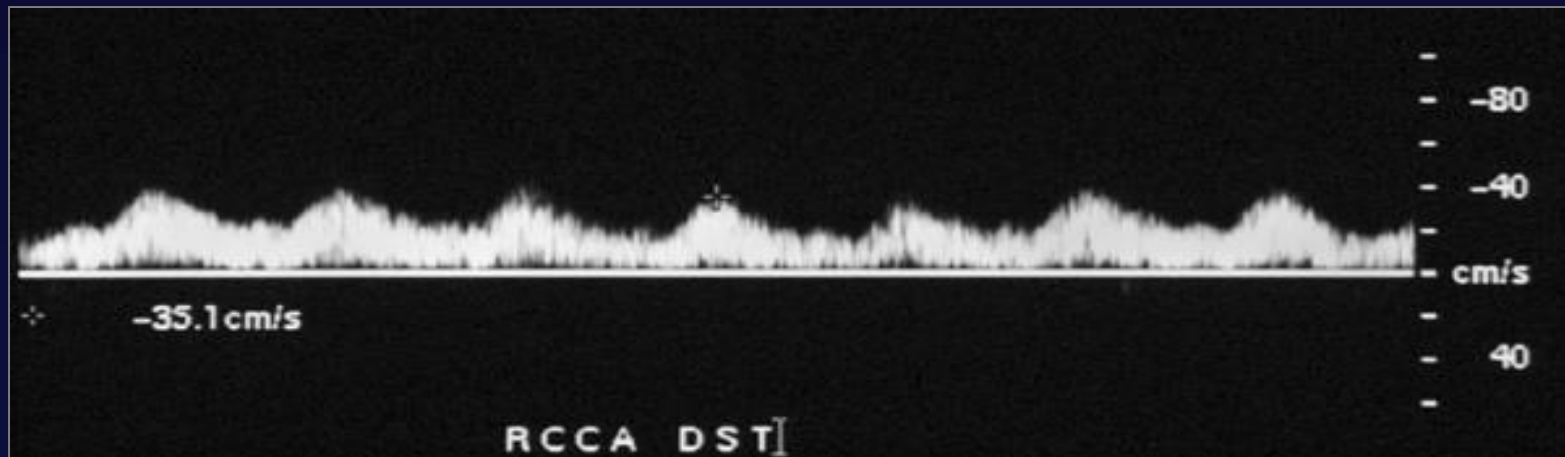
- Delayed systolic upstroke
- Decreased PSV
- Rounded systolic peak



# TARDUS PARVUS WAVEFORM

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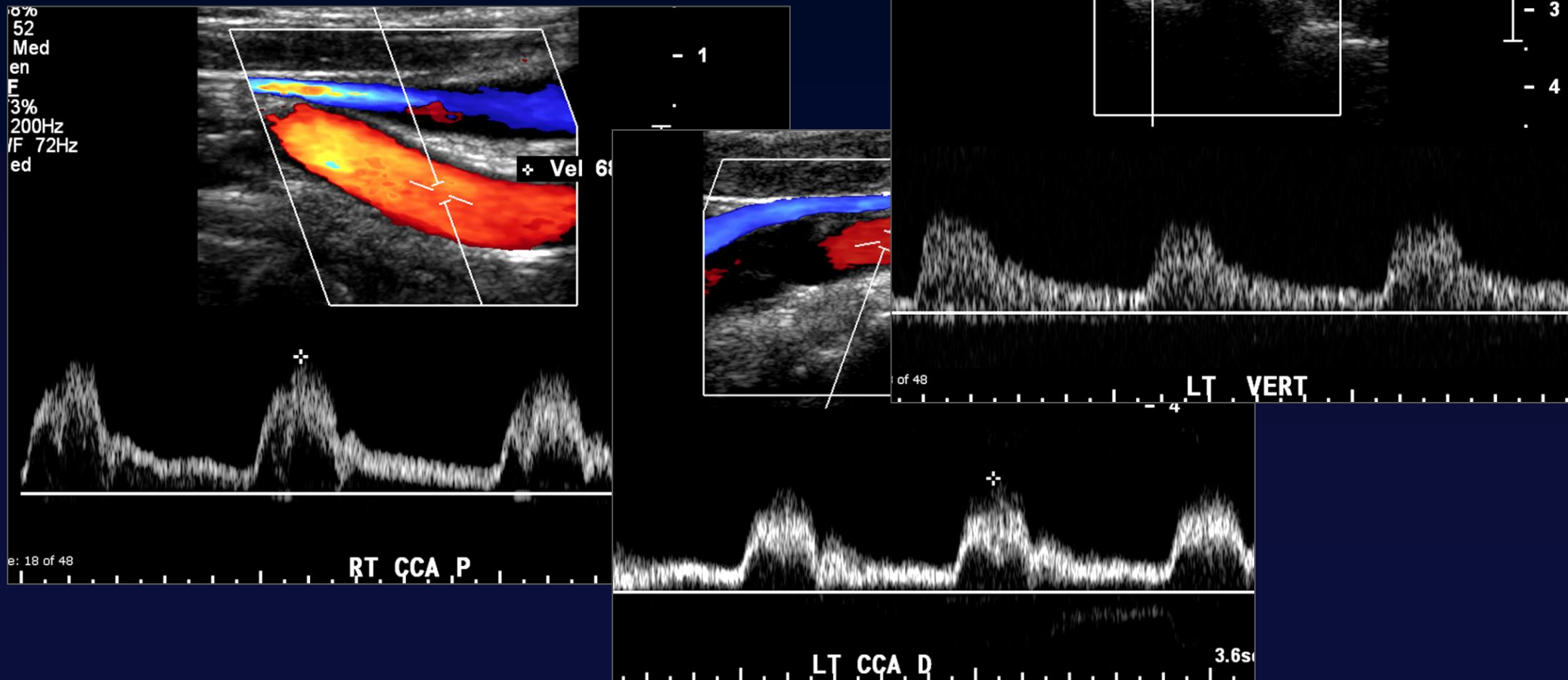
- Occurs **distal** to a high grade stenosis
- The more distal to the stenosis, the more pronounced
- Pattern of distribution can help localize stenosis





# TARDUS PARVUS WAVEFORM

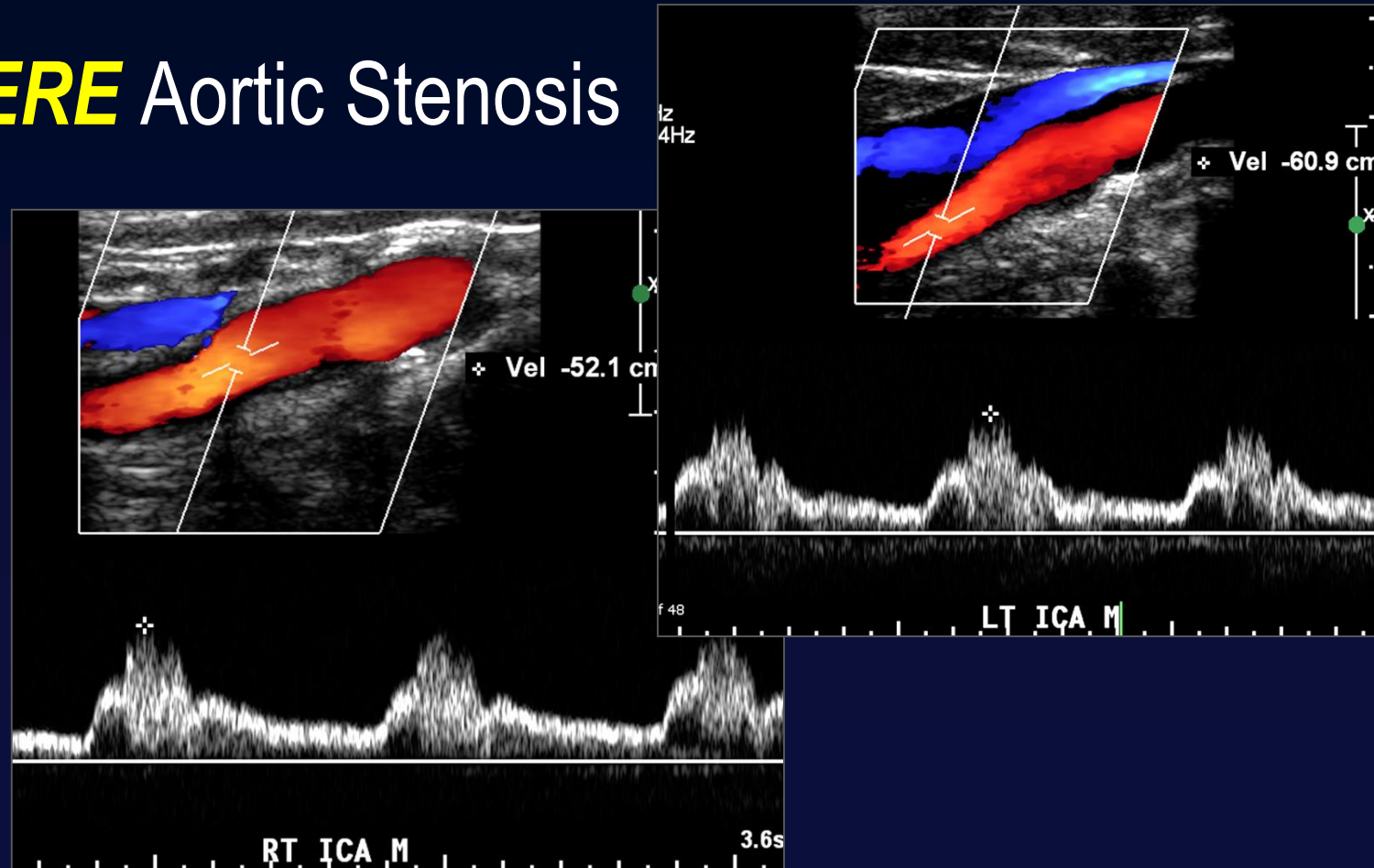
- Rt CCA, Lt CCA & both VAs



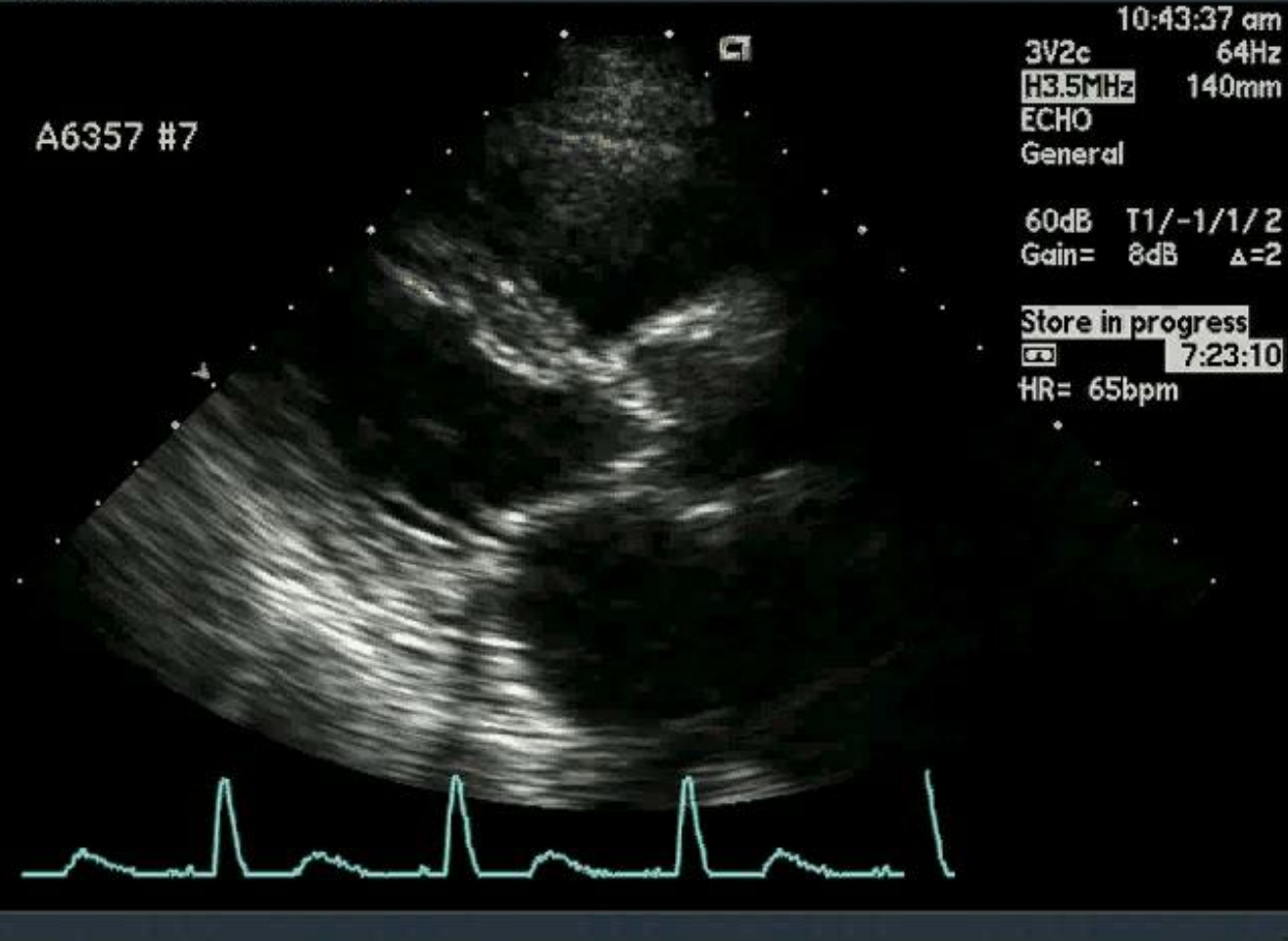


# TARDUS PARVUS WAVEFORM

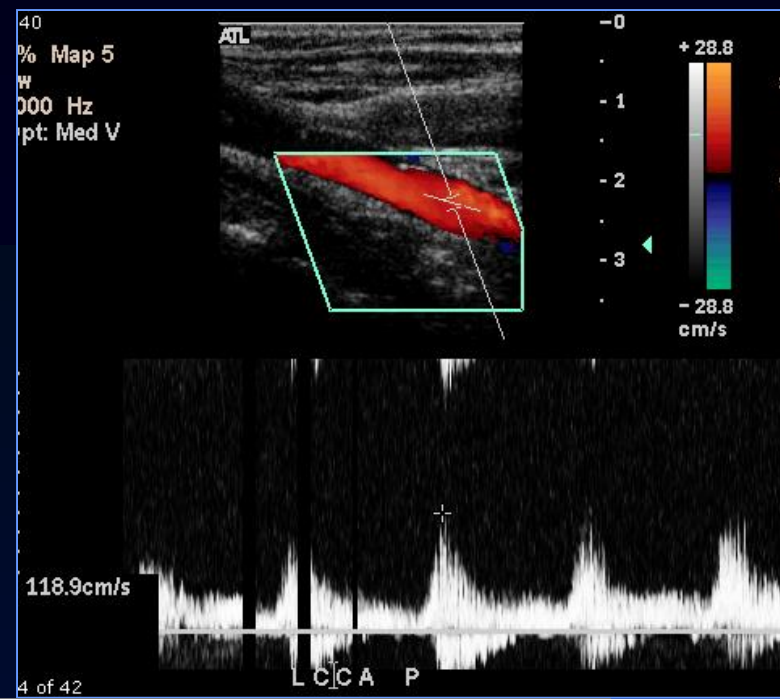
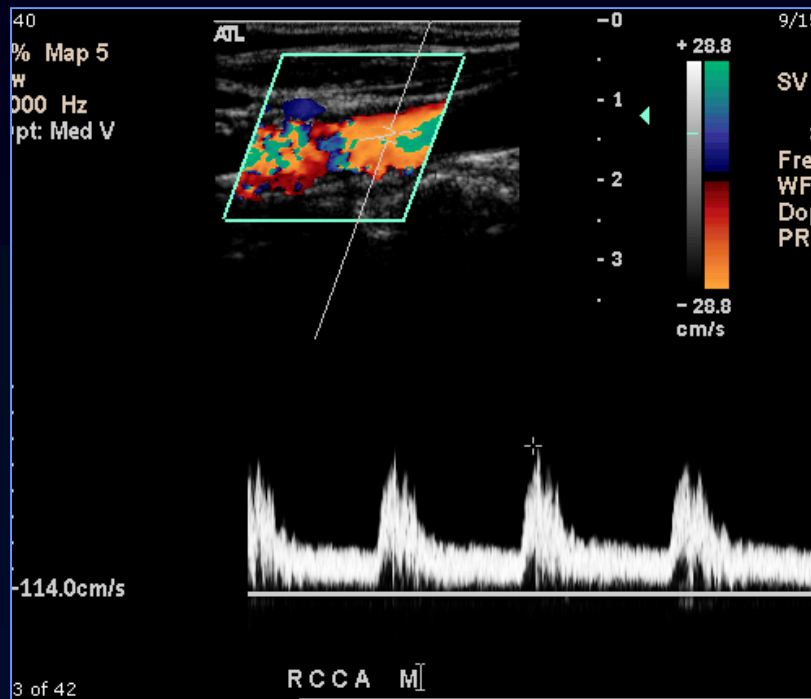
- More pronounced in ICAs than CCAs
- Seen in **SEVERE** Aortic Stenosis
- Note: ↓ PSV



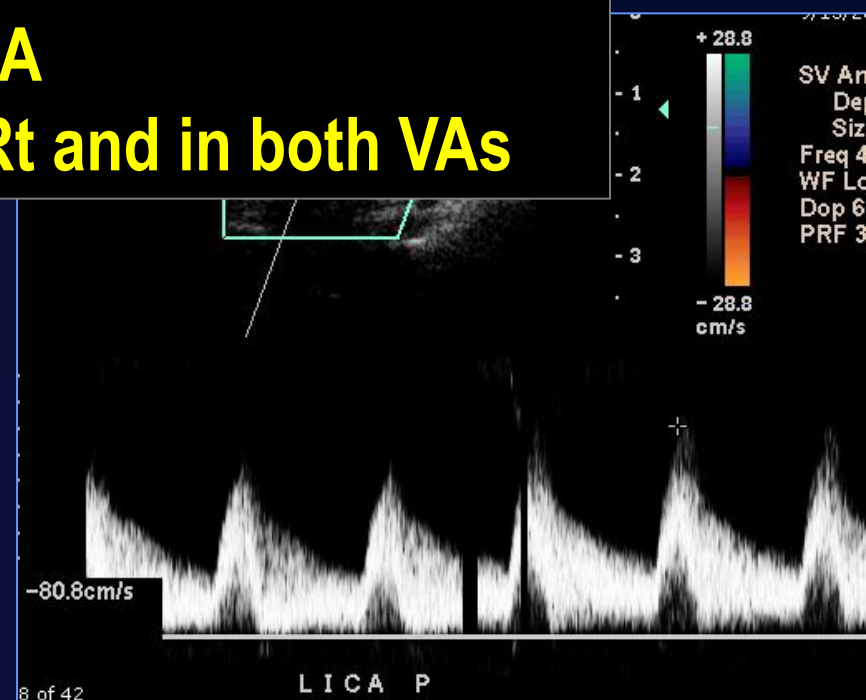
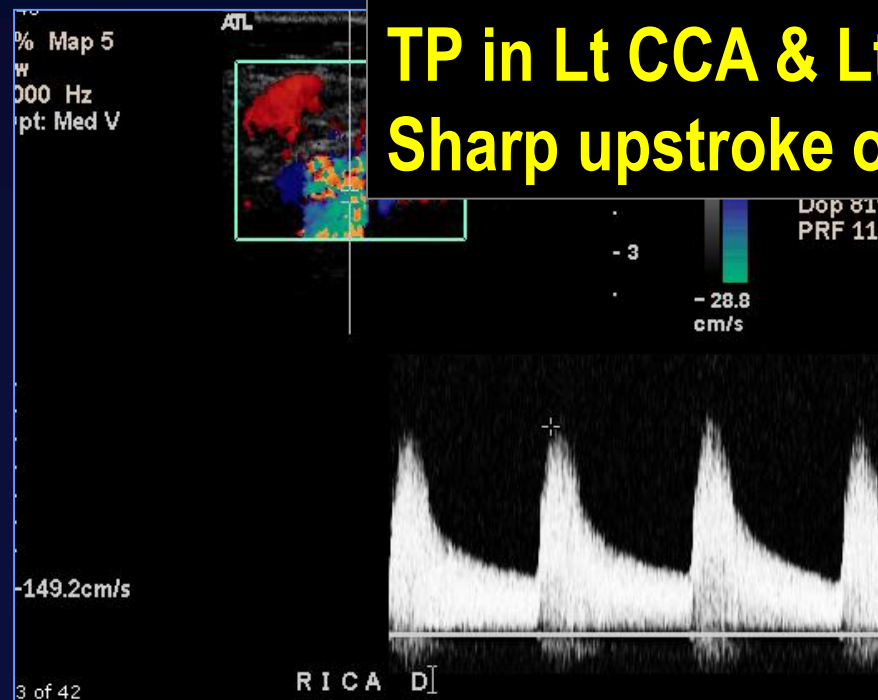
YALE NEW HAVEN HOSPITAL, BW

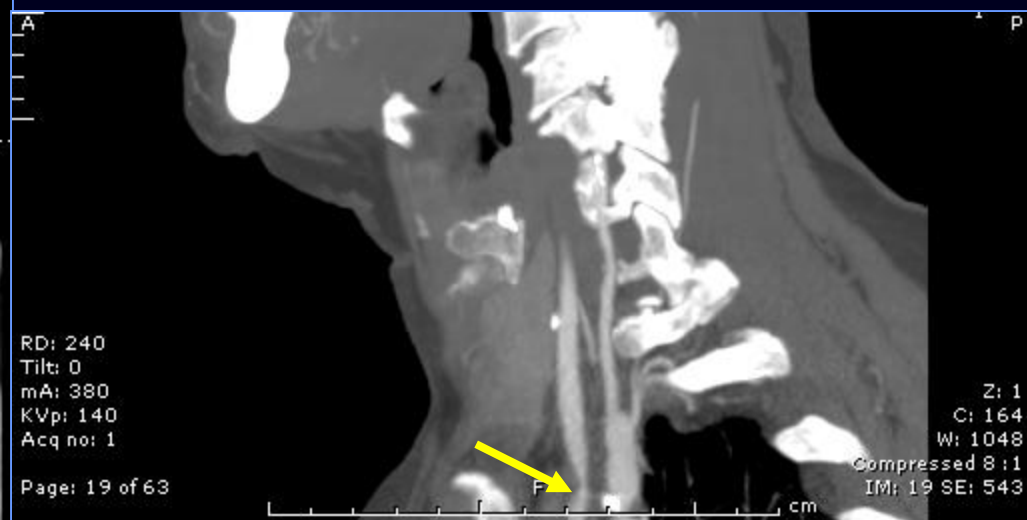


**Severe Aortic Stenosis**



**TP in Lt CCA & Lt ICA**  
**Sharp upstroke on Rt and in both VAs**

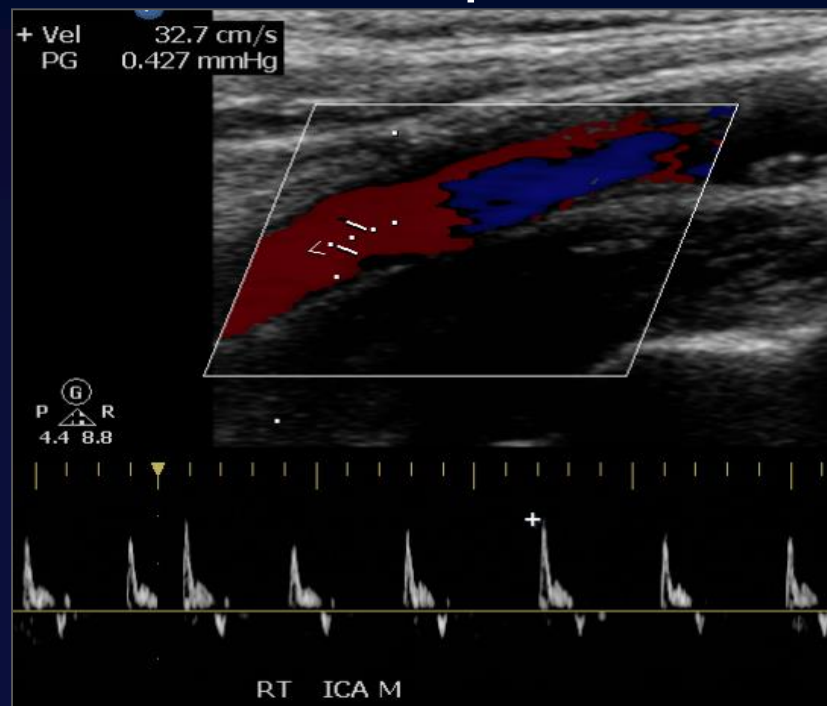




**Stenosis at Origin of Lt CCA**

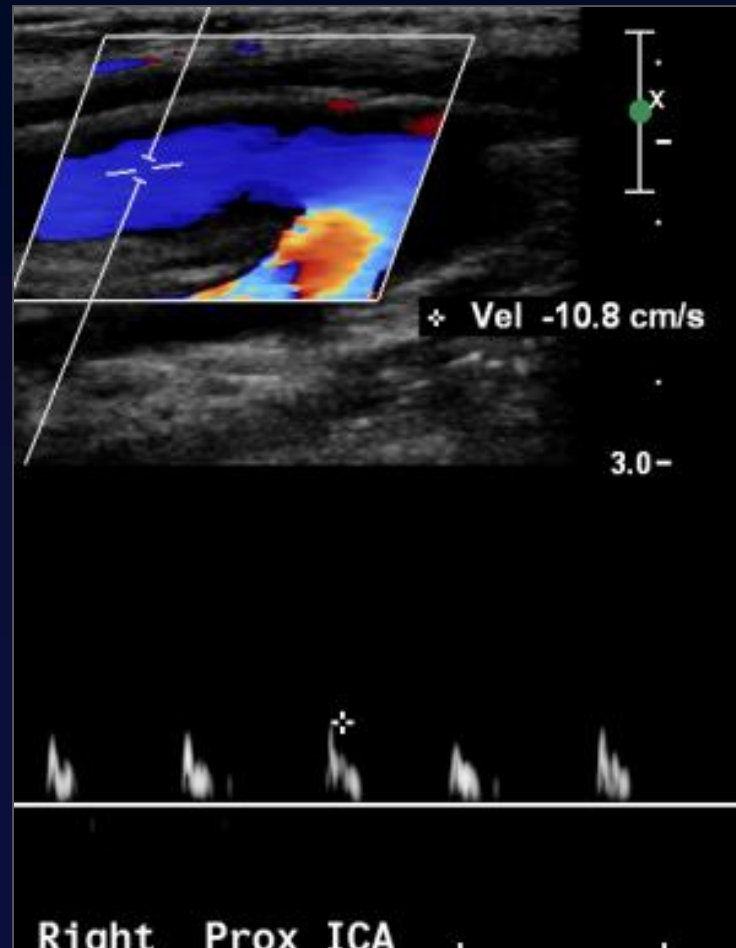
# “KNOCKING” WAVEFORM

- Low PSV
- Decreased, absent or reversed diastolic flow
- High resistance waveform pattern

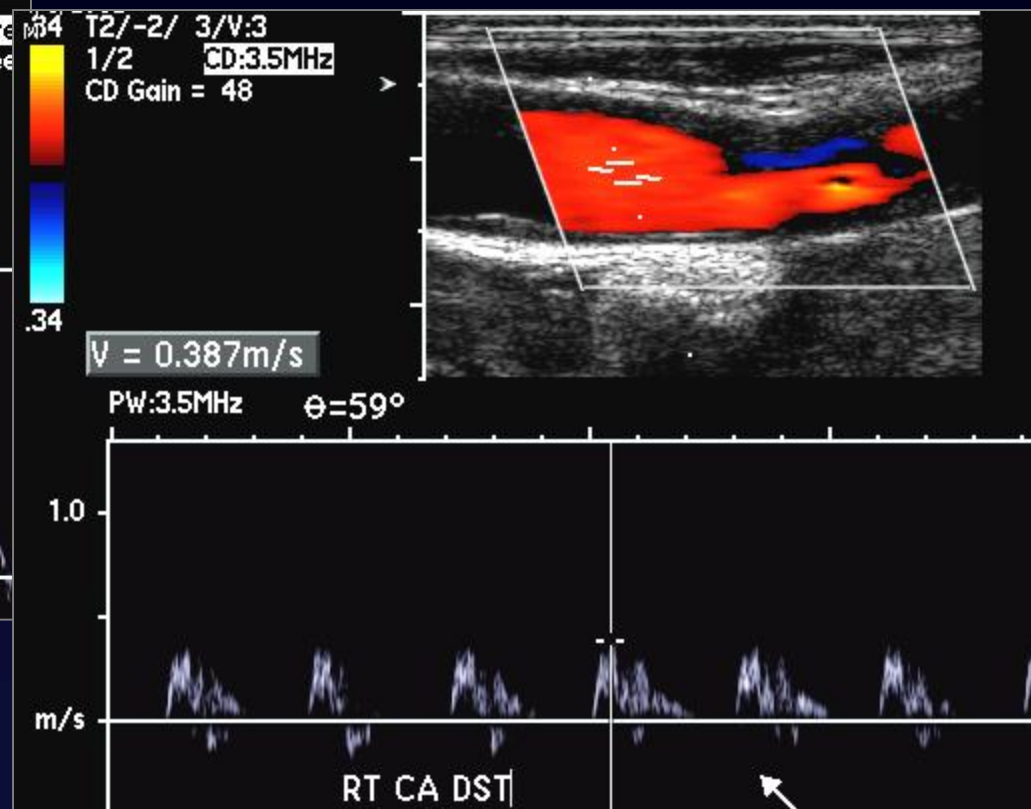
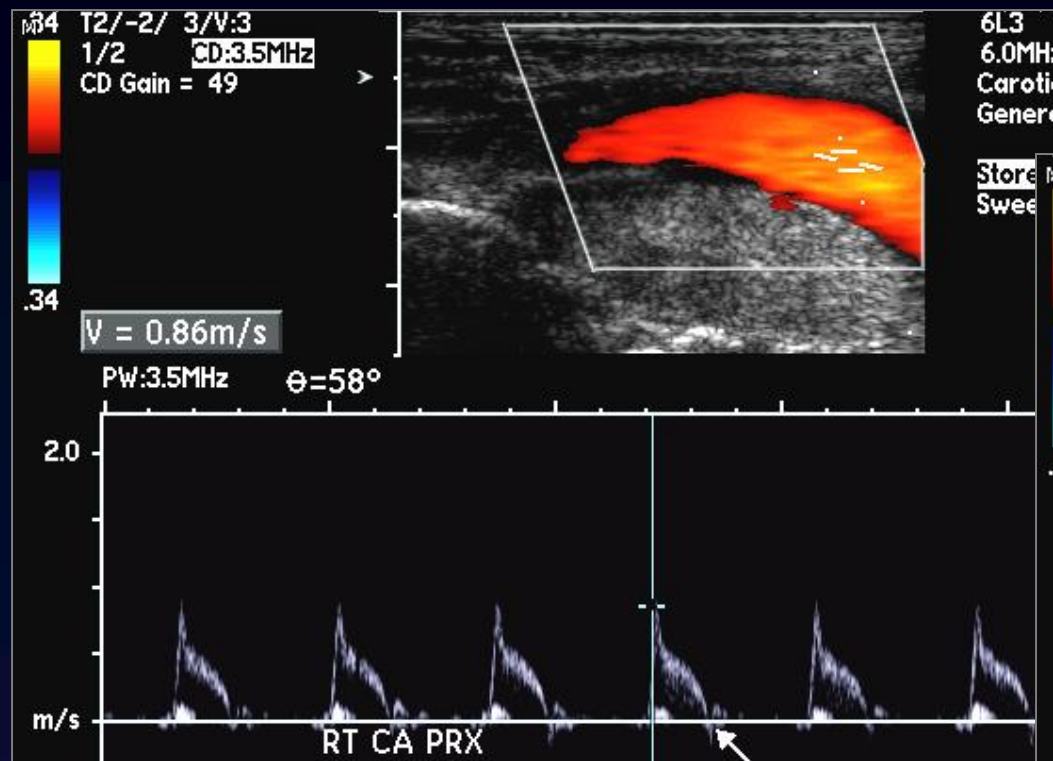


# “KNOCKING” WAVEFORM

- Occurs **proximal** to an occlusion or high grade stenosis
  - atherosclerosis
  - dissection
  - vasospasm
  - increased ICP

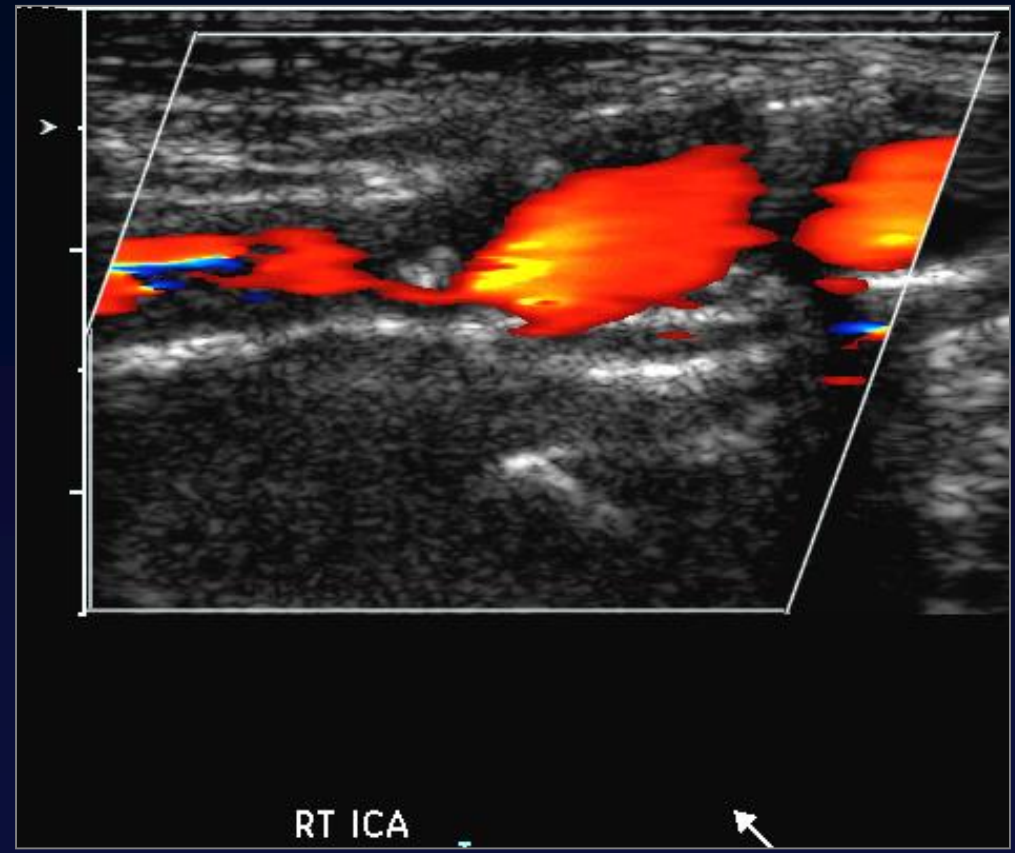
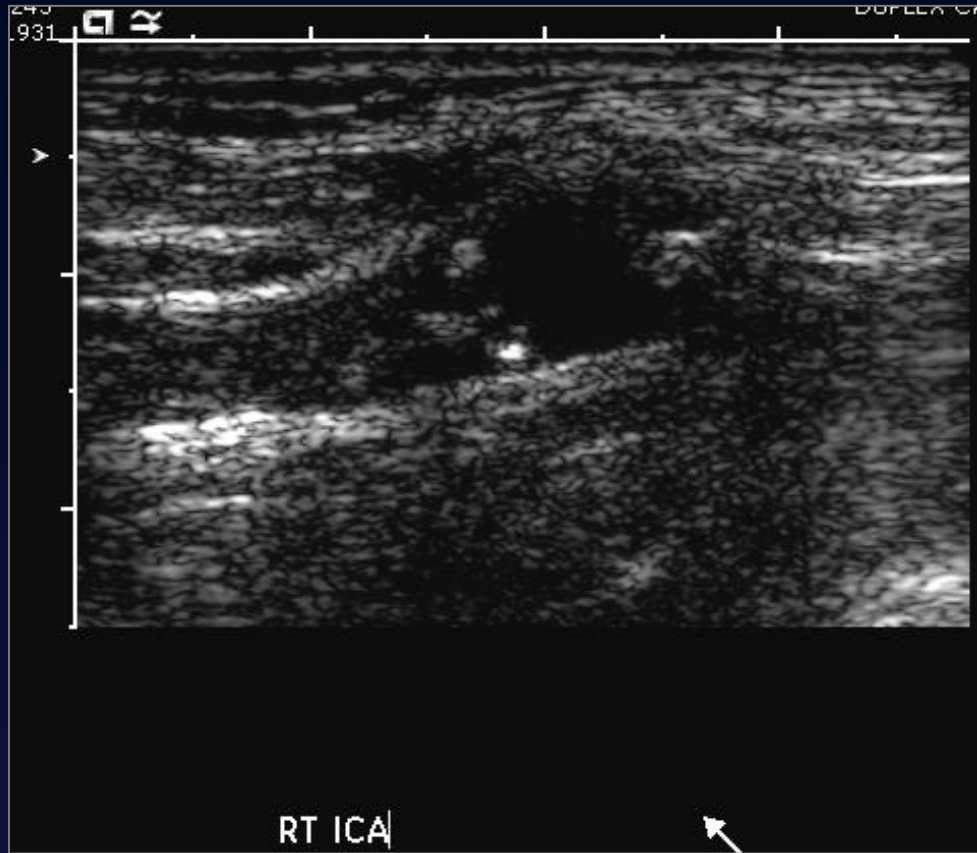




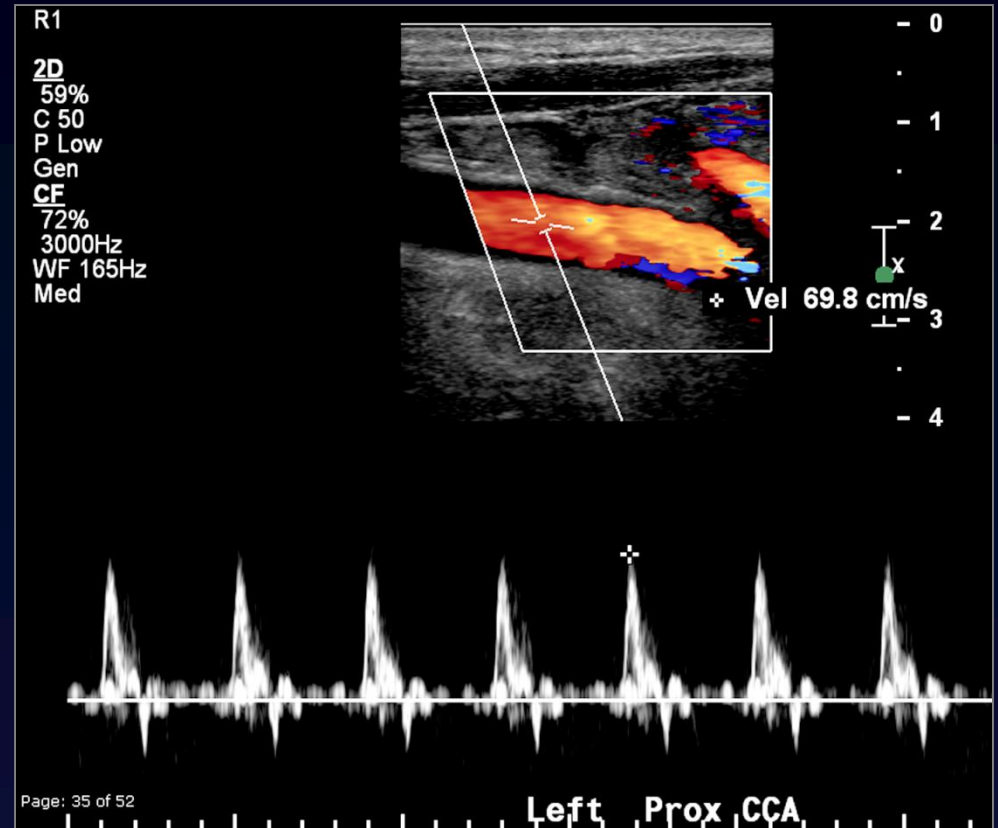
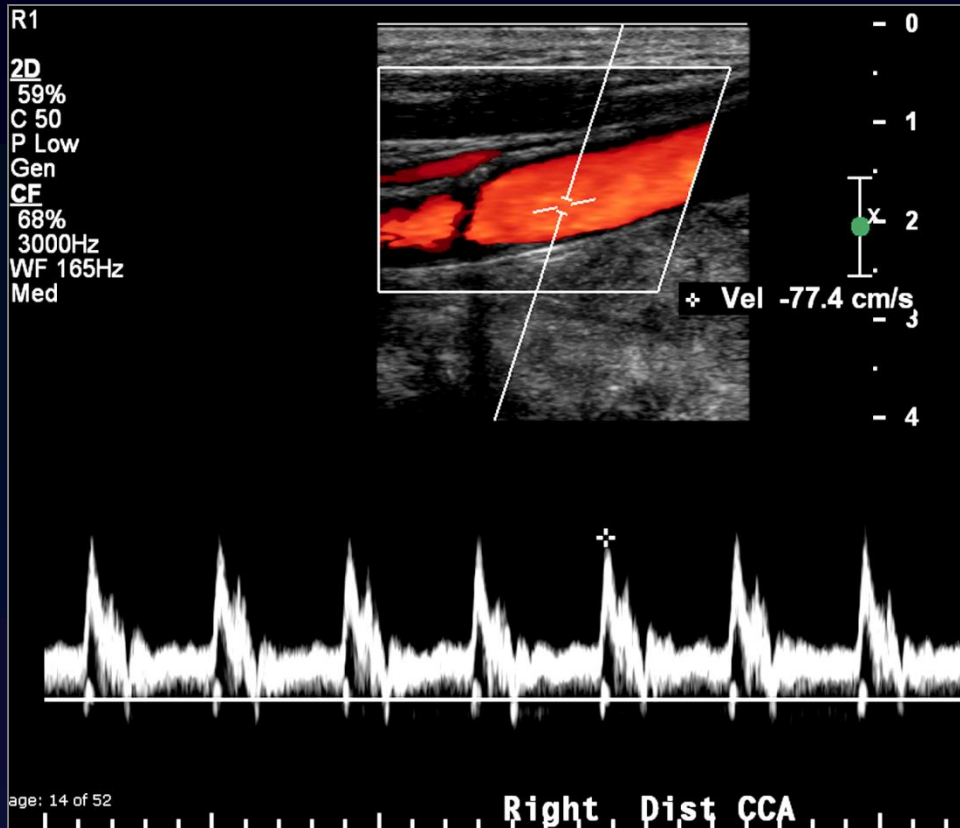


- More pronounced the closer one samples to the obstructing lesion

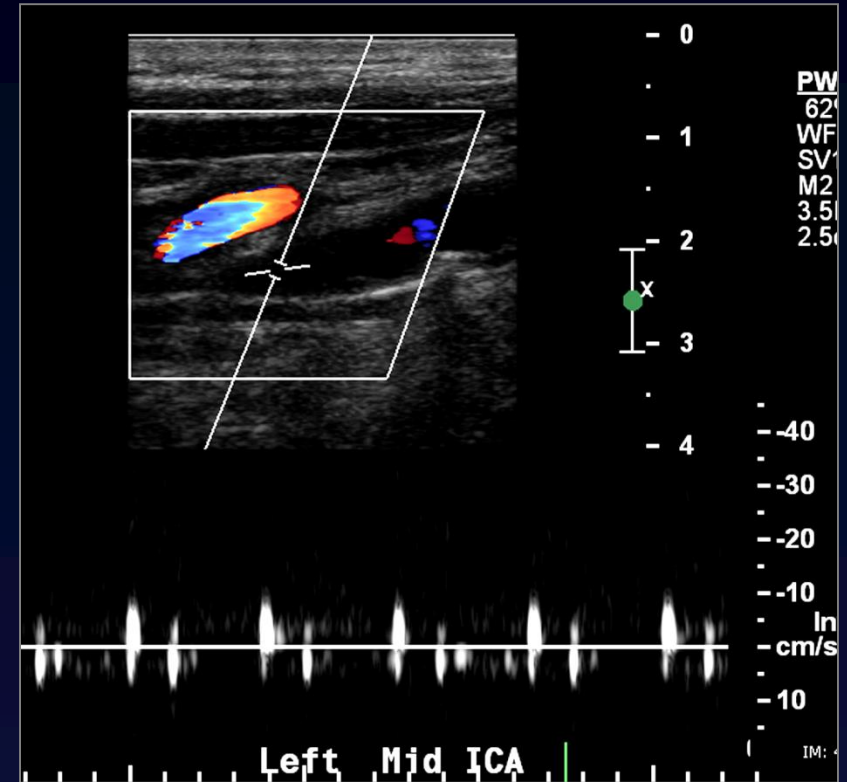
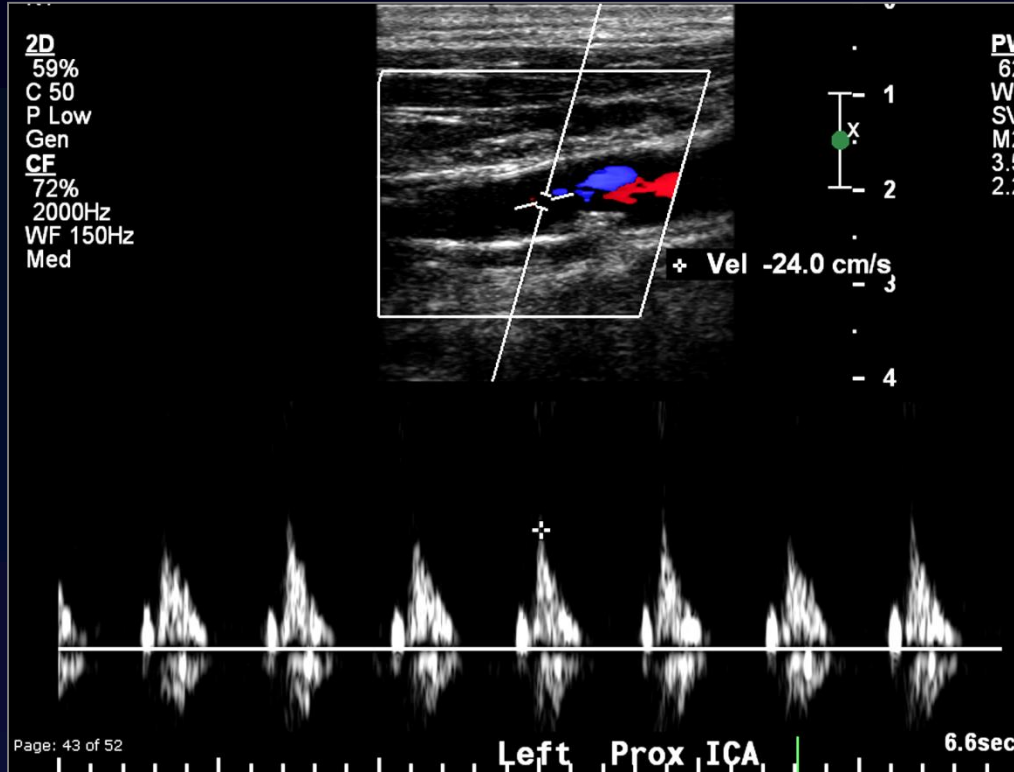
# RICA “STRING SIGN”



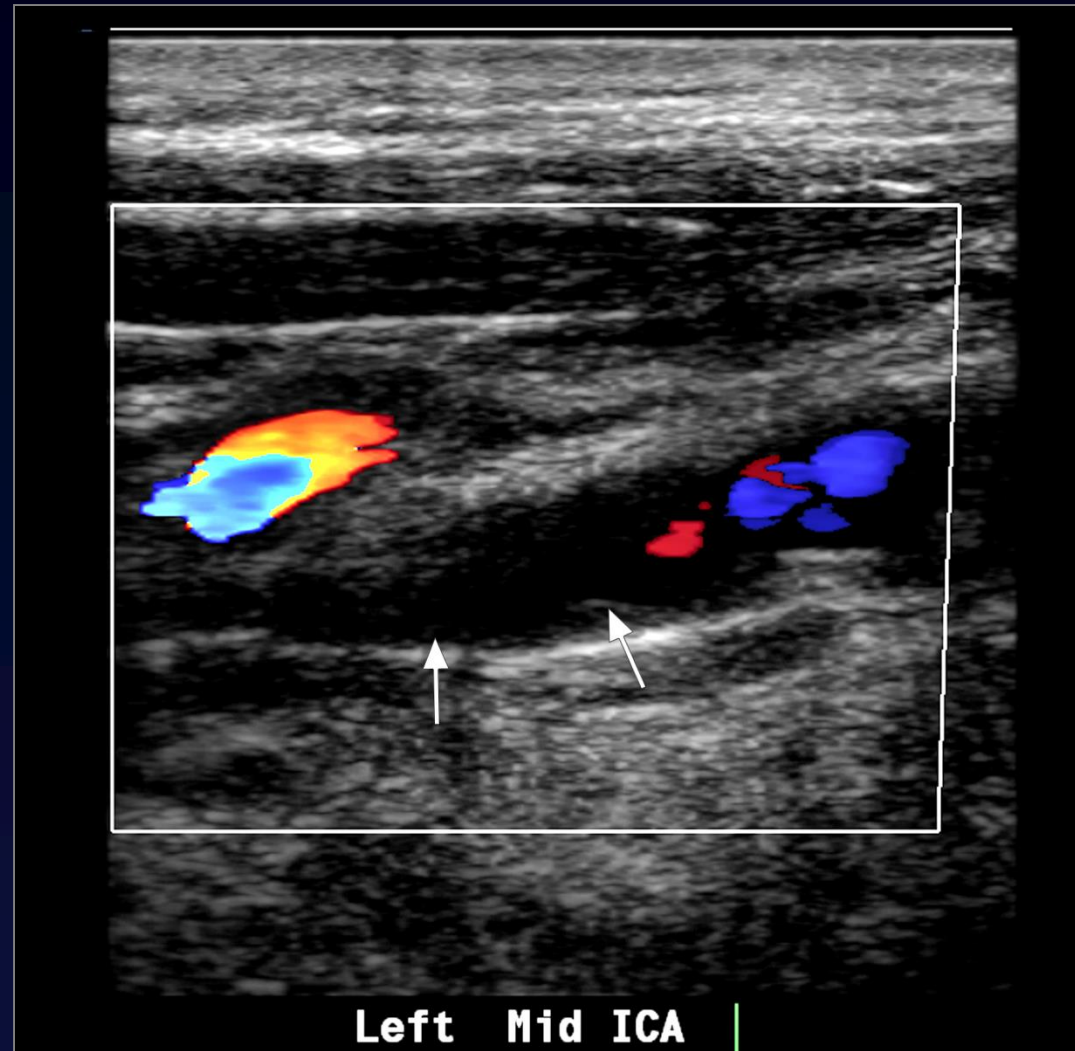




- Asymmetry of Rt & Lt CCA waveforms
- ↓ diastolic flow in Lt CCA

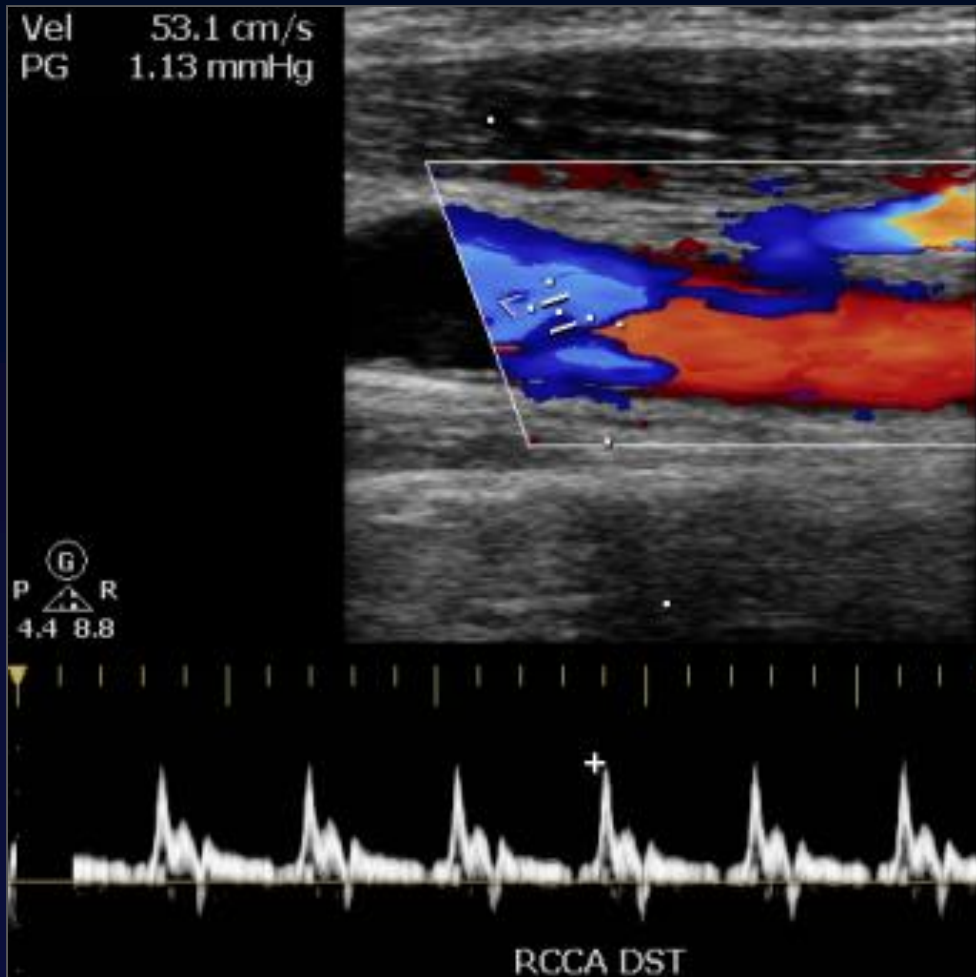


- More pronounced in Lt ICA

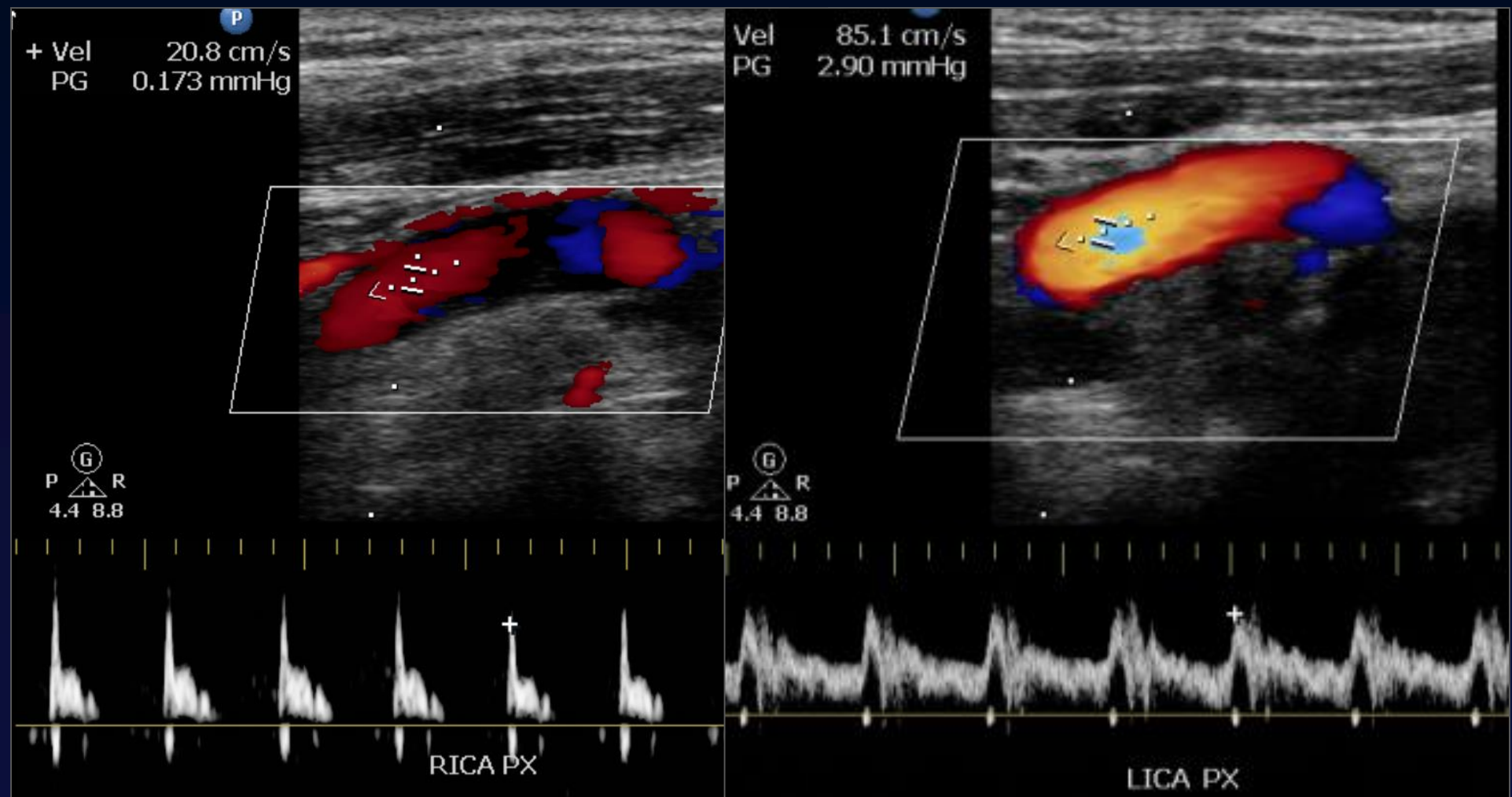


**Distal Lt ICA Occlusion**

# ↓ PSV & EDV: RT CCA



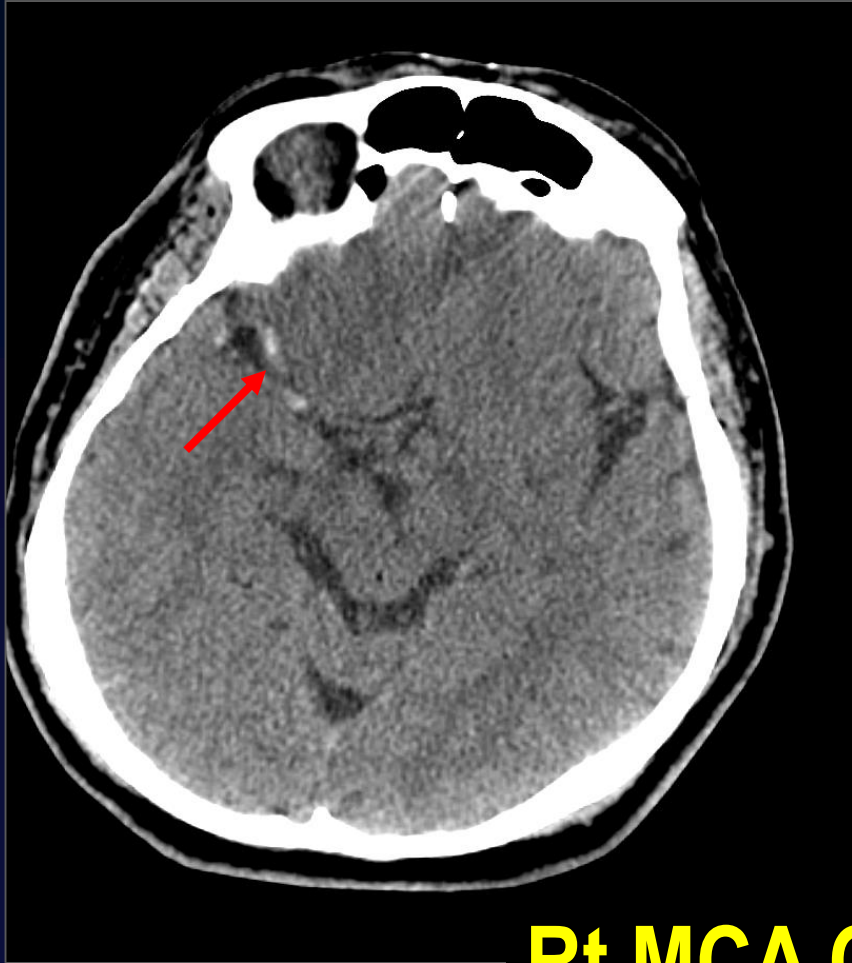
# ↓ PSV & EDV: RT ICA





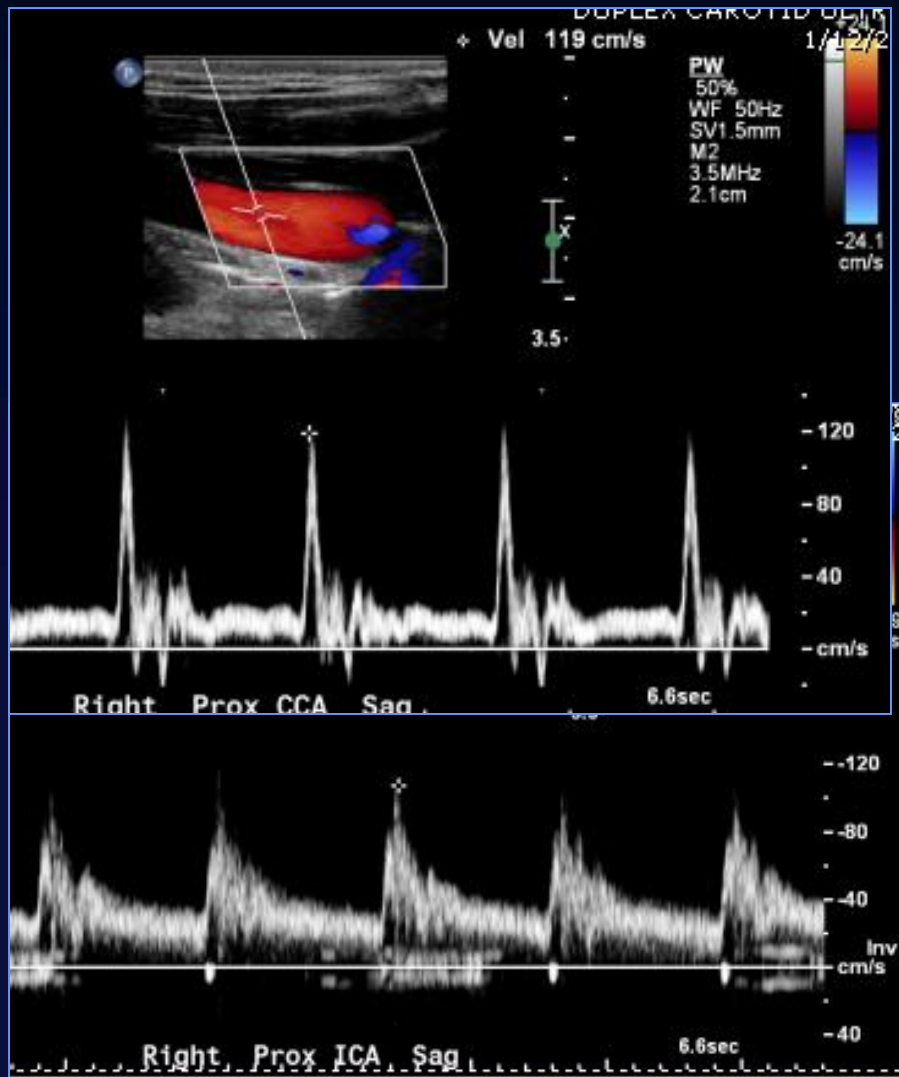
**↓ PSV & EDV: RT CCA & ICA**

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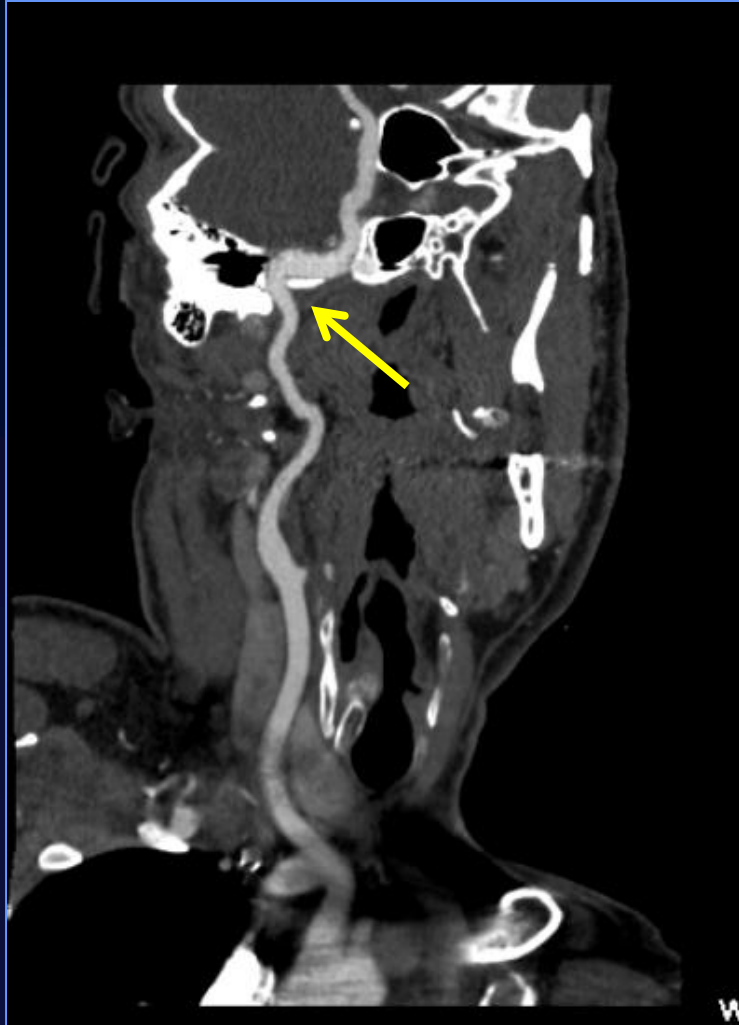


**Rt MCA Occlusion**

# 24 yo Female w/ Headache



# ICA DISSECTION @ SKULL BASE



RT



LT

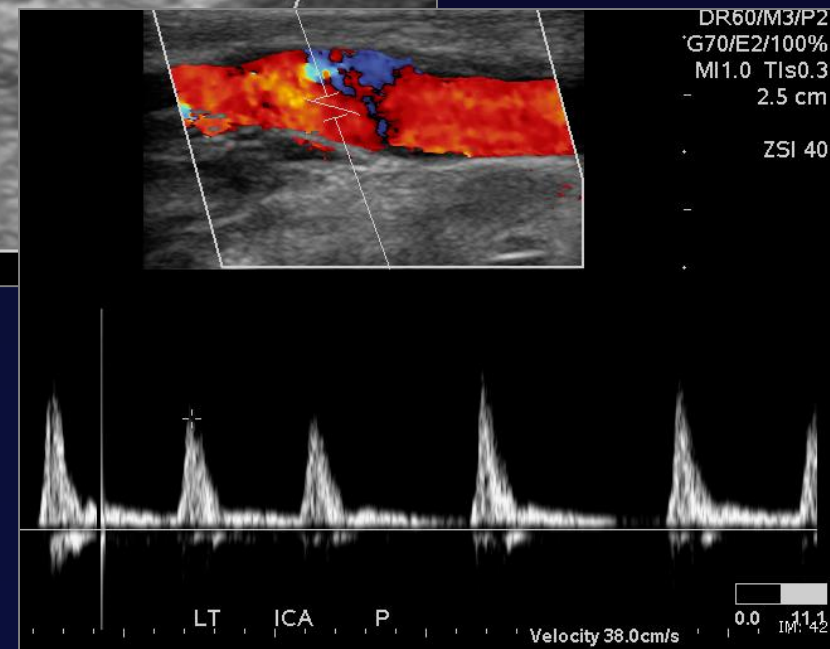
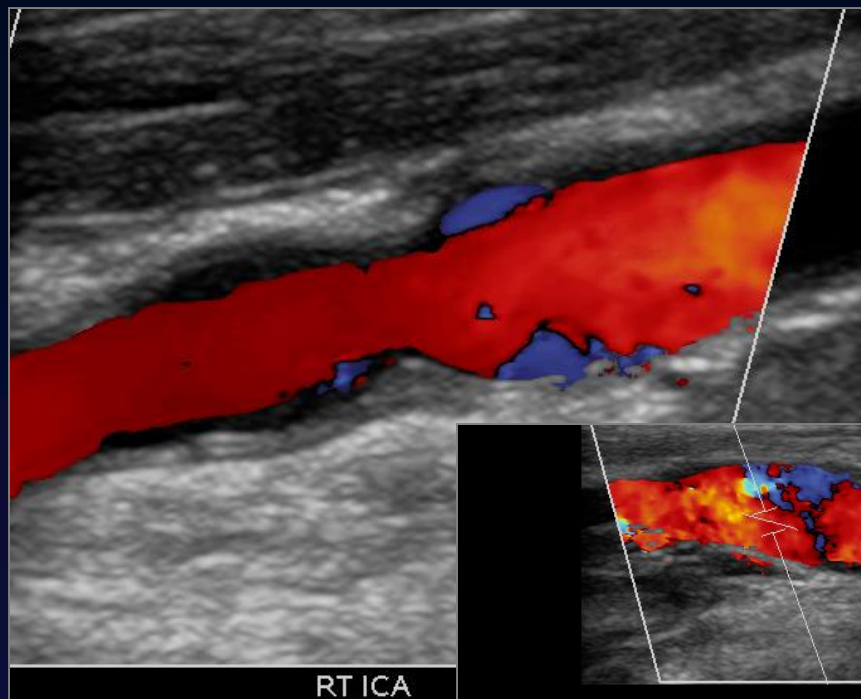
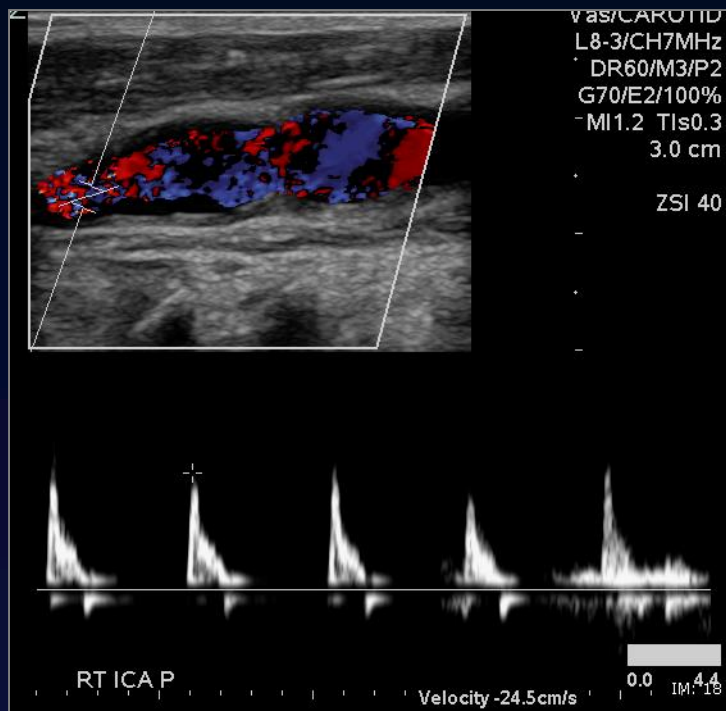


# ICA DISSECTION @ LT SKULL BASE

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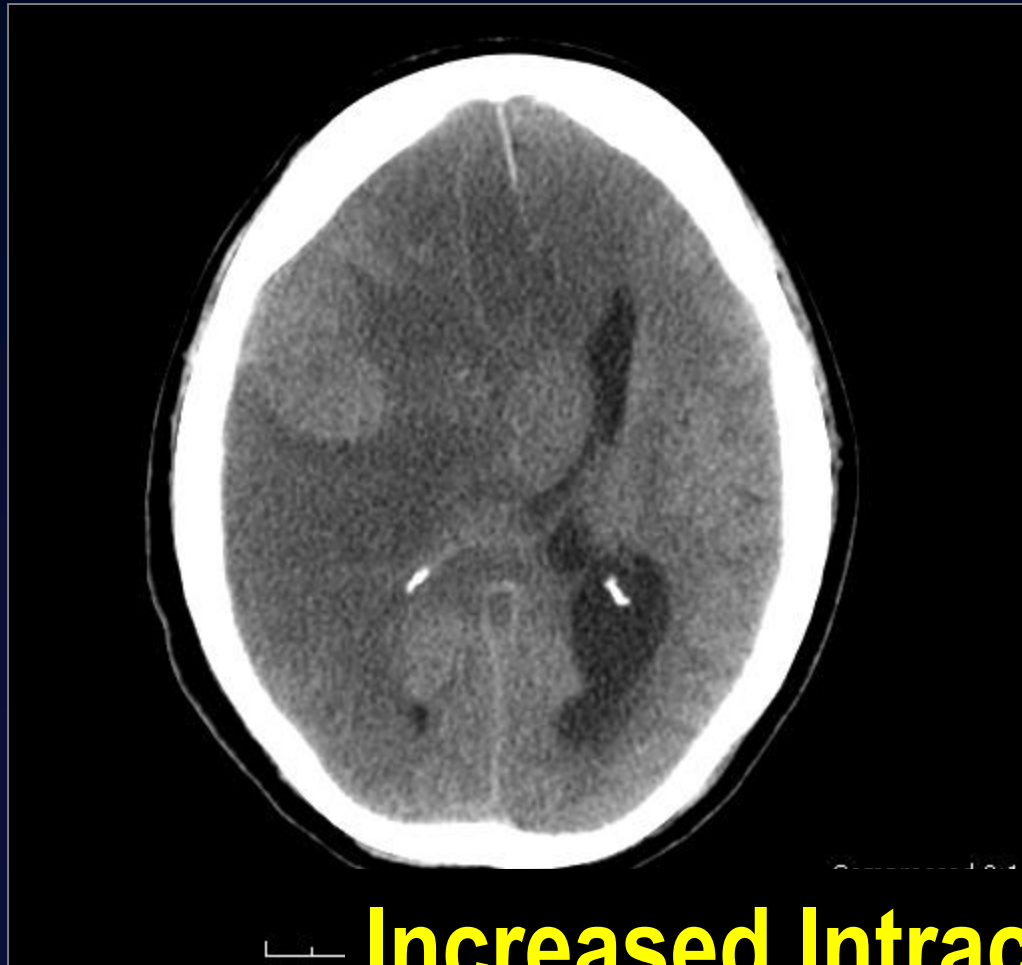


# BILATERAL ↓ PSV & EDV in ICAs



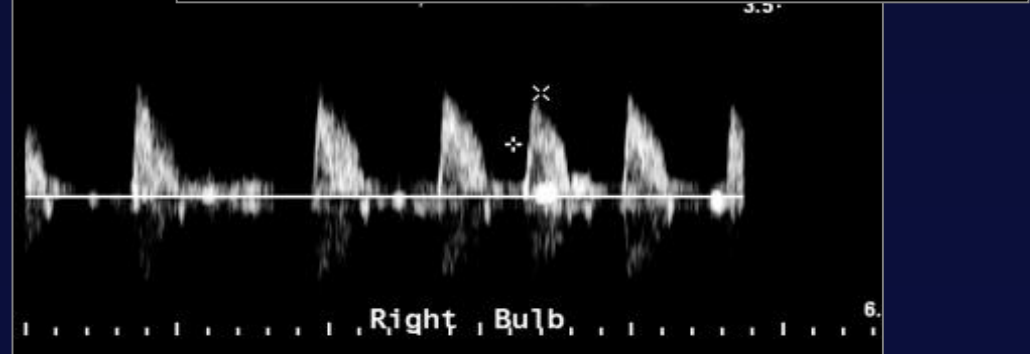
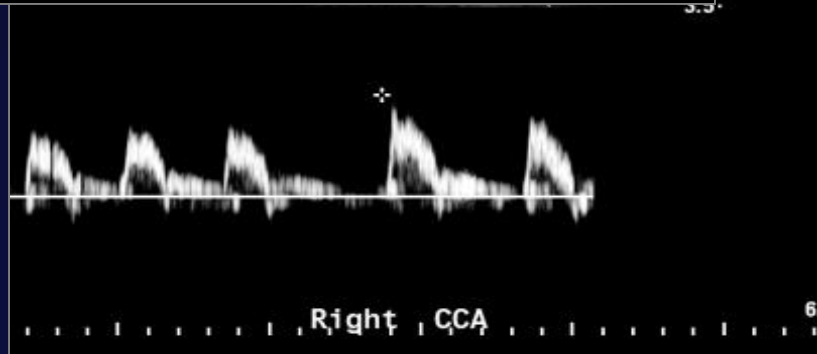
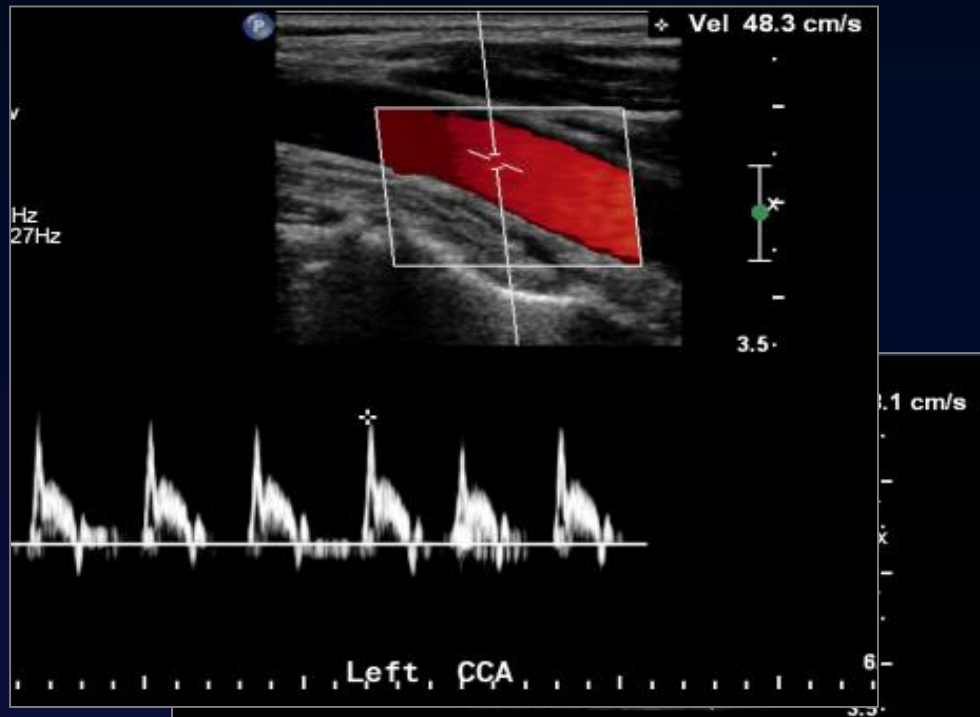
# BILATERAL ↓ PSV & EDV in ICAs

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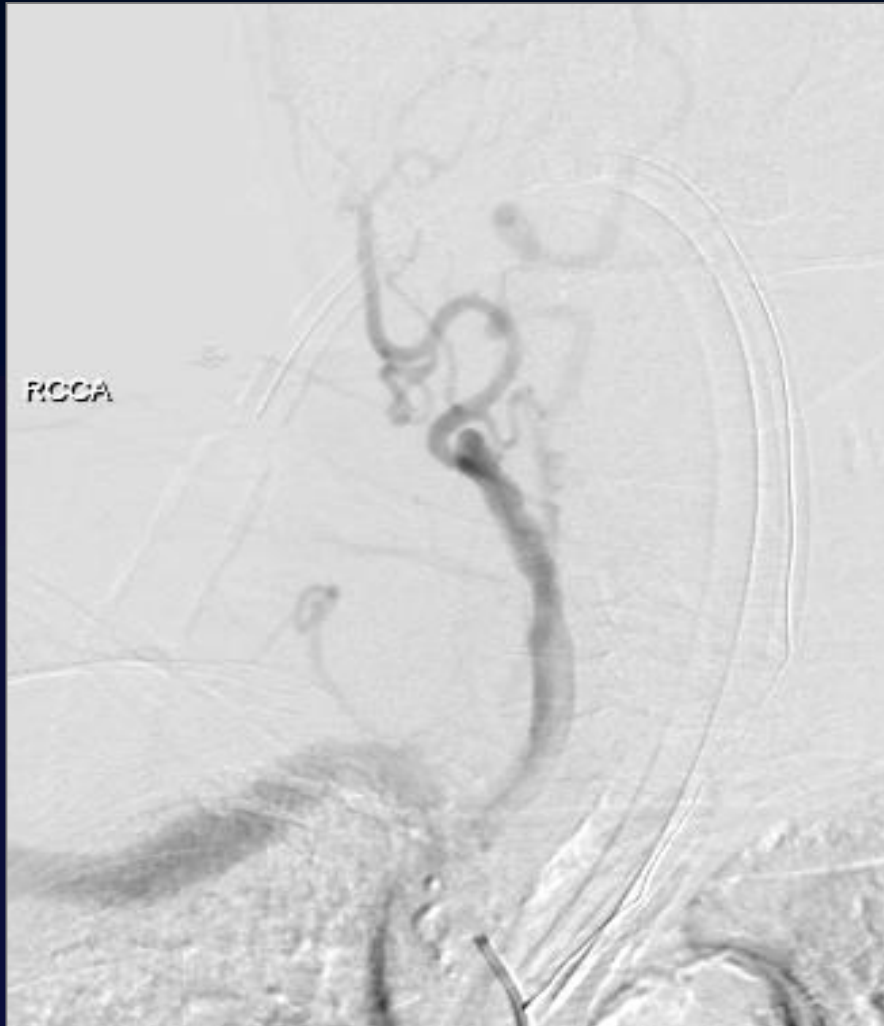
**Increased Intracranial Pressure**

# 74 yo Female w/ Stroke



# Bilateral Distal ICA Occlusions

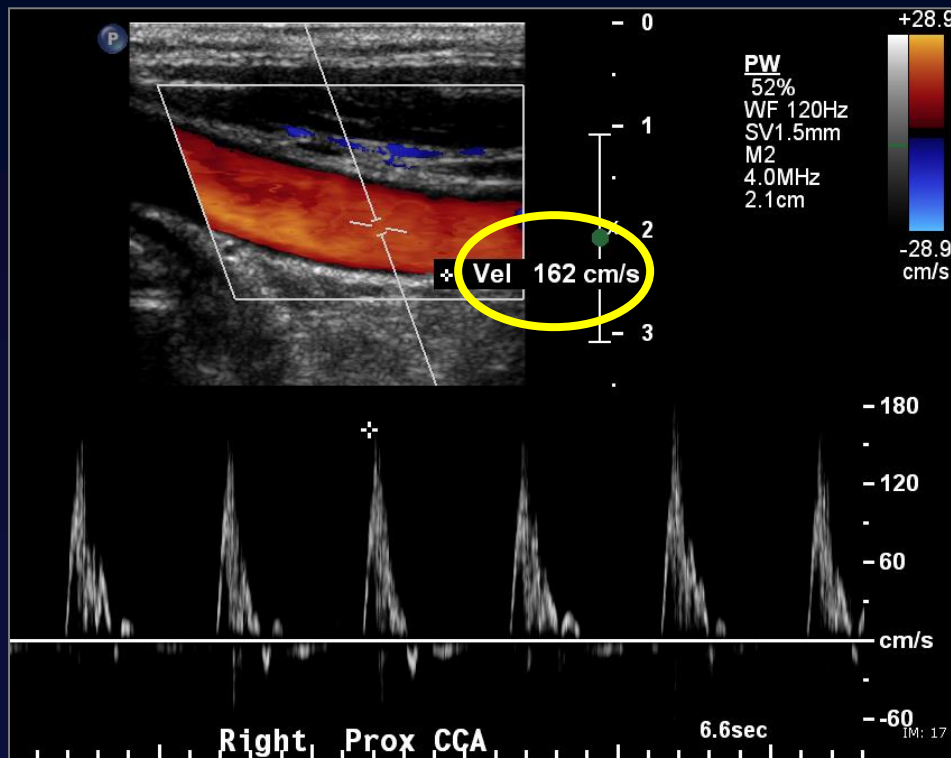
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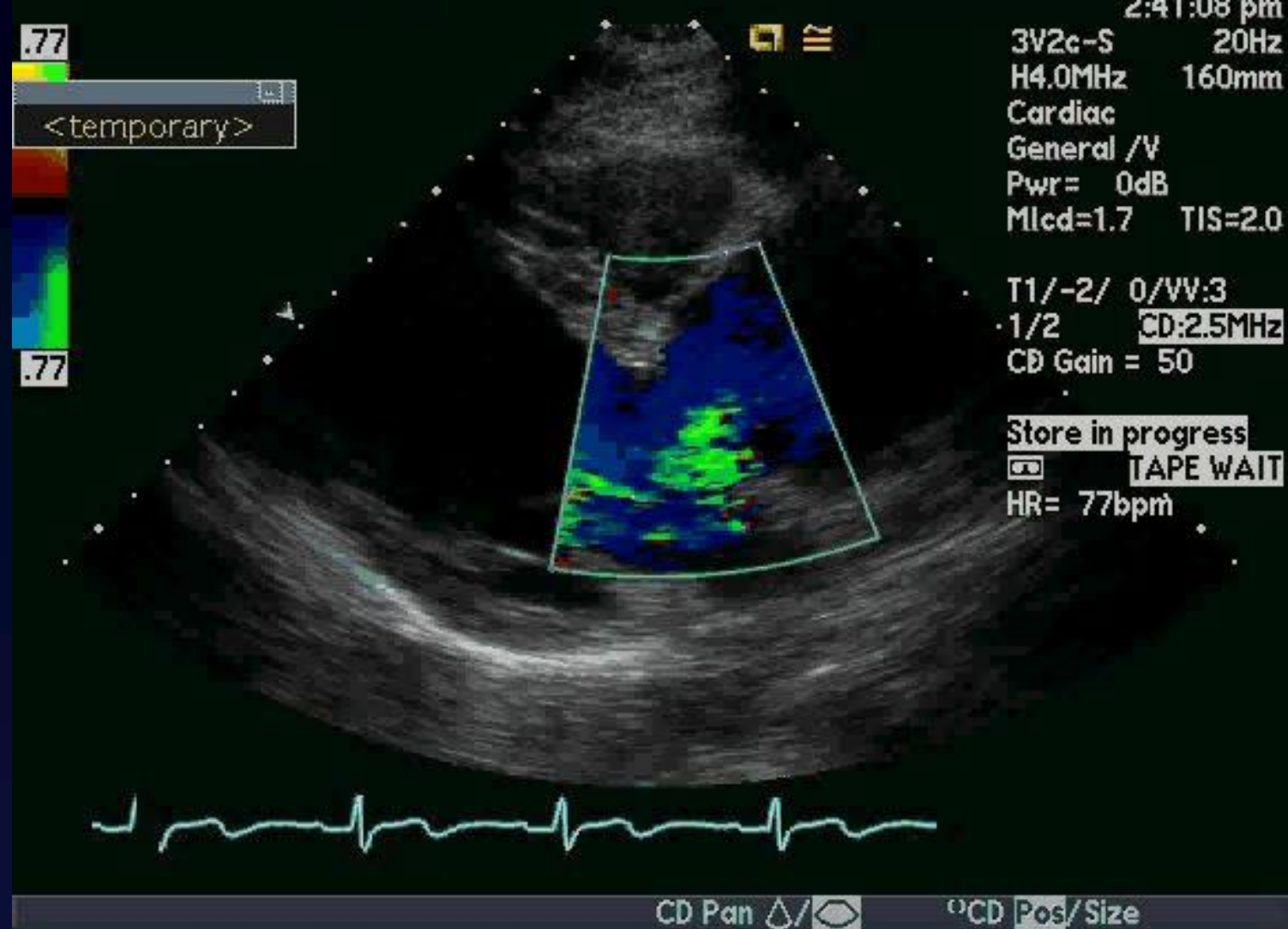




# BILATERAL ↓ EDV in CCAs

- ↑ PSV





# Aortic Regurgitation

# WATER HAMMER PULSE

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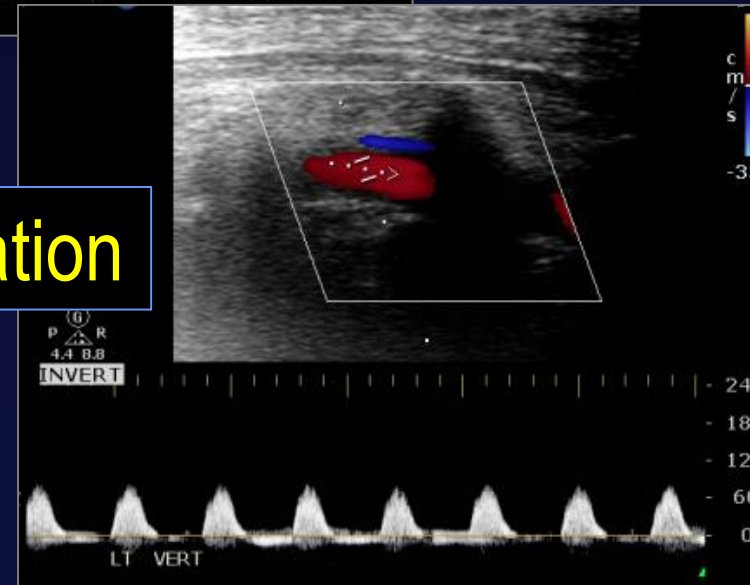
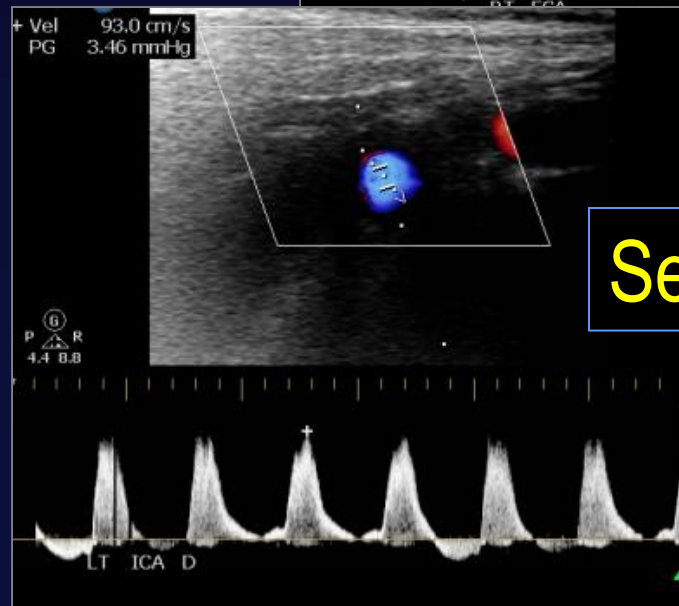
- Severe aortic regurgitation
  - sharp systolic upstroke
  - normal to  $\uparrow$  PSV
  - reversed diastolic flow
  - bilateral
  - waveform normalizes distally



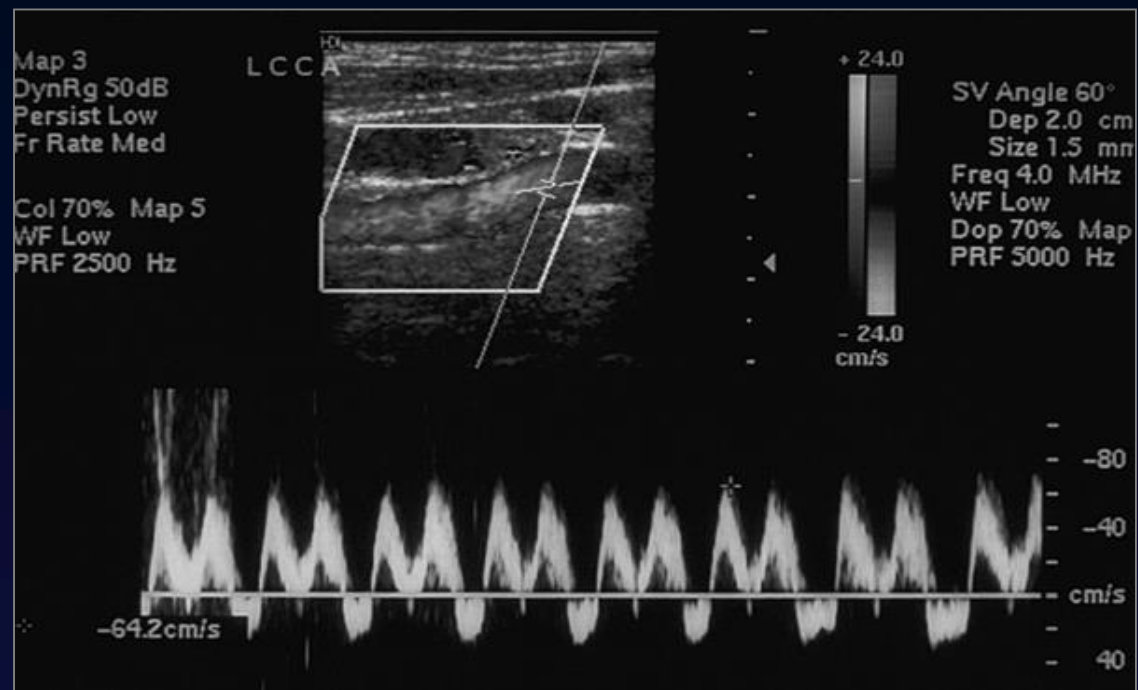
# BILATERAL REVERSED DIASTOLIC FLOW



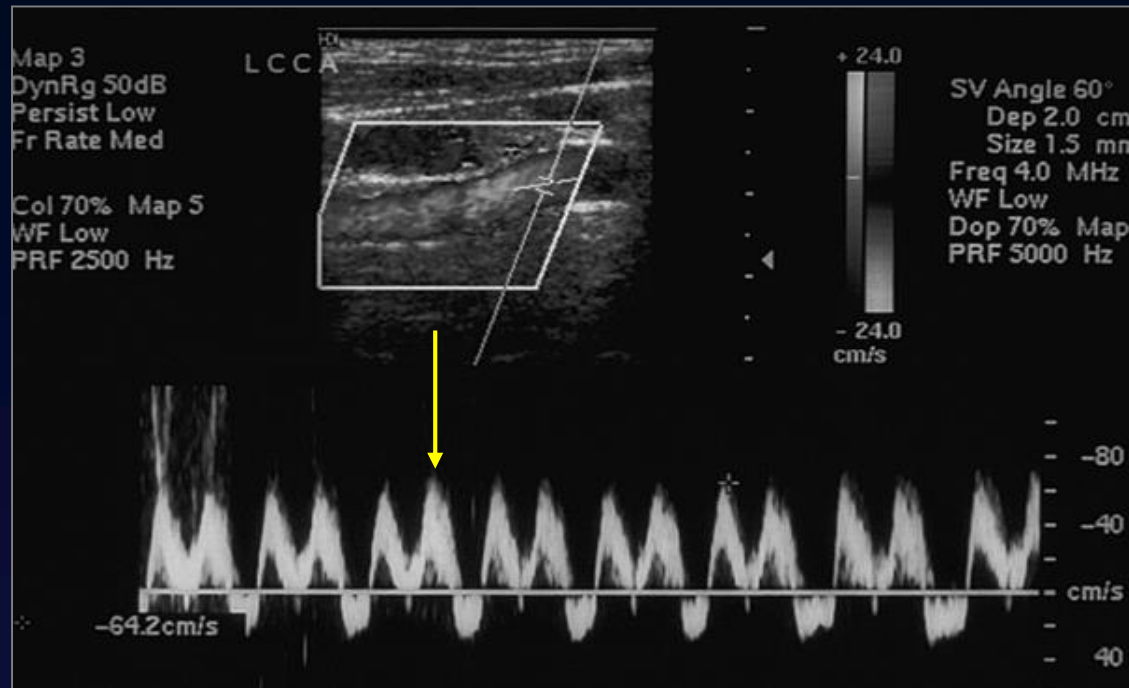
# BILATERAL REVERSED DIASTOLIC FLOW



Severe Aortic Regurgitation

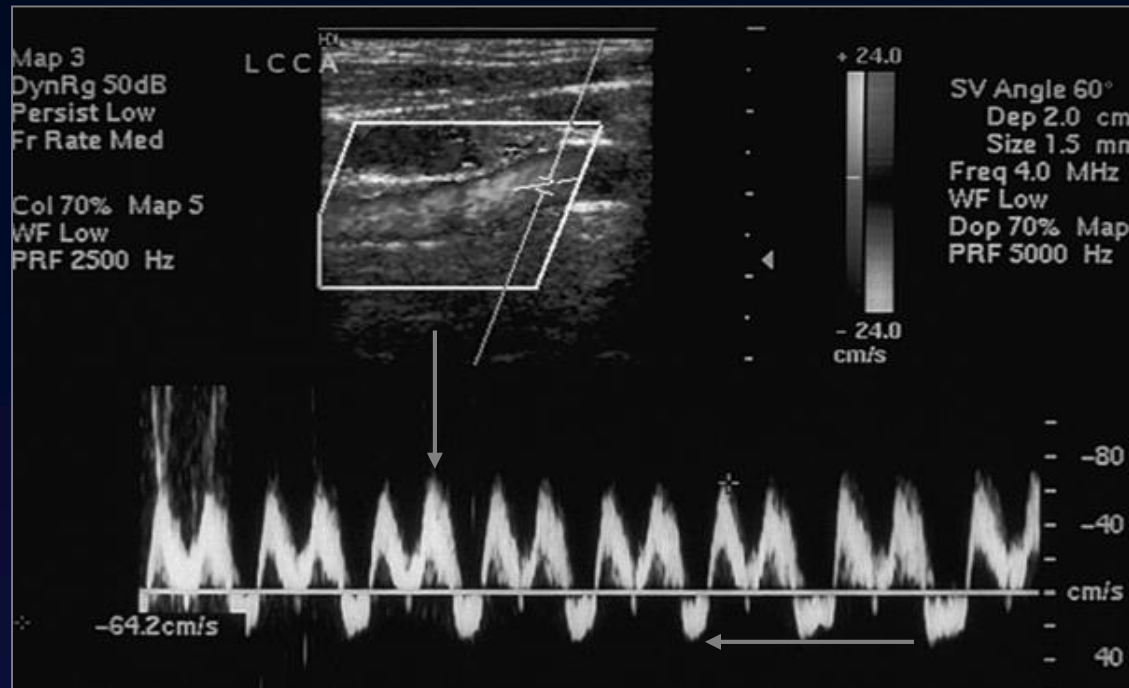


# INTRA-AORTIC BALLOON PUMP



- Inflation of balloon causes 2<sup>nd</sup> peak of forward flow during early diastole

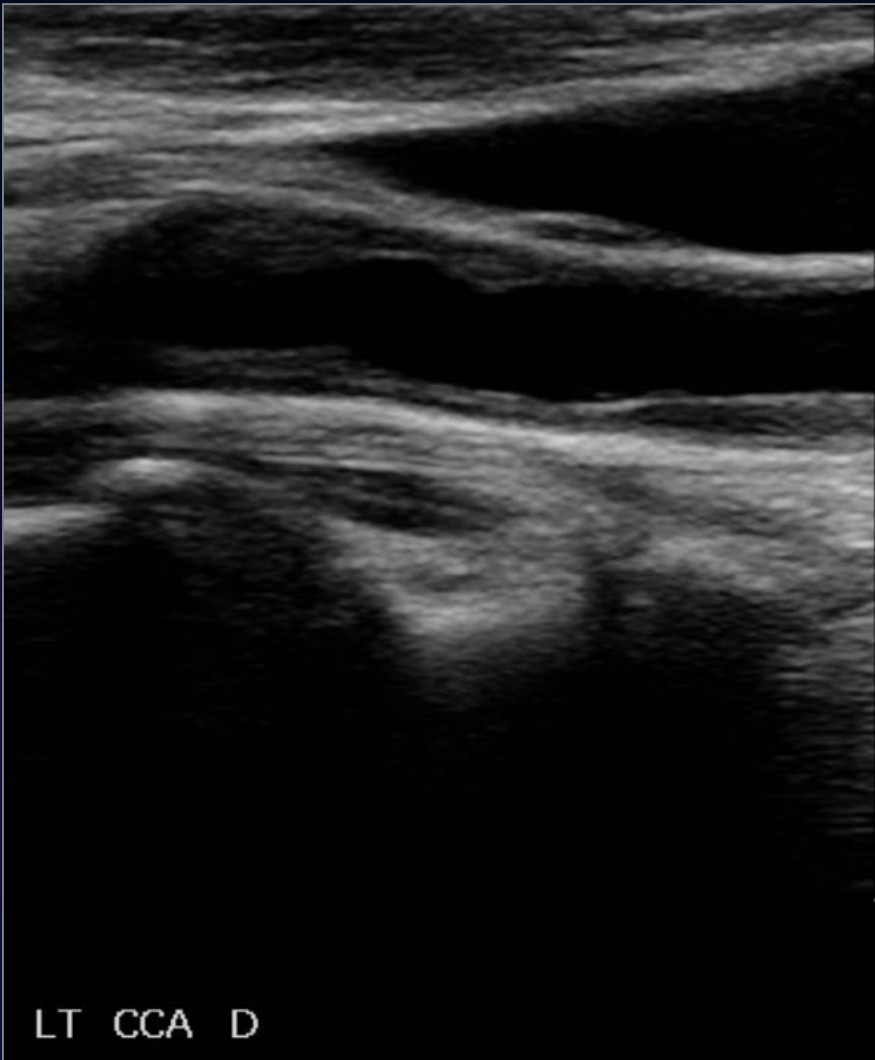
# INTRA-AORTIC BALLOON PUMP



- Inflation of balloon causes 2<sup>nd</sup> peak of forward flow during early diastole
- Flow reversal at end of diastole corresponds to deflation of balloon

# INTRA-AORTIC BALLOON PUMP

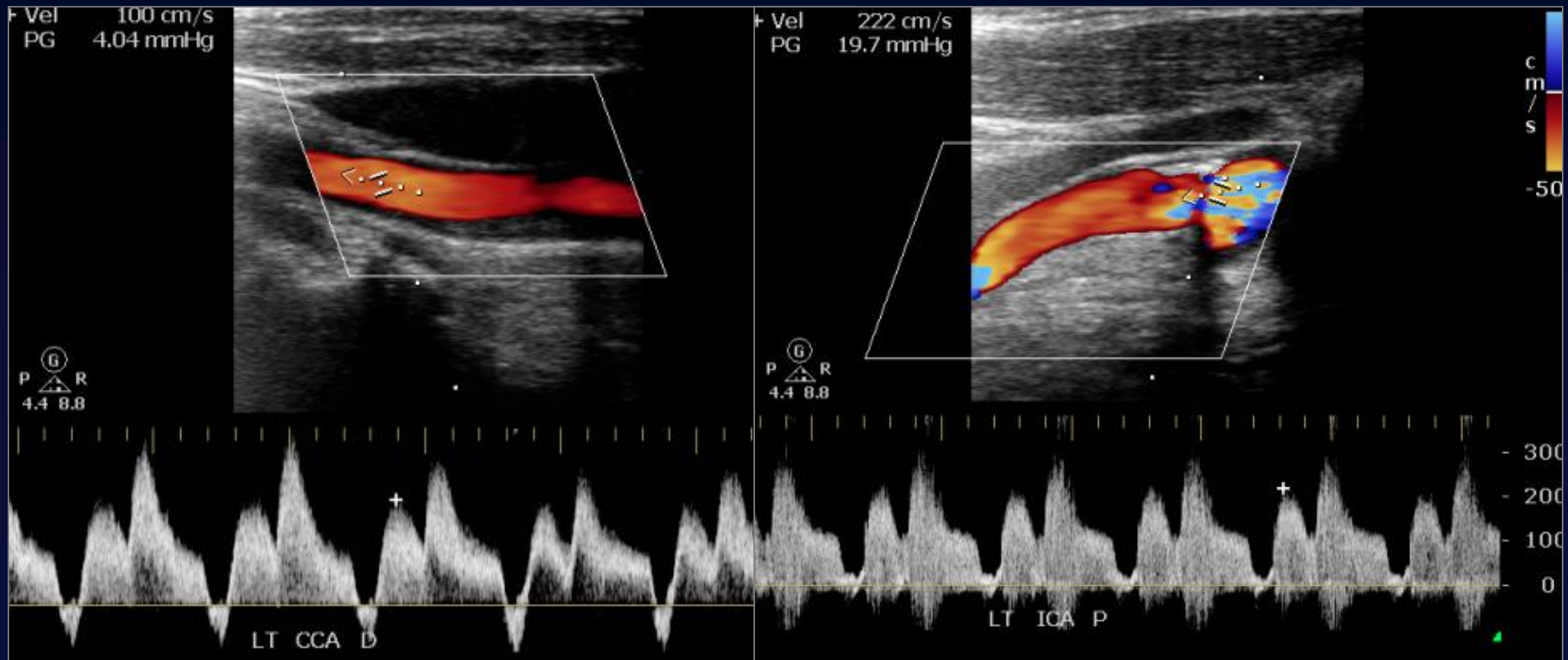
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# INTRA-AORTIC BALLOON PUMP

- PSV Lt ICA = 222 cm/sec, but PSVR only 2.2
- What % stenosis?



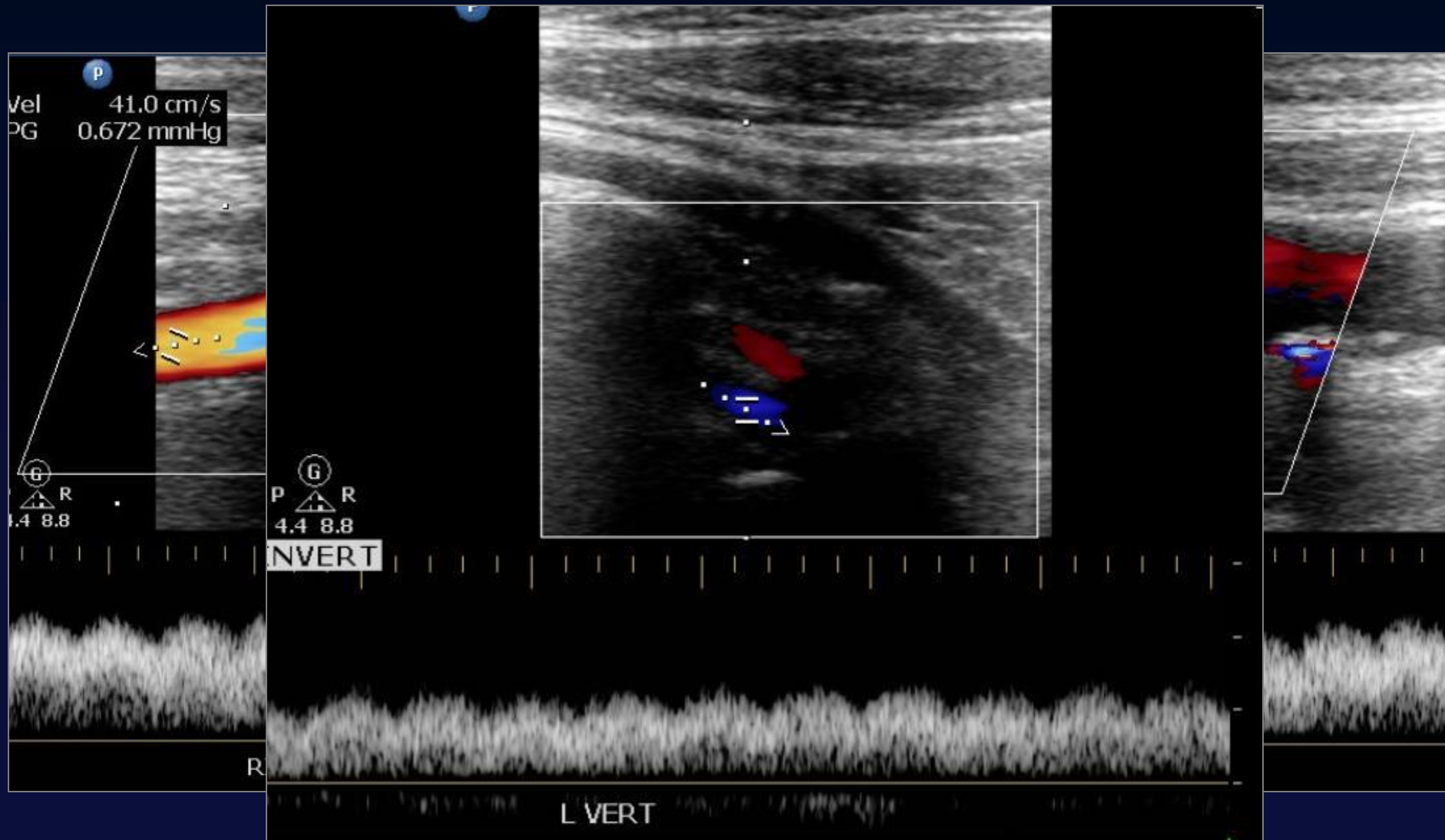
# INTRA-AORTIC BALLOON PUMP

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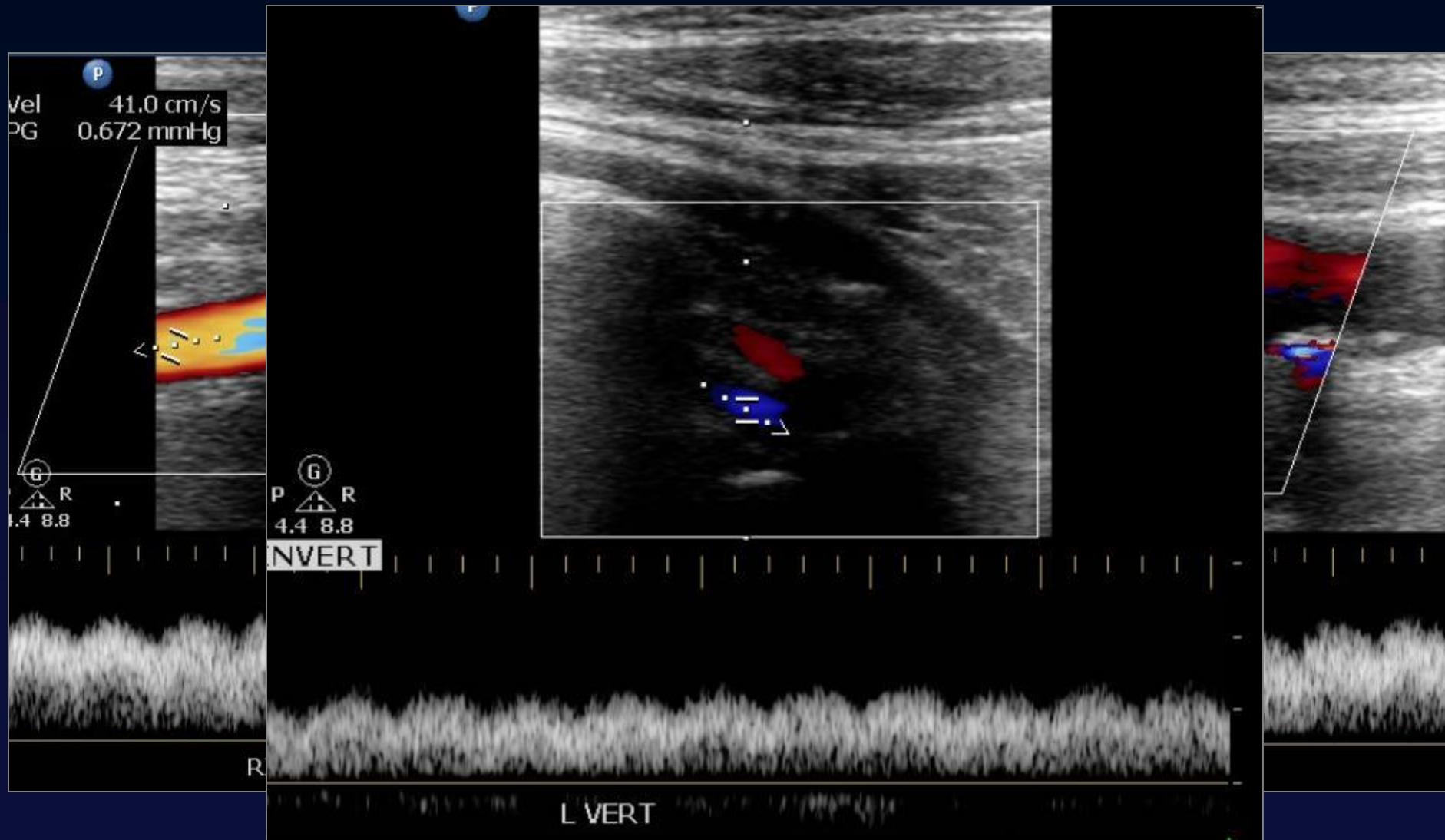
- Choose 1<sup>st</sup> **OR** 2<sup>nd</sup> peak to measure PSV and be consistent
- PSVR may be a better Doppler criterion
- Look at grayscale and color Doppler
- May have to turn balloon off or decrease firing ratio



# What Kind of Waveform is This?



# LEFT VENTRICULAR ASSIST DEVICE



# LVAD: US Findings

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- Marked tardus parvus waveforms in all vessels
- ↓ PSV
  - average = 32 cm/sec
- Monophasic flow – no flow below the baseline
  - rarely, nonpulsatile monophasic waveform w/o perceptible systolic peak
- Similar waveforms in subclavian, mesenteric, femoral arteries

# CAS vs CEA

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- Most recommend CEA for....
    - older patients
    - heavily calcified plaque
    - tortuous vessels
- } Increased risk of CAS

Brott, JACC: 2010

Chaktoura, J Vasc Surg: 2001

# CAS vs CEA

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- Most agree w/ use of stent if....
  - high medical co-morbidity, i.e. ↑ surgical risk
    - advanced cardiopulmonary dxs
  - restenosis s/p CEA > 70%
  - hostile neck – s/p XRT, laryngectomy, lymph node dissection, tracheostomy
    - fibrosis makes dissection difficult and increases risk of cranial nerve damage

Brott, JACC: 2010

Chaktoura, J Vasc Surg: 2001

# CAS vs CEA

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- Most clinicians agree w/ use of stent if....
  - unfavorable neck anatomy
    - inaccessible lesion above C2

Chaktoura, J Vasc Surg: 2001  
Brott, JACC: 2010

# CAROTID ENDARTERECTOMY: Restenosis

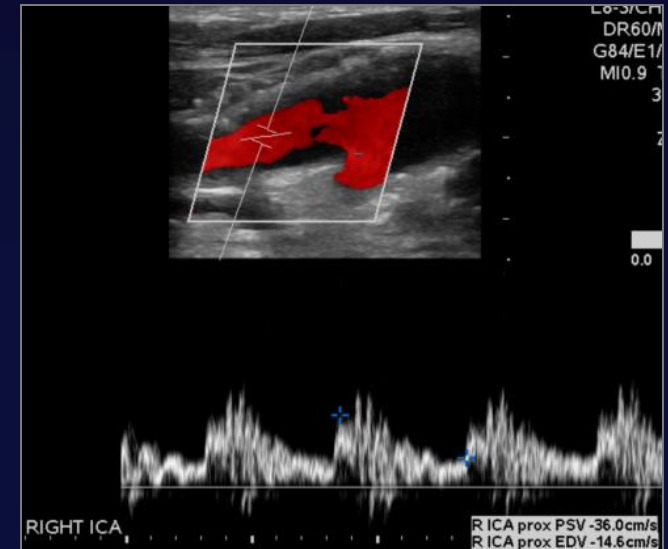
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- Incidence ~ 5-15%
- Risk factors:
  - fibrous, inflammatory plaque
  - DM
  - age
  - females
  - smoking history
- Pts s/p CEA typically followed at yearly intervals w/ US



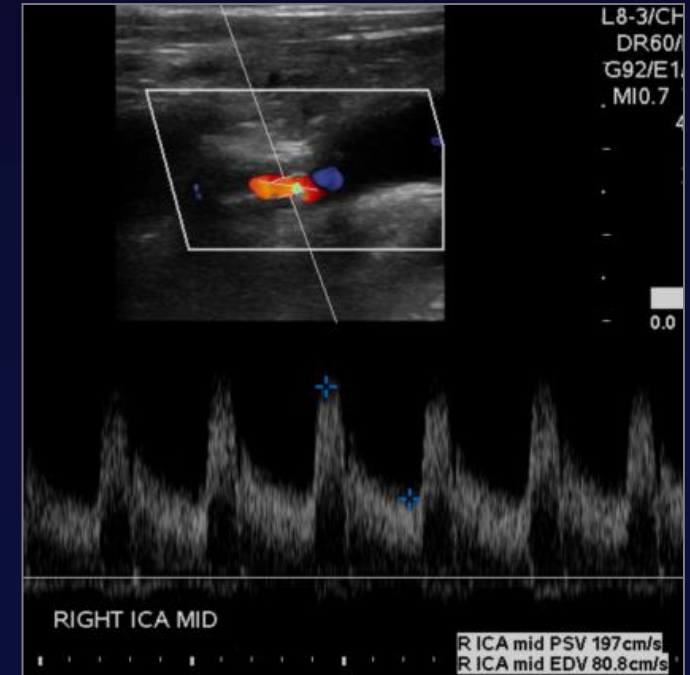
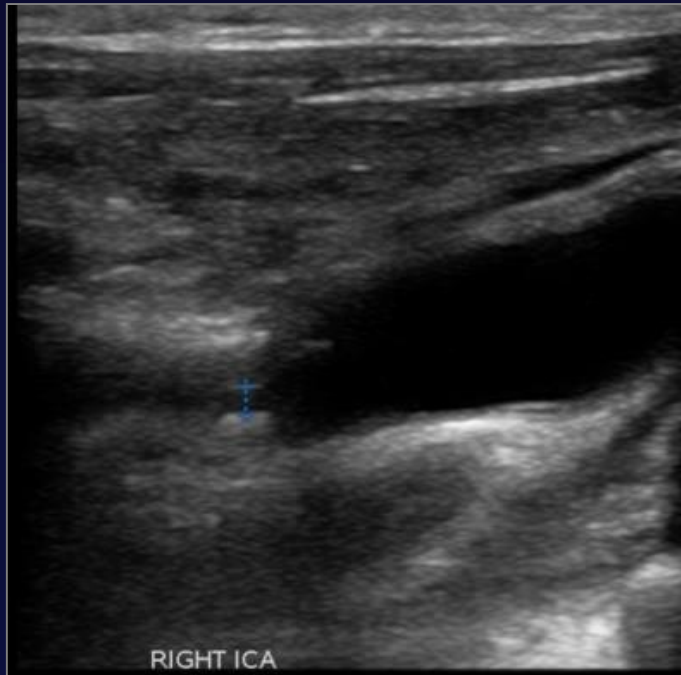
# CEA: Restenosis

- US diagnosis is problematic
- Surgery changes hemodynamics
  - $\uparrow$  diameter  $2^0$  to creation of patch  $\rightarrow$  decreased PSV
  - vessel wall compliance is different



# CEA: Restenosis

- Can't use same pre-op Doppler criteria
  - PSV likely lower
  - look more carefully at PSVR and grayscale, color Doppler appearance
- Consider correlative imaging



# CEA: Restenosis

- 64 yr old woman 8 yrs s/p bilateral CEAs, Rt neck bruit



# CEA: Restenosis

- 64 yr old woman 8 yrs s/p bilateral CEAs, Rt neck bruit



# CEA: Restenosis

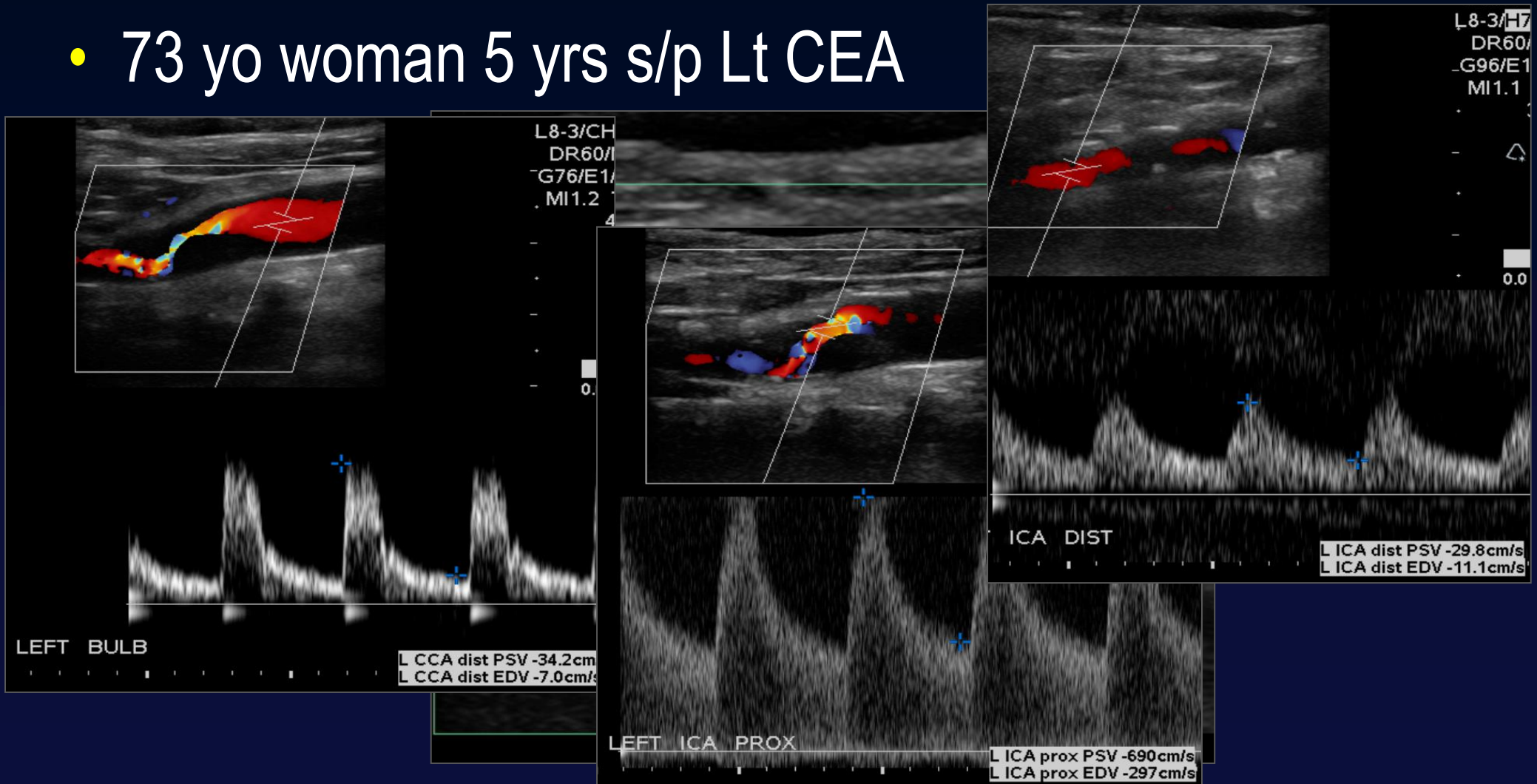
- 64 yo woman s/p bilateral CEAs





# CEA: Restenosis

- 73 yo woman 5 yrs s/p Lt CEA



# CAROTID STENTS: Restenosis

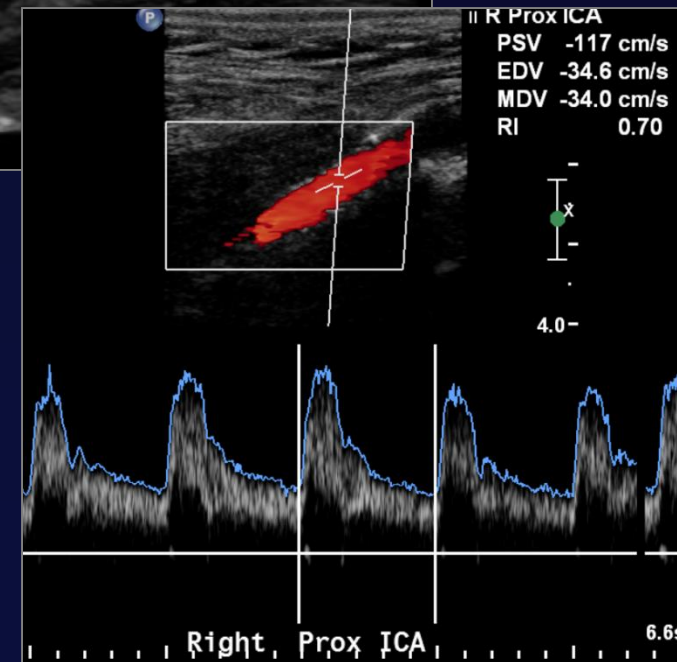
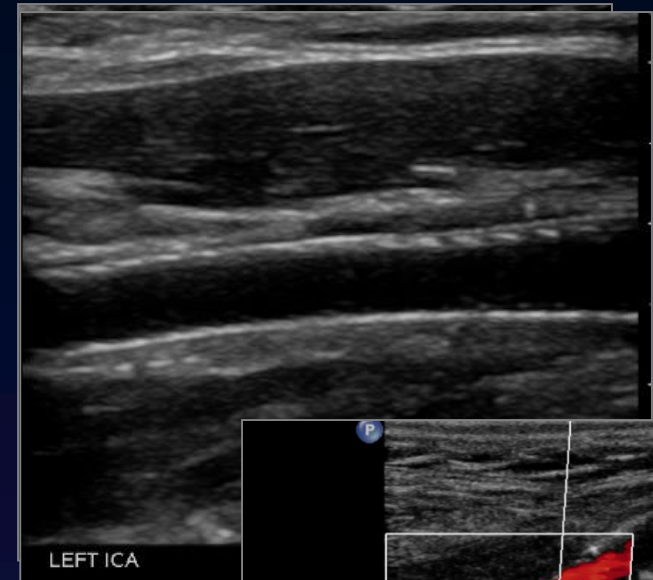
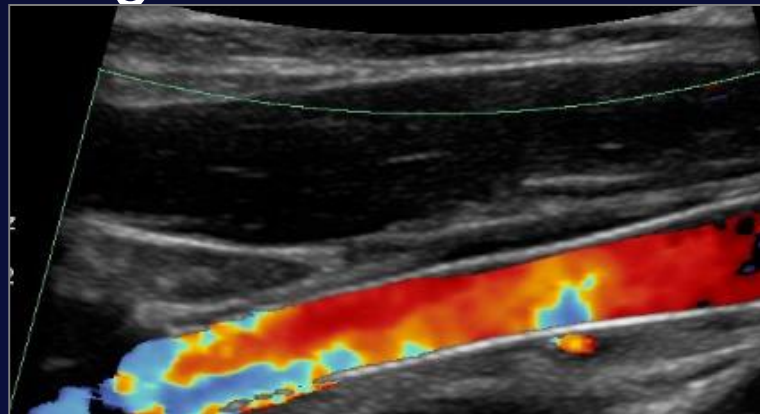
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- Similar incidence c/w CEA
  - usu assx, 6 to 14 mo
  - may stabilize after 12 months
- Risk factors: residual stenosis following stent placement, hx of cervical XRT, prior CEA, age, DM, smokers
- F/U recommended every 6 months
  - if stable after 18 months → yearly
  - 50-70% stenosis → continue 6 mo F/U schedule
  - > 75% stenosis or Sx → intervention



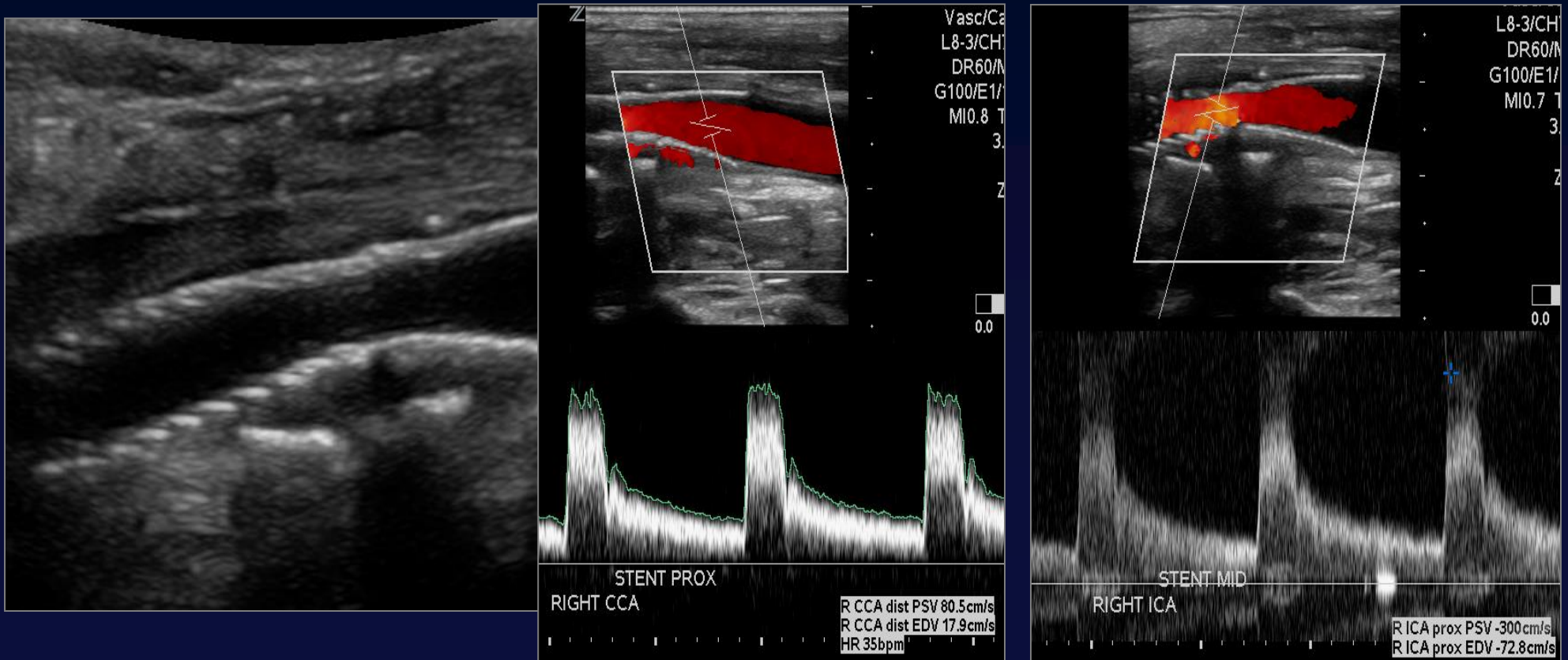
# CAROTID STENTS

- Change in hemodynamics
- ↑ PSV
  - ↓ compliance of vessel wall
  - partial occlusion of ECA → shunting of blood into ICA



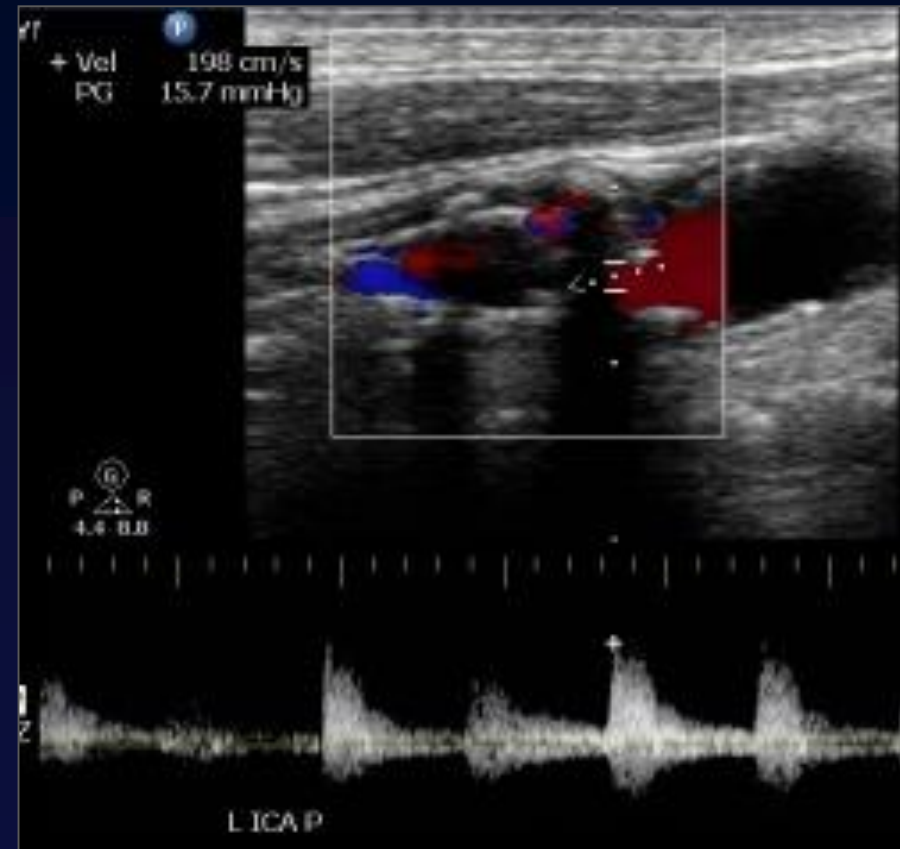
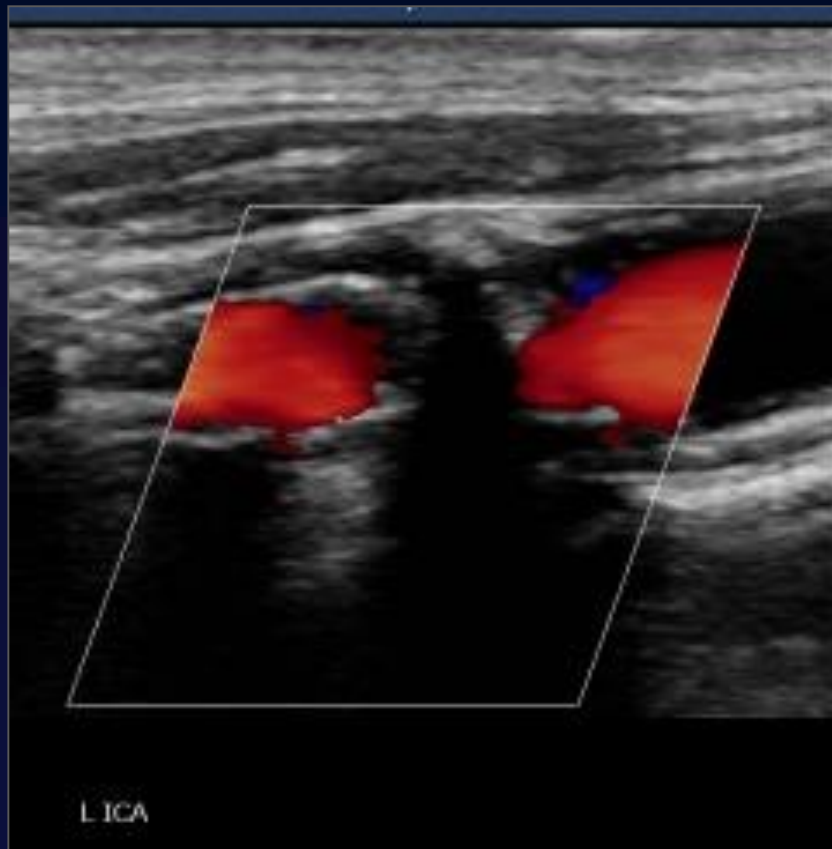
# CAROTID STENTS

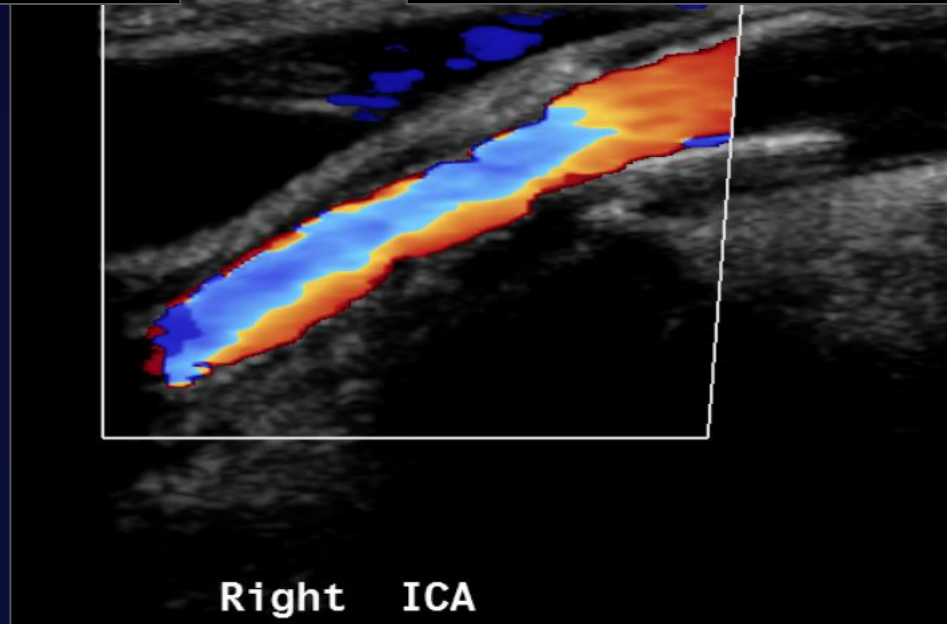
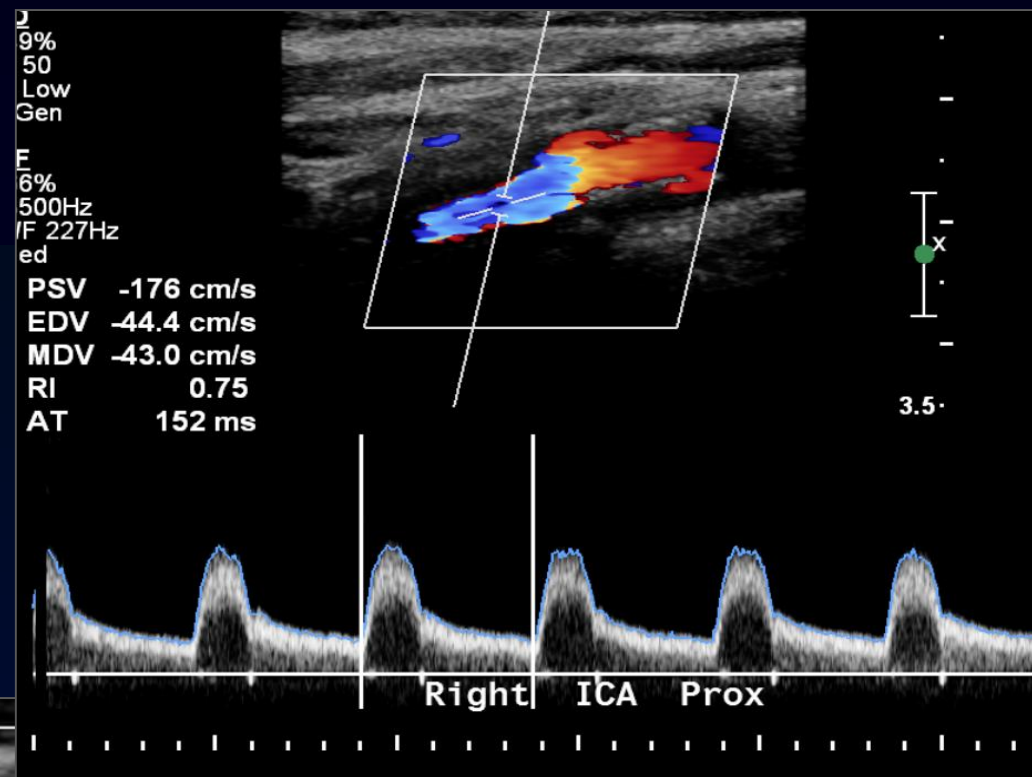
- Residual “waisting” common



# POST-OP APPEARANCE: Carotid Stents

- Excluded, calcified plaque



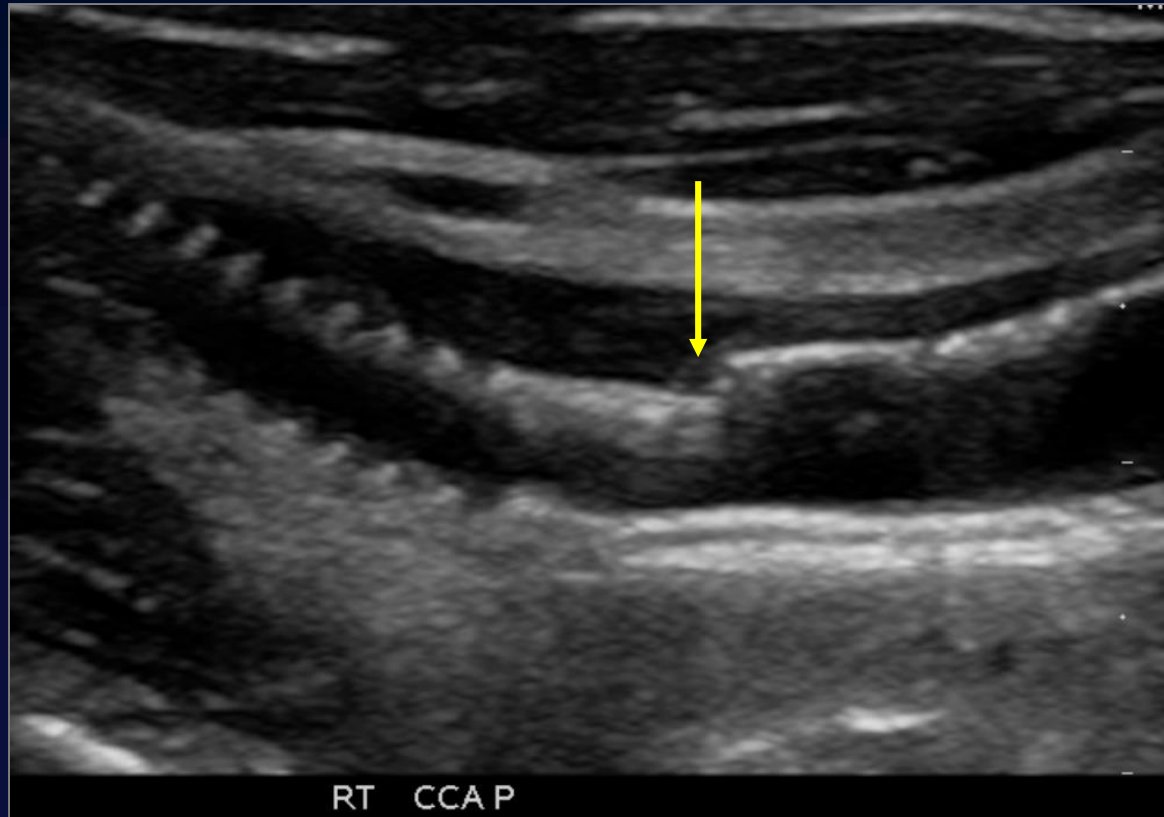




# POST-OP APPEARANCE: Carotid Stents

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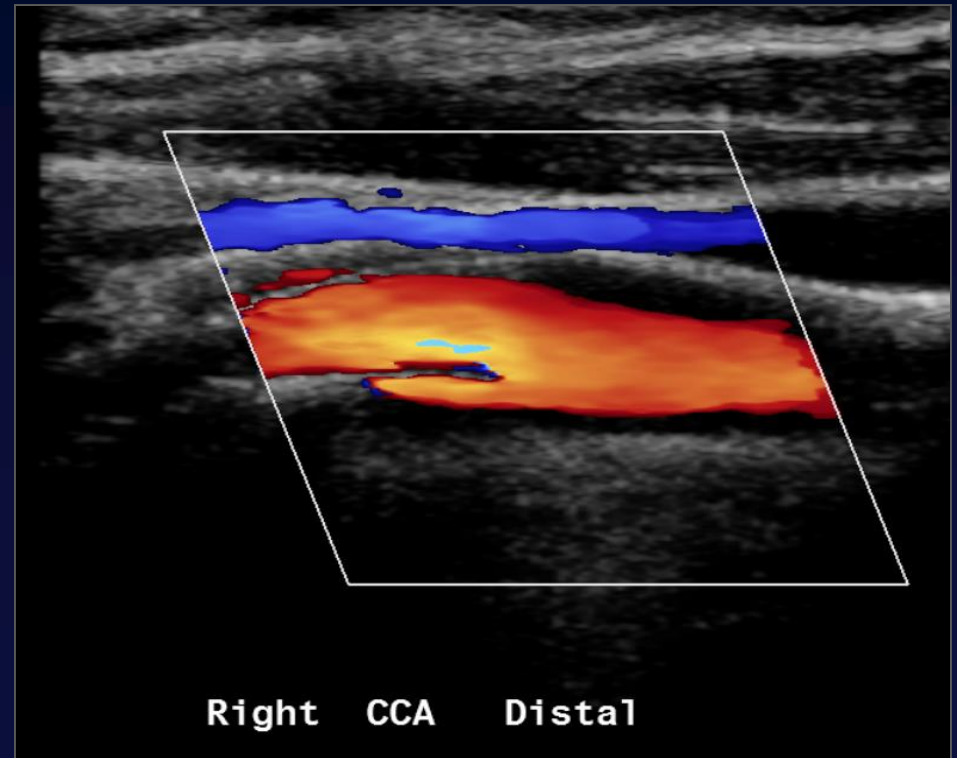
- Overlapping stents
  - step off is **NOT** a good outcome



# POST-OP APPEARANCE: Carotid Stents

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- Incomplete apposition of stent to arterial wall
  - **NOT** a good outcome



# CAS: Restenosis

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- Lal, J Vasc Surg: 2004
  - $< 50\%$  stenosis: PSV  $< 150$  cm/s, PSVR  $< 2.2$
- Chi, CCI: 2007
  - 50-69% stenosis: PSVR  $> 2.45$ , PSV  $> 240$  cm/s
  - $> 70\%$  stenosis: PSVR  $> 4.3$ , PSV  $> 450$  cm/s
- AbuRahma, J Vasc Surg: 2008
  - $\geq 80\%$  stenosis: PSVR  $> 4.5$ , PSV  $> 325$  cm/s
- Zhou, J Vasc Surg: 2008
  - $> 70\%$  stenosis: PSVR  $> 4.0$ , PSV  $> 300$  cm/s



# CAS: Restenosis

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- Armstrong, J Vasc Surg: 2007
  - > 50% stenosis: PSV > 150 cm/s  
PSVR > 2.0
  - > 75% stenosis: PSV > 300 cm/s  
EDV > 125 cm/s  
PSVR > 4.0
  - intervene when stenosis is > 75-80%
  - more moderate restenoses are not typically assoc w/ bad clinical outcome

# CAS: Restenosis

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- Ringer, Neurosurgery: 2002
  - absolute PSV not important
  - look for  $\uparrow$  over time c/w baseline US exam
- Stanziale, J Endovasc Ther: 2005
  - 50-69% stenosis: PSV  $>225$  cm/s  
PSVR  $> 2.5$  – more accurate
  - $\geq 70\%$  stenosis: PSV  $> 350$  cm/s  
PSVR  $> 4.75$  – but low PPV
  - use color Doppler and grey scale images

# CAROTID STENTS: Restenosis

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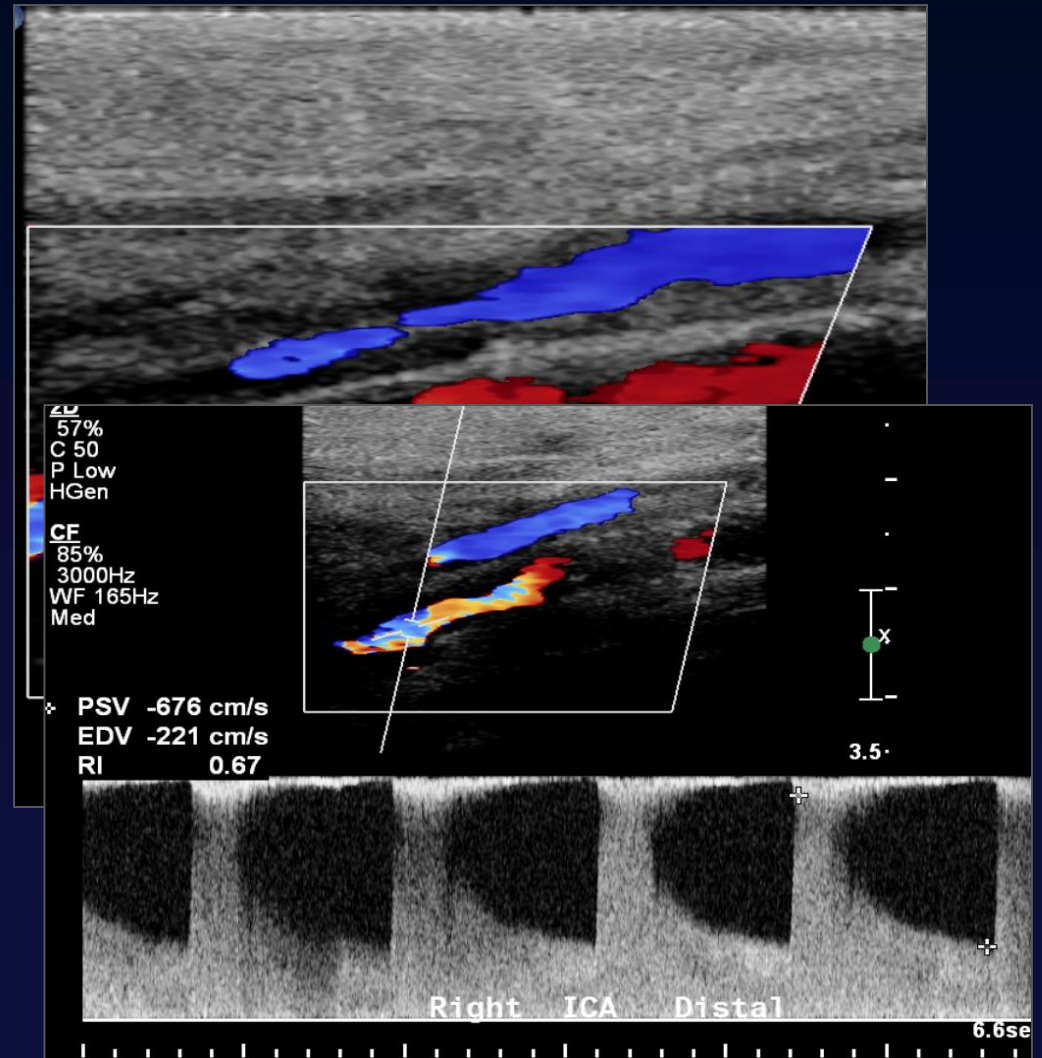
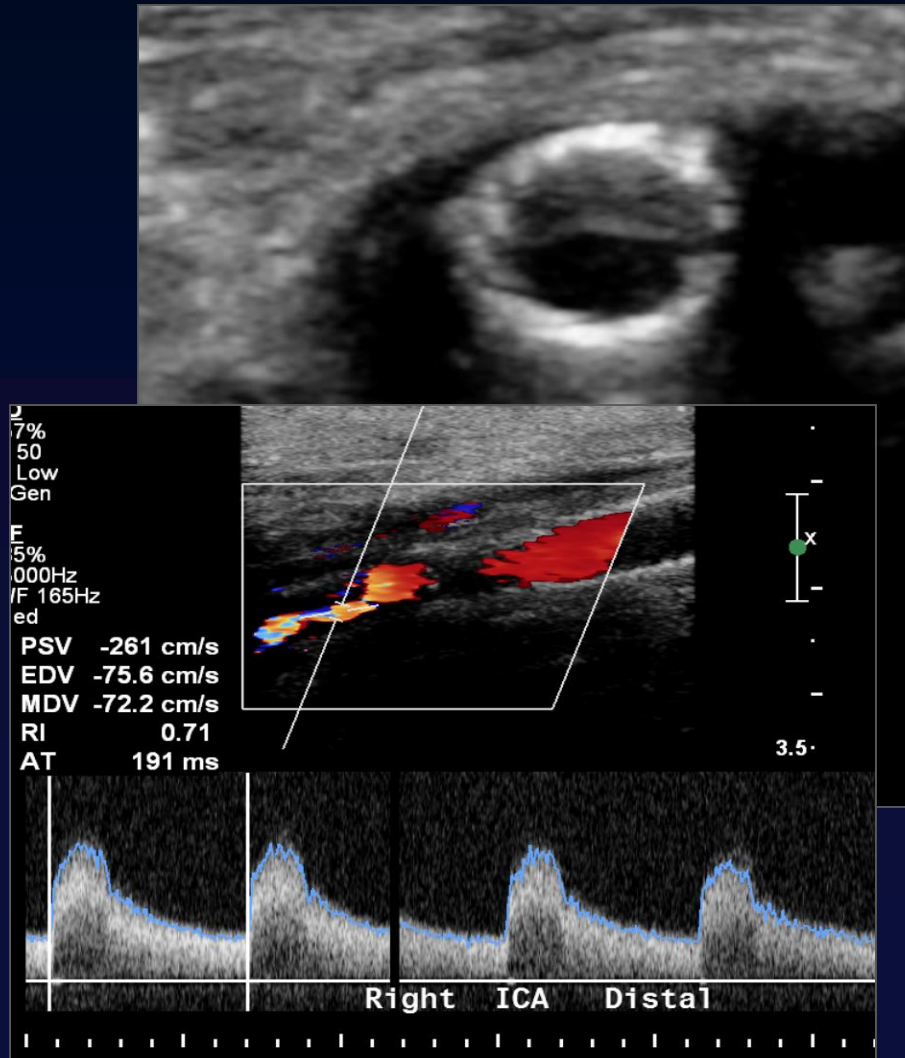
- All studies agree that PSV and PSVR threshold numbers are likely higher s/p stent placement for a given % stenosis than for the native vessel
- No agreement on numbers
  - may be lab and stent type specific
- Look for ↑ PSV over time
- Correlate carefully with grayscale and color imaging

# CAROTID STENTS: ? Restenosis

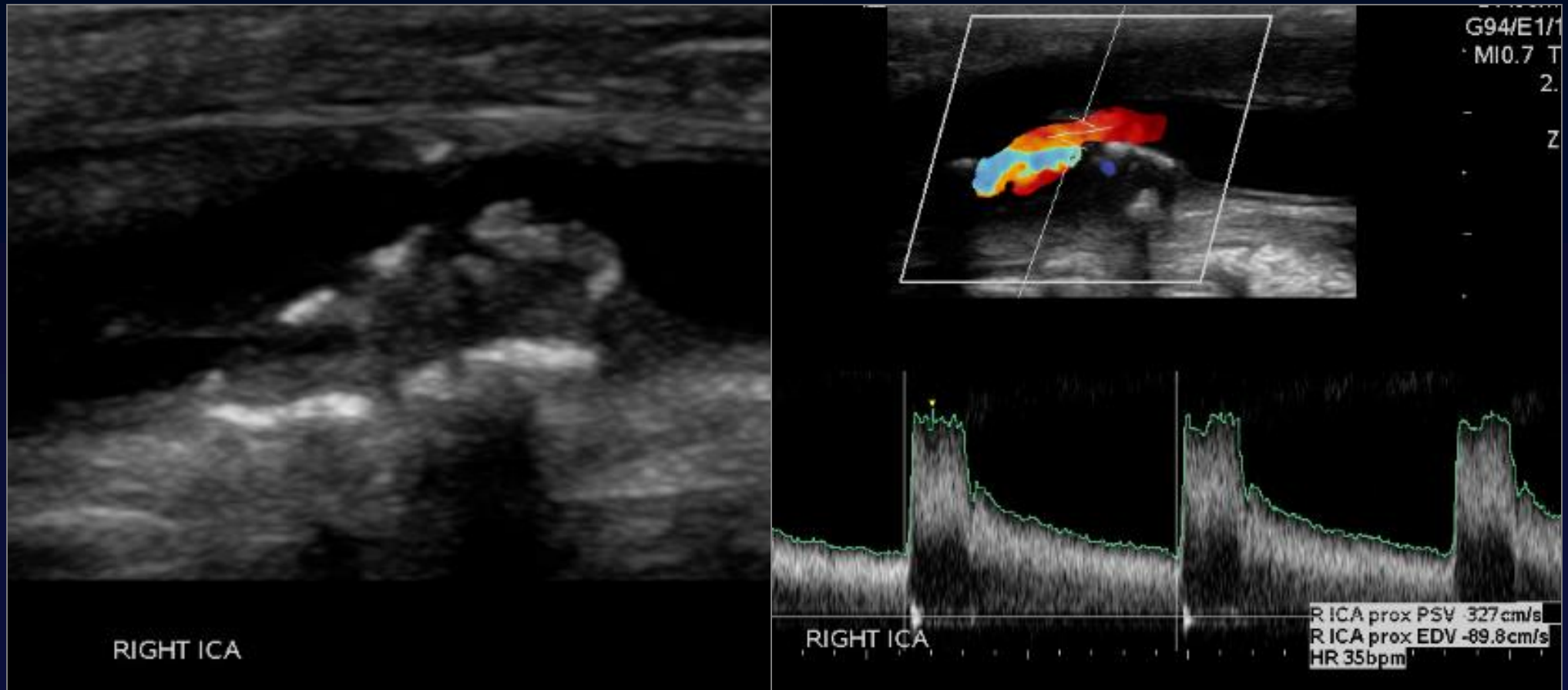
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# CAS: Restenosis & Progression of Distal Native Dxs



# CAROTID STENTS: Progression of Distal Dxs

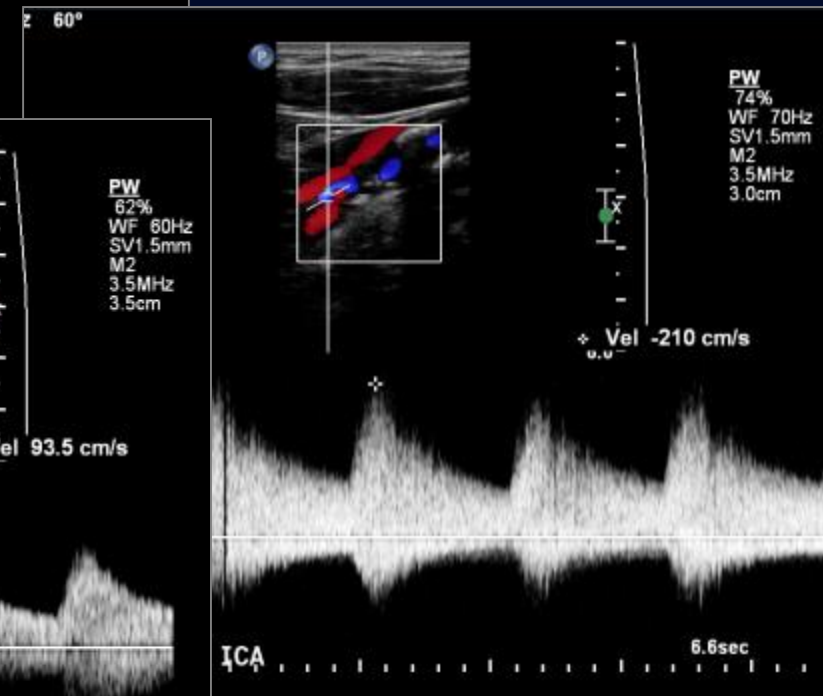
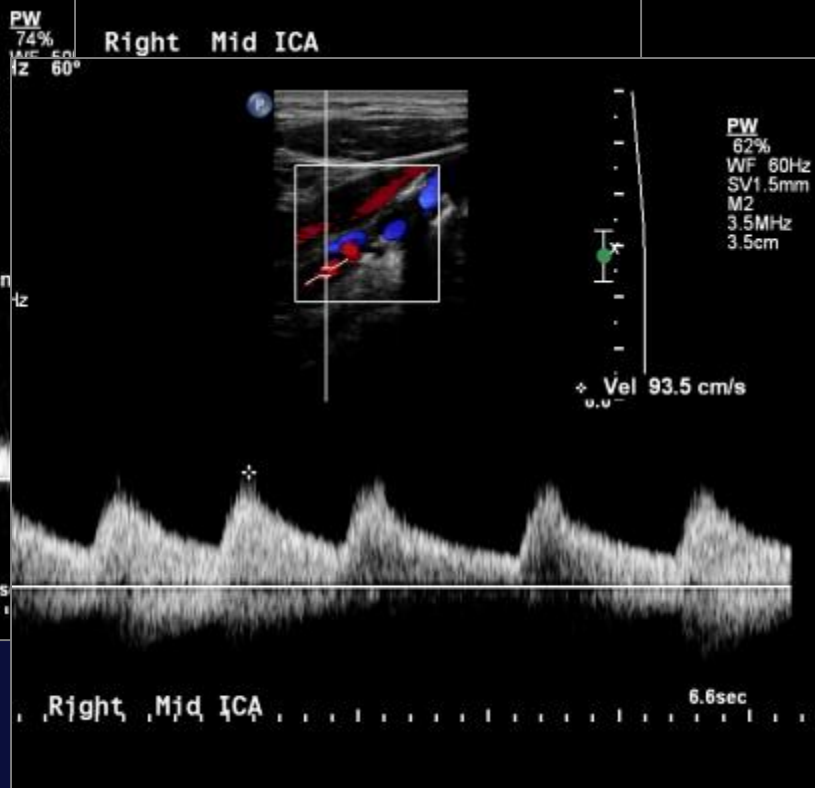


# SUMMARY

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- Standard charts aren't going to work for:
  - High or low output states
  - Tortuous vessels, contralateral stenosis/occlusion
  - Tandem lesions, long segment stenoses, near occlusive lesions
- **ALWAYS** correlate velocity measurements with grayscale/color images as well as waveform analysis





# SUMMARY

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- Clues for a tight stenosis
  - Lots of plaque
  - TP waveform distally
  - High resistance waveform proximally

# SUMMARY

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- Tardus Parvus Waveform: Proximal stenosis
  - distribution will tell you where
  - bilateral, all vessels → severe aortic stenosis
- “Knocking” waveform pattern: Distal obstruction
  - unilateral → distal occlusion/high grade stenosis
  - bilateral → ↑ ICP, cerebral edema, vasospasm, bilateral distal occlusions
- Bilateral ↓ EDV w/ ↑ PSV → aortic regurgitation

# SUMMARY

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- Doppler thresholds for diagnosis of restenosis s/p CEA and CAS placement are yet to be determined
  - PSV s/p CEA probably lower
  - PSV w/in CAS probably higher
- No consensus
  - look carefully at grayscale and color Doppler
  - change over time
- May be laboratory and stent type specific